

# A Szemerédi-type theorem for subsets of the unit cube

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Supported by HRZZ UIP-2017-05-4129 (MUNHANAP)

*Georgia Tech*, Atlanta, Jan 29, 2020

## Ramsey theory (for analysts)

Searches for patterns in large arbitrary structures

**discrete**

vs.

**Euclidean**

Ramsey (1920s)

Erdős et al. (1973)

**coloring theorems**

vs.

**density theorems**

e.g., Van der Waerden's thm (1927)

e.g., Szemerédi's thm (1975)

**non-arithmetic patterns**

vs.

**arithmetic patterns**

e.g., spherical configurations

e.g., arithmetic progressions

This combination of choices: Cook, Magyar, and Pramanik (2017)

## Szemerédi's theorem on $\mathbb{Z}$

A question posed by Erdős and Turán (1936)

For a positive integer  $n \geq 3$  and a number  $0 < \delta \leq 1/2$  is there a positive integer  $N$  such that each set  $S \subseteq \{0, 1, 2, \dots, N-1\}$  with at least  $\delta N$  elements must contain a nontrivial arithmetic progression of length  $n$ ?

- $n = 3$  ✓ Roth (1953)
- $n = 4$  ✓ Szemerédi (1969)
- $n \geq 5$  ✓ Szemerédi (1975)

## Szemerédi's theorem on $\mathbb{Z}$

Let  $N(n, \delta)$  be the smallest such  $N$

What are the best known bounds for  $N(n, \delta)$ ?

- $N(3, \delta) \leq \exp(\delta^{-C})$  Heath-Brown (1987)
- $N(4, \delta) \leq \exp(\delta^{-C})$  Green and Tao (2017)
- $N(n, \delta) \leq \exp(\exp(\delta^{-C(n)}))$  Gowers (2001)

## Szemerédi's theorem in $[0, 1]^d$

### Reformulation of Szemerédi's theorem with the above bounds

For  $n \geq 3$  and  $d \geq 1$  there exists a constant  $C(n, d)$  such that for  $0 < \delta \leq 1/2$  and a measurable set  $A \subseteq [0, 1]^d$  with  $|A| \geq \delta$  one has

$$\int_{[0,1]^d} \int_{[0,1]^d} \prod_{i=0}^{n-1} \mathbb{1}_A(x + iy) \, dy \, dx \geq \begin{cases} (\exp(\delta^{-C(n,d)}))^{-1} & \text{when } 3 \leq n \leq 4 \\ (\exp(\exp(\delta^{-C(n,d)})))^{-1} & \text{when } n \geq 5 \end{cases}$$

## Gaps of progressions in $A \subseteq [0, 1]^d$

What can be said about the following set?

$$\text{gaps}_n(A) :=$$

$$\{y \in [-1, 1]^d : (\exists x \in [0, 1]^d)(x, x + y, \dots, x + (n-1)y \in A)\}$$

It contains a ball  $B(0, \varepsilon)$  for some  $\varepsilon > 0$

A proof by Stromberg (1972):

- Assume that  $A$  is compact
- Find an open set  $U$  such that  $U \supseteq A$  and  $|U| \leq (1 + 1/2n)|A|$
- Take  $\varepsilon := \text{dist}(A, \mathbb{R}^d \setminus U)/n$
- For any  $\|y\|_{\ell^2} < \varepsilon$ :  $x \in A \cap (A - y) \cap \dots \cap (A - (n-1)y) \neq \emptyset$

## Gaps of progressions in $A \subseteq [0, 1]^d$

Number  $\varepsilon$  has to depend on “geometry” of  $A$  and not just on  $|A|$

There is an obstruction already when we ask for much less

$\ell^2$ -gaps $_n(A) :=$

$$\{\lambda \in [0, \infty) : (\exists x, y)(x, x + y, \dots, x + (n - 1)y \in A, \|y\|_{\ell^2} = \lambda)\}$$

Does  $\ell^2$ -gaps $_n(A)$  contain an interval of length depending only on  $n$ ,  $d$ , and the measure  $|A|$ ?

## Gaps of progressions in $A \subseteq [0, 1]^d$

No! Bourgain (1986):

$$A := \{x \in [0, 1]^d : (\exists m \in \mathbb{Z})(m - 1/10 < \|\varepsilon^{-1}x\|_{\ell^2}^2 < m + 1/10)\}.$$

- The parallelogram law:

$$\|x\|_{\ell^2}^2 - 2\|x + y\|_{\ell^2}^2 + \|x + 2y\|_{\ell^2}^2 = 2\|y\|_{\ell^2}^2,$$

- $x, x + y, x + 2y \in A \implies m' - 2/5 < 2\|\varepsilon^{-1}y\|_{\ell^2}^2 < m' + 2/5$
- $|A| \gtrsim 1$  uniformly as  $\varepsilon \rightarrow 0^+$

One can switch attention to other patterns (spherical configurations)  
or ...



## Gaps of 3-term progressions in other $\ell^p$ norms

For  $p \in [1, \infty]$  define:

$\ell^p$ -gaps $_n(A) :=$

$$\{\lambda \in [0, \infty) : (\exists x, y)(x, x + y, \dots, x + (n - 1)y \in A, \|y\|_{\ell^p} = \lambda)\}$$

Theorem (Cook, Magyar, and Pramanik (2017))

Take  $n = 3$ ,  $p \neq 1, 2, \infty$ ,  $d \geq D(p)$ ,  $\delta \in (0, 1/2]$ ,  $A \subseteq [0, 1]^d$  measurable,  $|A| \geq \delta$ .

Then  $\ell^p$ -gaps $_3(A)$  contains an interval of length depending only on  $p$ ,  $d$ , and  $\delta$

## Gaps of longer progressions in other $\ell^p$ norms

Theorem (Durcik and K. (2020))

Take  $n \geq 3$ ,  $p \neq 1, 2, \dots, n-1, \infty$ ,  $d \geq D(n, p)$ ,  $\delta \in (0, 1/2]$ ,  
 $A \subseteq [0, 1]^d$  measurable,  $|A| \geq \delta$ .

Then  $\ell^p$ -gaps $_n(A)$  contains an interval of length at least

$$\begin{cases} (\exp(\exp(\delta^{-C(n,p,d)})))^{-1} & \text{when } 3 \leq n \leq 4 \\ (\exp(\exp(\exp(\delta^{-C(n,p,d)}))))^{-1} & \text{when } n \geq 5 \end{cases}$$

Modifying Bourgain's example  $\rightarrow$  sharp regarding the values of  $p$

One can take  $D(n, p) = 2^{n+3}(n+p)$   $\rightarrow$  certainly not sharp

## Quantities that detect progressions

$$\sigma(x) = \delta(\|x\|_{\ell^p}^p - 1)$$

a measure supported on the unit sphere in the  $\ell^p$ -norm

$$\mathcal{N}_\lambda^0(A) := \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \prod_{i=0}^{n-1} \mathbb{1}_A(x + iy) d\sigma_\lambda(y) dx$$

$$\mathcal{N}_\lambda^0(A) > 0 \implies (\exists x, y) (x, x+y, \dots, x+(n-1)y \in A, \|y\|_{\ell^p} = \lambda)$$

$$\mathcal{N}_\lambda^\varepsilon(A) := \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \prod_{i=0}^{n-1} \mathbb{1}_A(x + iy) (\sigma_\lambda * \varphi_{\varepsilon\lambda})(y) dy dx$$

for a smooth  $\varphi \geq 0$  with  $\int_{\mathbb{R}^d} \varphi = 1$

## Quantities that detect progressions

“The largeness/smoothness multiscale approach”

Essentially introduced by Cook, Magyar, and Pramanik (2017)

$$\mathcal{N}_\lambda^\varepsilon(A) := \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \prod_{i=0}^{n-1} \mathbb{1}_A(x + iy) (\sigma_\lambda * \varphi_{\varepsilon\lambda})(y) dy dx$$

$\lambda \in (0, \infty)$ , scale of largeness  $\rightarrow$  detect APs with  $\|y\|_{\ell^p} = \lambda$

$\varepsilon \in [0, 1]$ , scale of smoothness  $\rightarrow$  the picture is blurred up to scale  $\varepsilon$

## Proof strategy

$$\mathcal{N}_\lambda^0(A) = \mathcal{N}_\lambda^1(A) + (\mathcal{N}_\lambda^\varepsilon(A) - \mathcal{N}_\lambda^1(A)) + (\mathcal{N}_\lambda^0(A) - \mathcal{N}_\lambda^\varepsilon(A))$$

- $\mathcal{N}_\lambda^1(A)$  = the structured part (“the main term”)  
Controlled uniformly in  $\lambda$  using Szemerédi’s theorem (with the best known bounds) as a black box
- $\mathcal{N}_\lambda^\varepsilon(A) - \mathcal{N}_\lambda^1(A)$  = the error part  
Certain pigeonholing in  $\lambda$  is needed  
Leads to some multilinear singular integrals
- $\mathcal{N}_\lambda^0(A) - \mathcal{N}_\lambda^\varepsilon(A)$  = the uniform part  
Controlled uniformly in  $\lambda$  using Gowers uniformity norms  
Leads to some oscillatory integrals

## Three propositions

### Proposition handling the structured part

If  $\lambda \in (0, 1]$ ,  $\delta \in (0, 1/2]$ ,  $A \subseteq [0, 1]^d$ ,  $|A| \geq \delta$ , then

$$\mathcal{N}_\lambda^1(A) \geq \begin{cases} (\exp(\delta^{-E}))^{-1} & \text{when } 3 \leq n \leq 4 \\ (\exp(\exp(\delta^{-E})))^{-1} & \text{when } n \geq 5 \end{cases}$$

This is essentially the analytical reformulation of Szemerédi's theorem

## Three propositions

### Proposition handling the error part

IF  $J \in \mathbb{N}$ ,  $\lambda_j \in (2^{-j}, 2^{-j+1}]$  for  $j = 1, 2, \dots, J$ ,  $\varepsilon \in (0, 1/2]$ ,  
 $A \subseteq [0, 1]^d$  measurable, then

$$\sum_{j=1}^J |\mathcal{N}_{\lambda_j}^\varepsilon(A) - \mathcal{N}_{\lambda_j}^1(A)| \leq \varepsilon^{-F} J^{1-2^{-n+2}}$$

Note the gain of  $J^{-2^{-n+2}}$  over the trivial estimate for each fixed  $\lambda_j$

## Three propositions

### Proposition handling the uniform part

If  $d \geq D(n, p)$ ,  $\lambda, \varepsilon \in (0, 1]$ ,  $A \subseteq [0, 1]^d$  measurable, then

$$|\mathcal{N}_\lambda^0(A) - \mathcal{N}_\lambda^\varepsilon(A)| \leq G\varepsilon^{1/3}$$

Consequently, the uniform part can be made arbitrarily small by choosing a sufficiently small  $\varepsilon$



## How to finish the proof?

Denote

$$\vartheta := \begin{cases} (\exp(\delta^{-E}))^{-1} & \text{when } 3 \leq n \leq 4 \\ (\exp(\exp(\delta^{-E})))^{-1} & \text{when } n \geq 5 \end{cases}$$

and choose

$$\varepsilon := \left(\frac{\vartheta}{3G}\right)^3, \quad J := \lfloor (39^{-1}\varepsilon^{-F})^{2^{n-2}} \rfloor + 1$$

Observe

$$2^{-J} \geq \begin{cases} (\exp(\exp(\delta^{-C(n,p,d)})))^{-1} & \text{when } 3 \leq n \leq 4 \\ (\exp(\exp(\exp(\delta^{-C(n,p,d)}))))^{-1} & \text{when } n \geq 5 \end{cases}$$

## How to finish the proof?

Take  $A \subseteq [0, 1]^d$  such that  $|A| \geq \delta$

By pigeonholing we find  $j \in \{1, 2, \dots, J\}$  such that for every  $\lambda \in (2^{-j}, 2^{-j+1}]$  we have

$$|\mathcal{N}_\lambda^\varepsilon(A) - \mathcal{N}_\lambda^1(A)| \leq \varepsilon^{-F} J^{-2^{-n+2}}$$

Now,  $I = (2^{-j}, 2^{-j+1}]$  is the desired interval!

Indeed, for  $\lambda \in I$  we can estimate

$$\begin{aligned} \mathcal{N}_\lambda^0(A) &\geq \mathcal{N}_\lambda^1(A) - |\mathcal{N}_\lambda^\varepsilon(A) - \mathcal{N}_\lambda^1(A)| - |\mathcal{N}_\lambda^0(A) - \mathcal{N}_\lambda^\varepsilon(A)| \\ &\geq \vartheta - \varepsilon^{-F} J^{-2^{-n+2}} - G\varepsilon^{1/3} \\ &\geq \vartheta - \vartheta/3 - \vartheta/3 > 0 \end{aligned}$$

## The error part

The most interesting part for us is the error part

We need to estimate

$$\sum_{j=1}^J \kappa_j (\mathcal{N}_{\lambda_j}^\varepsilon(A) - \mathcal{N}_{\lambda_j}^1(A))$$

for arbitrary scales  $\lambda_j \in (2^{-j}, 2^{-j+1}]$  and arbitrary complex signs  $\kappa_j$ , with a bound that is sub-linear in  $J$

## The error part

It can be expanded as

$$\int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \prod_{i=0}^{n-1} \mathbb{1}_A(x + iy) K(y) dy dx,$$

where

$$K(y) := \sum_{j=1}^J \kappa_j \left( (\sigma_{\lambda_j} * \varphi_{\varepsilon\lambda_j})(y) - (\sigma_{\lambda_j} * \varphi_{\lambda_j})(y) \right)$$

is a translation-invariant Calderón–Zygmund kernel

## The error part

If  $d = 1$  and  $K(y)$  is a truncation of  $1/y$ , then this becomes the (dualized and truncated) multilinear Hilbert transform,

$$\int_{\mathbb{R}} \int_{[-R, -r] \cup [r, R]} \prod_{i=0}^{n-1} f_i(x + iy) \frac{dy}{y} dx$$

- When  $n \geq 4$ , no  $L^p$ -bounds uniform in  $r, R$  are known
- Tao (2016) showed a bound of the form  $o(J)$
- Durcik, K., and Thiele (2019) showed a bound of the form  $O(J^{1-\varepsilon})$

## A stronger property

Most papers on the Euclidean density theorem simultaneously also establish a stronger property for subsets  $A \subseteq \mathbb{R}^d$  of positive upper Banach density

*Upper Banach density* of a measurable set  $A \subseteq \mathbb{R}^d$ :

$$\bar{\delta}(A) := \limsup_{R \rightarrow \infty} \sup_{x \in \mathbb{R}^d} \frac{|A \cap (x + [0, R]^d)|}{R^d} \in [0, 1]$$

## An open problem

### Problem

*Prove or disprove: if  $n \geq 4$ ,  $p \neq 1, 2, \dots, n-1$ ,  $d \geq D'(n, p)$ ,  $A \subseteq \mathbb{R}^d$  measurable,  $\bar{\delta}(A) > 0$ , then  $\exists \lambda_0 = \lambda_0(n, p, d, A) \in (0, \infty)$  such that for every  $\lambda \geq \lambda_0$  one can find  $x, y \in \mathbb{R}^d$  satisfying  $x, x + y, \dots, x + (n-1)y \in A$  and  $\|y\|_{\ell^p} = \lambda$*

Its difficulty  $\longleftrightarrow$  lack of our understanding of boundedness properties of multilinear Hilbert transforms

Thank you for your attention!