

JORDAN RIGIDITY OF FULL MATRIX ALGEBRAS

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ABSTRACT. Let \mathbb{F} be a field of characteristic different from 2, and let $M_n(\mathbb{F})^+$ denote the Jordan algebra of all $n \times n$ matrices over \mathbb{F} with product $X \circ Y := (XY + YX)/2$. We prove a rigidity theorem for $M_n(\mathbb{F})^+$, $n \geq 2$: if \mathcal{J} is any 2-torsion-free Jordan ring and $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$ is a Jordan multiplicative (product-preserving) map, then $\phi(0)$ is an idempotent and $X \mapsto \phi(X) - \phi(0)$ is either zero or an injective Jordan ring homomorphism. Thus, up to an idempotent constant, preservation of the Jordan product alone forces additivity and the zero-or-injective dichotomy. When specialized to associative codomains, the theorem yields the Jacobson–Rickart decomposition into homomorphic and antihomomorphic parts. In particular, for maps $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$, where \mathbb{K} is a field of characteristic different from 2, we also obtain a block normal form governed by finite-dimensional \mathbb{K} -representations of \mathbb{F} , together with a criterion for the existence of nonconstant maps.

1. INTRODUCTION

Jordan rings form an important class of nonassociative rings, closely related to associative rings but governed by their own structural theory. By a *Jordan ring* we mean an additive abelian group \mathcal{J} equipped with a biadditive commutative product $(x, y) \mapsto x \circ y$ satisfying the *Jordan identity*

$$x^2 \circ (x \circ y) = x \circ (x^2 \circ y), \quad x, y \in \mathcal{J},$$

where $x^2 := x \circ x$. We say that a Jordan ring is *2-torsion-free* if $2x = 0$ implies $x = 0$.

Let $\mathbb{Z}[1/2]$ denote the ring of dyadic rationals. If \mathcal{A} is an associative $\mathbb{Z}[1/2]$ -algebra, then \mathcal{A} gives rise to the corresponding *special Jordan ring* \mathcal{A}^+ , with the same additive group and product

$$(1.1) \quad x \circ y := \frac{1}{2}(xy + yx), \quad x, y \in \mathcal{A}.$$

A map $\phi : \mathcal{J}_1 \rightarrow \mathcal{J}_2$ between Jordan rings \mathcal{J}_1 and \mathcal{J}_2 is called *Jordan multiplicative* if

$$(1.2) \quad \phi(x \circ y) = \phi(x) \circ \phi(y), \quad x, y \in \mathcal{J}_1.$$

No additivity, scalar-linearity, or further compatibility condition is assumed.

The standard morphisms between Jordan rings are the *Jordan ring homomorphisms*, that is, additive maps satisfying (1.2). In the special Jordan setting (1.1), where an underlying associative ring is present, ordinary ring homomorphisms and antihomomorphisms give the basic examples. A classical problem is to decide when every Jordan ring homomorphism is of one of these two types, or more generally splits into homomorphic and antihomomorphic parts. Foundational results in this direction are due to Jacobson–Rickart, Herstein, and Smiley [10, 9, 21]; for a recent survey, see [2].

A parallel line of research concerns automatic additivity. Here one starts with a product-preserving map which is not assumed to be additive, and tries to recover additivity from additional hypotheses, usually bijectivity or some form of nondegeneracy. A fundamental result in this direction is Martindale’s theorem: every multiplicative bijection from a prime ring containing a nontrivial idempotent onto an arbitrary ring is additive [17]. Jordan-type versions of this problem have been studied, for example, in [3, 5, 6, 7, 11, 16, 19].

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The present paper combines these two viewpoints for full matrix Jordan algebras. Let \mathbb{F} be a field of characteristic different from 2, and let $M_n(\mathbb{F})$ be the algebra of all $n \times n$ matrices over \mathbb{F} . We study Jordan multiplicative maps from $M_n(\mathbb{F})^+$ into arbitrary 2-torsion-free Jordan rings. Unlike most existing automatic-additivity results, we impose neither bijectivity nor any nondegeneracy assumption on the map, and we impose no codomain hypothesis beyond 2-torsion-freeness. This extends the self-map case treated in [5], where automatic additivity and the explicit form of zero-preserving Jordan multiplicative self-maps of $M_n(\mathbb{F})^+$ were obtained. Our main result says that, for $n \geq 2$, every such map becomes a Jordan ring homomorphism after subtracting its value at zero. Since $0 \circ 0 = 0$, this value is necessarily an idempotent. For an idempotent e in a Jordan ring \mathcal{J} , set

$$\mathcal{J}_0(e) := \{x \in \mathcal{J} : e \circ x = 0\},$$

the Peirce 0-space of e . If \mathcal{J} is 2-torsion-free, then $\mathcal{J}_0(e)$ is a Jordan subring.

Theorem 1.1 (Main theorem). *Let \mathbb{F} be a field with $\text{char}(\mathbb{F}) \neq 2$, let $n \geq 2$, and let \mathcal{J} be a 2-torsion-free Jordan ring. A map $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$ is Jordan multiplicative if and only if $e := \phi(0)$ is an idempotent and there exists a Jordan ring homomorphism $\psi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}_0(e)$ such that*

$$\phi(X) = e + \psi(X), \quad X \in M_n(\mathbb{F}).$$

In this case, ψ is either zero or injective. Consequently, ϕ is either constant idempotent-valued or injective.

We call this phenomenon *Jordan rigidity*: preserving the Jordan product already forces a Jordan ring homomorphism after the idempotent value at zero is removed, and simplicity of $M_n(\mathbb{F})^+$ makes that homomorphism either zero or injective.

The assumption $n \geq 2$ cannot be omitted. If $\text{char}(\mathbb{F}) \neq 2$, the map $\mathbb{F} \rightarrow \mathbb{F}$ sending 0 to 0 and every nonzero element to 1 is zero-preserving and multiplicative, hence Jordan multiplicative for the one-dimensional Jordan algebra \mathbb{F}^+ , but it is not additive.

The proof of Theorem 1.1 is given in Section 3. We first consider the case in which the codomain is a Jordan algebra over $\mathbb{Z}[1/2]$. After subtracting the value at zero, the problem reduces to a zero-preserving Jordan multiplicative map $\psi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$. A Peirce-space calculation in the upper-left copy of $M_2(\mathbb{F})^+$ gives the initial additive relation

$$\psi(E_{11} + E_{22}) = \psi(E_{11}) + \psi(E_{22}),$$

where E_{11} and E_{22} are the first two diagonal matrix units. The main external input is [4, Theorem 1.1], which identifies the semigroup generated by the Jordan multiplication operators on $M_n(\mathbb{F})^+$ with $\text{End}_{\mathbb{F}}(M_n(\mathbb{F}))$. This theorem transports the single relation above to arbitrary pairs of matrices, yielding additivity of ψ . The general 2-torsion-free case follows by localizing the additive group at 2; the same argument also shows that $\mathcal{J}_0(e)$ is a Jordan subring. Finally, once additivity is known, the zero-or-injective dichotomy follows from the Jordan-ring simplicity of $M_n(\mathbb{F})^+$.

Section 4 specializes the main result to associative codomains, and then to full matrix algebras. In this setting, the Jacobson–Rickart theorem yields a decomposition of the nonconstant part into homomorphic and antihomomorphic components.

Theorem 1.2. *Let \mathbb{F} be a field with $\text{char}(\mathbb{F}) \neq 2$, let $n \geq 2$, let \mathcal{A} be a unital associative algebra over $\mathbb{Z}[1/2]$, and let $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{A}^+$ be Jordan multiplicative. Set $e := \phi(0)$ and $\psi := \phi - e$. Then e is an idempotent and ψ takes its values in the associative corner $(1 - e)\mathcal{A}(1 - e)$. Moreover, set $p := \psi(I_n)$. Then there exists an idempotent f in the associative subring of $p\mathcal{A}p$ generated by $\psi(M_n(\mathbb{F}))$, central in that subring, such that the map*

$$\theta : M_n(\mathbb{F}) \rightarrow f\mathcal{A}f, \quad \theta(X) := f\psi(X)$$

is a unital ring homomorphism, the map

$$\eta : M_n(\mathbb{F}) \rightarrow (p - f)\mathcal{A}(p - f), \quad \eta(X) := (p - f)\psi(X)$$

is a unital ring antihomomorphism, and $\psi = \theta + \eta$. Consequently, $\phi = e + \theta + \eta$.

For matrix codomains, this decomposition can be made completely explicit. The homomorphic part is put into standard matrix-unit form using Morita equivalence for full matrix rings [12, Section 17B], while the antihomomorphic part is treated in the same way after transposition.

Theorem 1.3. *Let \mathbb{F} and \mathbb{K} be fields with characteristics different from 2. Let $n \geq 2$, $k \geq 1$, and let $\phi : M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$ be Jordan multiplicative. Then there exist nonnegative integers s, r, u, t with $s + nr + nu + t = k$, and an invertible matrix $S \in \text{GL}_k(\mathbb{K})$, such that*

$$(1.3) \quad S\phi(X)S^{-1} = I_s \oplus \omega_n(X) \oplus \sigma_n(X^\top) \oplus 0_t, \quad X \in M_n(\mathbb{F}),$$

where X^\top denotes the transpose of X , and direct summands of size 0 are omitted.

- If $r > 0$, then $\omega : \mathbb{F} \rightarrow M_r(\mathbb{K})$ is a unital ring homomorphism, and $\omega_n : M_n(\mathbb{F}) \rightarrow M_n(M_r(\mathbb{K})) \cong M_{nr}(\mathbb{K})$ is its n -fold amplification, given by $\omega_n([x_{ij}]) := [\omega(x_{ij})]$.
- If $u > 0$, then $\sigma : \mathbb{F} \rightarrow M_u(\mathbb{K})$ is a unital ring homomorphism, and σ_n is defined analogously.

Conversely, every map of this form is Jordan multiplicative. Moreover, $\phi(0) = 0$ if and only if $s = 0$, and ϕ is unital if and only if $t = 0$.

When specialized to self-maps over the same field, Theorem 1.3 recovers the classification from [5]. In the general case, the new coefficients that appear in (1.3) are precisely the finite-dimensional \mathbb{K} -representations of \mathbb{F} . If $\text{char}(\mathbb{F}) \neq \text{char}(\mathbb{K})$, no nonzero coefficient block can occur; hence every Jordan multiplicative map $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$ is constant idempotent-valued.

Finally, if \mathbb{F} and \mathbb{K} have the same characteristic, let \mathbb{P} denote their common prime subfield. Then finite-dimensional unital representations of the \mathbb{P} -algebra \mathbb{F} on \mathbb{K} -vector spaces are equivalent to finite-dimensional unital modules over the commutative \mathbb{K} -algebra $\mathbb{K} \otimes_{\mathbb{P}} \mathbb{F}$, where \mathbb{K} acts through the first tensor factor. If $d_{\mathbb{K}}(\mathbb{F})$ is the least \mathbb{K} -dimension of a nonzero such module, with $d_{\mathbb{K}}(\mathbb{F}) = \infty$ when none exists, then nonconstant maps $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$ exist exactly when $k \geq n d_{\mathbb{K}}(\mathbb{F})$. We recall this description and compute $d_{\mathbb{K}}(\mathbb{F})$ in the finite separable and finite-field cases.

2. PRELIMINARIES

Throughout the paper, \mathbb{F} denotes a field with $\text{char}(\mathbb{F}) \neq 2$. For $n \geq 1$, we denote by I_n the identity matrix in $M_n(\mathbb{F})$ and by E_{ij} the standard matrix units.

A *Jordan algebra over $\mathbb{Z}[1/2]$* is a Jordan ring whose additive group is a $\mathbb{Z}[1/2]$ -module and whose product is $\mathbb{Z}[1/2]$ -bilinear. If \mathcal{J} is such an algebra and $a \in \mathcal{J}$, we write

$$L_a^{\mathcal{J}}(x) := a \circ x, \quad x \in \mathcal{J},$$

for the *Jordan multiplication operator* by a . When \mathcal{J} is clear from the context, we simply write L_a .

The main external input is the following theorem for $M_n(\mathbb{F})^+$, proved in [4, Theorem 1.1].

Theorem 2.1. *Let $n \geq 1$. Every \mathbb{F} -linear endomorphism of $M_n(\mathbb{F})$ is a finite composition of Jordan multiplication operators. Equivalently, the semigroup generated by the operators L_A , $A \in M_n(\mathbb{F})$, is the full endomorphism semigroup $\text{End}_{\mathbb{F}}(M_n(\mathbb{F}))$.*

Remark 2.2. We shall use that $M_n(\mathbb{F})^+$ is simple as a Jordan ring. This is a special case of Herstein's Jordan-ring simplicity theorem: if \mathcal{R} is a simple associative ring of characteristic different from 2, then \mathcal{R}^+ is simple as a Jordan ring [8, Theorem 1]. In the present matrix setting, the same conclusion also follows directly from Theorem 2.1. Indeed, let \mathcal{I} be a nonzero Jordan ideal of $M_n(\mathbb{F})^+$, that is, an additive subgroup invariant under all maps $L_A : X \mapsto A \circ X$, $A \in M_n(\mathbb{F})$. Choose $0 \neq X \in \mathcal{I}$. By Theorem 2.1, the semigroup generated by the operators L_A is $\text{End}_{\mathbb{F}}(M_n(\mathbb{F}))$; hence \mathcal{I} is invariant under every \mathbb{F} -linear endomorphism of $M_n(\mathbb{F})$. For any $Y \in M_n(\mathbb{F})$, choose $\Theta \in \text{End}_{\mathbb{F}}(M_n(\mathbb{F}))$ with $\Theta(X) = Y$. Then $Y = \Theta(X) \in \mathcal{I}$, so $\mathcal{I} = M_n(\mathbb{F})$.

We recall the Peirce notation and multiplication rules used below. Let \mathcal{J} be a Jordan algebra over $\mathbb{Z}[1/2]$, and let $e \in \mathcal{J}$ be an idempotent. The Peirce spaces of \mathcal{J} relative to e are the eigenspaces of the corresponding Jordan multiplication operator L_e :

$$\mathcal{J}_\lambda(e) := \{x \in \mathcal{J} : e \circ x = \lambda x\}, \quad \lambda \in \{1, \frac{1}{2}, 0\}.$$

They give the *Peirce decomposition*

$$\mathcal{J} = \mathcal{J}_1(e) \oplus \mathcal{J}_{1/2}(e) \oplus \mathcal{J}_0(e).$$

This is an algebraic direct-sum decomposition; no finite-dimensionality is assumed, and some summands may be zero. The spaces $\mathcal{J}_1(e)$ and $\mathcal{J}_0(e)$ are Jordan subalgebras, and $\mathcal{J}_1(e) \circ \mathcal{J}_0(e) = 0$.

Idempotents e_1, \dots, e_m are pairwise orthogonal if $e_i \circ e_j = 0$ whenever $i \neq j$. If e_1, \dots, e_m are pairwise orthogonal idempotents with sum e , then e is an idempotent and $\mathcal{J}_1(e)$ is a unital Jordan algebra with unit e . The simultaneous Peirce decomposition relative to e_1, \dots, e_m is taken inside $\mathcal{J}_1(e)$. Inside $\mathcal{J}_1(e)$, set

$$\mathcal{J}_{ii} := \{x \in \mathcal{J}_1(e) : e_i \circ x = x, e_j \circ x = 0 \text{ for } j \neq i\},$$

and, for $i \neq j$,

$$\mathcal{J}_{ij} := \{x \in \mathcal{J}_1(e) : e_i \circ x = e_j \circ x = \frac{1}{2}x, e_\ell \circ x = 0 \text{ for } \ell \notin \{i, j\}\}.$$

Then the simultaneous Peirce decomposition is, as a direct sum of $\mathbb{Z}[1/2]$ -submodules,

$$(2.1) \quad \mathcal{J}_1(e) = \bigoplus_i \mathcal{J}_{ii} \oplus \bigoplus_{i < j} \mathcal{J}_{ij}.$$

We shall use the standard Peirce multiplication rules:

$$(2.2) \quad \begin{aligned} \mathcal{J}_{ii} \circ \mathcal{J}_{jj} &= 0, & \mathcal{J}_{ii} \circ \mathcal{J}_{ij} &\subseteq \mathcal{J}_{ij}, & \mathcal{J}_{ij} \circ \mathcal{J}_{ij} &\subseteq \mathcal{J}_{ii} \oplus \mathcal{J}_{jj} & (i \neq j), \\ \mathcal{J}_{ii} \circ \mathcal{J}_{jk} &= 0 & (i \notin \{j, k\}), \\ \mathcal{J}_{ij} \circ \mathcal{J}_{jk} &\subseteq \mathcal{J}_{ik} & (i, j, k \text{ distinct}), \\ \mathcal{J}_{ij} \circ \mathcal{J}_{k\ell} &= 0 & (\{i, j\} \cap \{k, \ell\} = \emptyset). \end{aligned}$$

See, for example, [18, Part II, Chapters 8 and 13].

We also recall the following standard special-case form of the Peirce decomposition.

Remark 2.3. Let \mathcal{A} be a unital associative algebra over $\mathbb{Z}[1/2]$, and let $e \in \mathcal{A}$ be an idempotent. Then the Peirce spaces of \mathcal{A}^+ relative to e are

$$(\mathcal{A}^+)_1(e) = e\mathcal{A}e, \quad (\mathcal{A}^+)_{\frac{1}{2}}(e) = e\mathcal{A}(1-e) \oplus (1-e)\mathcal{A}e, \quad (\mathcal{A}^+)_0(e) = (1-e)\mathcal{A}(1-e).$$

Indeed, write every $a \in \mathcal{A}$ as

$$a = eae + ea(1-e) + (1-e)ae + (1-e)a(1-e).$$

With respect to the Jordan product in \mathcal{A}^+ , the operator $L_e : a \mapsto e \circ a$ acts as the identity on $e\mathcal{A}e$, as multiplication by $1/2$ on $e\mathcal{A}(1-e) \oplus (1-e)\mathcal{A}e$, and as zero on $(1-e)\mathcal{A}(1-e)$. Hence the displayed corner decomposition identifies these three summands with the Peirce 1, $1/2$, and 0 spaces, respectively.

Finally, we record the localization device used to pass from Jordan algebras over $\mathbb{Z}[1/2]$ to arbitrary 2-torsion-free Jordan rings. Let \mathcal{J} be a 2-torsion-free Jordan ring and let $S = \{1, 2, 2^2, \dots\} \subseteq \mathbb{Z}$. We localize the underlying \mathbb{Z} -module of \mathcal{J} at S and write $\mathcal{J}[1/2] := S^{-1}\mathcal{J}$. By the standard construction of modules of fractions and its tensor-product description [1, the construction preceding Proposition 3.3 and Proposition 3.5], there are canonical isomorphisms

$$S^{-1}\mathcal{J} \cong \mathbb{Z}[1/2] \otimes_{\mathbb{Z}} \mathcal{J} \cong \mathcal{J} \otimes_{\mathbb{Z}} \mathbb{Z}[1/2].$$

This construction uses only the underlying \mathbb{Z} -module of \mathcal{J} and hence does not require \mathcal{J} to be unital. Every element of $\mathcal{J}[1/2]$ can be written as $x/2^m$, with $x \in \mathcal{J}$ and $m \geq 0$. Moreover, $x/1 = 0$ if and only if $2^m x = 0$ for some $m \geq 0$; since \mathcal{J} is 2-torsion-free, the natural map $\mathcal{J} \rightarrow \mathcal{J}[1/2]$ is injective.

The product on \mathcal{J} extends uniquely by $\mathbb{Z}[1/2]$ -bilinearity to $\mathcal{J}[1/2]$; explicitly,

$$\frac{x}{2^r} \circ \frac{y}{2^s} := \frac{x \circ y}{2^{r+s}}.$$

With this product, $\mathcal{J}[1/2]$ is again a Jordan algebra. Indeed, if $a = x/2^r$ and $b = y/2^s$, then

$$a^2 \circ (a \circ b) - a \circ (a^2 \circ b) = \frac{x^2 \circ (x \circ y) - x \circ (x^2 \circ y)}{2^{3r+s}} = 0,$$

because the numerator is zero in \mathcal{J} . Thus $\mathcal{J}[1/2]$ is a Jordan algebra over $\mathbb{Z}[1/2]$.

Lemma 2.4. *Let \mathcal{J} be a 2-torsion-free Jordan ring. The canonical localization map*

$$\iota : \mathcal{J} \rightarrow \mathcal{J}[1/2], \quad x \mapsto x/1,$$

is an injective Jordan ring homomorphism. Moreover, if $e \in \mathcal{J}$ is an idempotent, then $\mathcal{J}_0(e)$ is a Jordan subring of \mathcal{J} .

Proof. The map ι is additive and preserves the Jordan product by construction. If $\iota(x) = 0$, then $2^m x = 0$ for some $m \geq 0$. Since \mathcal{J} is 2-torsion-free, repeated cancellation of 2 gives $x = 0$. Hence ι is injective.

Let $x, y \in \mathcal{J}_0(e)$. We view x, y as elements of $\mathcal{J}[1/2]$ through the embedding ι . Then $x, y \in (\mathcal{J}[1/2])_0(e)$. Since $(\mathcal{J}[1/2])_0(e)$ is a Jordan subalgebra of $\mathcal{J}[1/2]$ by the Peirce multiplication rules, it follows that $x \circ y \in (\mathcal{J}[1/2])_0(e)$. Equivalently, $e \circ (x \circ y) = 0$ in $\mathcal{J}[1/2]$. Since $x \circ y \in \mathcal{J}$ and ι is injective, this equality already holds in \mathcal{J} . Hence $x \circ y \in \mathcal{J}_0(e)$.

Finally, $\mathcal{J}_0(e)$ is an additive subgroup of \mathcal{J} : it contains 0, and if $x, y \in \mathcal{J}_0(e)$, then $e \circ (x - y) = e \circ x - e \circ y = 0$. Therefore $\mathcal{J}_0(e)$ is a Jordan subring of \mathcal{J} . \square

3. PROOF OF THE MAIN THEOREM

We first prove the zero-preserving case. For Jordan algebras over $\mathbb{Z}[1/2]$, the key point is to establish one additivity relation in the upper-left 2×2 corner, by means of a Peirce-decomposition argument. Once such a relation is known for two linearly independent matrices, Theorem 2.1 transports it to arbitrary pairs of matrices, and hence proves additivity of ϕ . We then pass to arbitrary 2-torsion-free Jordan rings by localization at 2. Finally, the general case of Theorem 1.1 is obtained by subtracting the idempotent value $\phi(0)$ and applying the zero-preserving result.

Proposition 3.1. *Let $\psi : M_2(\mathbb{F})^+ \rightarrow \mathcal{J}$ be Jordan multiplicative, where \mathcal{J} is a Jordan algebra over $\mathbb{Z}[1/2]$, and suppose that $\psi(0) = 0$. Then*

$$\psi(I_2) = \psi(E_{11} + E_{22}) = \psi(E_{11}) + \psi(E_{22}).$$

Proof. Set

$$e_1 := \psi(E_{11}), \quad e_2 := \psi(E_{22}), \quad e := \psi(I_2).$$

Since E_{11}, E_{22} and I_2 are idempotents, so are e_1, e_2 and e . Moreover,

$$e_1 \circ e_2 = \psi(E_{11} \circ E_{22}) = \psi(0) = 0, \quad e \circ e_i = \psi(I_2 \circ E_{ii}) = e_i, \quad i = 1, 2.$$

Also, since $I_2 \circ X = X$ for every $X \in M_2(\mathbb{F})$, we have

$$e \circ \psi(X) = \psi(X), \quad X \in M_2(\mathbb{F}).$$

Thus the image of ψ is contained in $\mathcal{J}_1(e)$. Set

$$e_3 := e - e_1 - e_2.$$

We claim that $e_3 = 0$. Indeed, first note that $e_3 \circ e_1 = 0$ and $e_3 \circ e_2 = 0$. Moreover,

$$\begin{aligned} e_3 \circ e_3 &= (e - e_1 - e_2) \circ (e - e_1 - e_2) \\ &= e \circ e - 2e \circ e_1 - 2e \circ e_2 + e_1 \circ e_1 + 2e_1 \circ e_2 + e_2 \circ e_2 \\ &= e - 2e_1 - 2e_2 + e_1 + e_2 = e - e_1 - e_2 = e_3. \end{aligned}$$

Thus e_1, e_2, e_3 are pairwise orthogonal idempotents with sum e .

Let

$$c := \psi(E_{12} + E_{21}), \quad d := \psi(2(E_{12} + E_{21})).$$

From

$$E_{11} \circ (2(E_{12} + E_{21})) = E_{12} + E_{21} = E_{22} \circ (2(E_{12} + E_{21}))$$

we get

$$(3.1) \quad e_1 \circ d = c = e_2 \circ d.$$

Decompose $d \in \mathcal{J}_1(e)$ relative to e_1, e_2, e_3 as in (2.1):

$$d = d_{11} + d_{22} + d_{33} + d_{12} + d_{13} + d_{23}.$$

Then

$$e_1 \circ d = d_{11} + \frac{1}{2}d_{12} + \frac{1}{2}d_{13}, \quad e_2 \circ d = d_{22} + \frac{1}{2}d_{12} + \frac{1}{2}d_{23}.$$

Comparing the direct Peirce components in (3.1) gives

$$d_{11} = d_{22} = d_{13} = d_{23} = 0,$$

and hence $c = \frac{1}{2}d_{12} \in \mathcal{J}_{12}$. Since

$$(E_{12} + E_{21}) \circ (E_{12} + E_{21}) = I_2,$$

we have

$$e = \psi(I_2) = \psi(E_{12} + E_{21}) \circ \psi(E_{12} + E_{21}) = c \circ c.$$

By the Peirce multiplication rule $\mathcal{J}_{12} \circ \mathcal{J}_{12} \subseteq \mathcal{J}_{11} \oplus \mathcal{J}_{22}$ from (2.2), the element $e = c \circ c$ has zero \mathcal{J}_{33} -component. On the other hand, since e_1, e_2, e_3 are pairwise orthogonal idempotents, we have $e_i \in \mathcal{J}_{ii}$ for $i = 1, 2, 3$. Therefore, in the simultaneous Peirce decomposition determined by e_1, e_2, e_3 , the \mathcal{J}_{33} -component of $e = e_1 + e_2 + e_3$ is exactly e_3 . Hence $e_3 = 0$ and consequently $e = e_1 + e_2$. \square

The next lemma is the point at which Theorem 2.1 enters the proof. It transports one additivity relation between two linearly independent matrices to all pairs of matrices.

Lemma 3.2. *Let $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$ be Jordan multiplicative, where \mathcal{J} is a Jordan algebra over $\mathbb{Z}[1/2]$. Suppose that $U, V \in M_n(\mathbb{F})$ are linearly independent and*

$$(3.2) \quad \phi(U + V) = \phi(U) + \phi(V).$$

Then ϕ is additive.

Proof. Let $X, Y \in M_n(\mathbb{F})$. Choose an \mathbb{F} -linear endomorphism Θ of $M_n(\mathbb{F})$ such that $\Theta(U) = X$ and $\Theta(V) = Y$. By Theorem 2.1, we may write $\Theta = L_{A_m} \cdots L_{A_1}$, for suitable matrices $A_1, \dots, A_m \in M_n(\mathbb{F})$, with the empty product allowed. Define the corresponding additive operator on \mathcal{J} by

$$\Sigma := L_{\phi(A_m)}^{\mathcal{J}} \cdots L_{\phi(A_1)}^{\mathcal{J}}, \quad L_a^{\mathcal{J}}(x) = a \circ x.$$

A direct induction using (1.2) gives

$$\phi(\Theta(Z)) = \Sigma(\phi(Z)), \quad Z \in M_n(\mathbb{F}).$$

Hence, the assumption and the additivity of Θ and Σ give

$$\begin{aligned} \phi(X + Y) &= \phi(\Theta(U) + \Theta(V)) = \phi(\Theta(U + V)) = \Sigma(\phi(U + V)) \stackrel{(3.2)}{=} \Sigma(\phi(U) + \phi(V)) \\ &= \Sigma(\phi(U)) + \Sigma(\phi(V)) = \phi(\Theta(U)) + \phi(\Theta(V)) = \phi(X) + \phi(Y). \end{aligned}$$

Thus ϕ is additive. □

Proposition 3.3. *Let $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$, $n \geq 2$ be Jordan multiplicative, where \mathcal{J} is a Jordan algebra over $\mathbb{Z}[1/2]$. If $\phi(0) = 0$, then ϕ is additive and is either the zero map or injective.*

Proof. Restrict ϕ to the upper-left 2×2 corner $M_2(\mathbb{F})^+$ of $M_n(\mathbb{F})^+$. Proposition 3.1 gives

$$\phi(E_{11} + E_{22}) = \phi(E_{11}) + \phi(E_{22}).$$

Since E_{11} and E_{22} are linearly independent, Lemma 3.2 implies that ϕ is additive. The kernel of the additive Jordan homomorphism ϕ is a Jordan ideal of the Jordan ring $M_n(\mathbb{F})^+$. By Remark 2.2, the kernel is either 0 or all of $M_n(\mathbb{F})$. In the first case ϕ is injective, and in the second case it is the zero map. □

Lemma 3.4. *Let \mathcal{J} be a 2-torsion-free Jordan ring, and let $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$, $n \geq 2$ be a Jordan multiplicative map such that $\phi(0) = 0$. Then ϕ is additive and is either zero or injective.*

Proof. Let $\iota : \mathcal{J} \rightarrow \mathcal{J}[1/2]$ be the injective localization map from Lemma 2.4. The composite $\iota \circ \phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}[1/2]$ maps zero to zero and is Jordan multiplicative, so Proposition 3.3 applies. Thus $\iota \circ \phi$ is additive and is either zero or injective. Injectivity of ι gives the same result for ϕ . □

Proof of Theorem 1.1. Suppose that ϕ is Jordan multiplicative. Since $0 \circ 0 = 0$, the element $e := \phi(0)$ is idempotent. Also, for any $X \in M_n(\mathbb{F})$, $0 \circ X = 0$ gives $e \circ \phi(X) = e$. Thus, $e \circ (\phi(X) - e) = e - e \circ e = 0$. Define

$$\psi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}, \quad \psi(X) := \phi(X) - e.$$

The map ψ takes its values in $\mathcal{J}_0(e)$. By Lemma 2.4, $\mathcal{J}_0(e)$ is a Jordan subring of \mathcal{J} ; since \mathcal{J} is 2-torsion-free, so is $\mathcal{J}_0(e)$. Furthermore $\psi(0) = 0$. For all $X, Y \in M_n(\mathbb{F})$ we have

$$\begin{aligned} e + \psi(X \circ Y) &= \phi(X \circ Y) = \phi(X) \circ \phi(Y) = (e + \psi(X)) \circ (e + \psi(Y)) \\ &= e + \psi(X) \circ \psi(Y). \end{aligned}$$

Hence $\psi(X \circ Y) = \psi(X) \circ \psi(Y)$, so ψ is zero-preserving and Jordan multiplicative. By Lemma 3.4, ψ is additive and is either zero or injective. Therefore ϕ is either constant equal to the idempotent e , or injective.

The converse is immediate. □

Corollary 3.5. *Let \mathcal{C} be a commutative associative 2-torsion-free ring, regarded as a Jordan ring with its usual product. If $n \geq 2$ and $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{C}$ is Jordan multiplicative, then ϕ is constant idempotent-valued. In particular, every Jordan multiplicative map $M_n(\mathbb{F})^+ \rightarrow \mathcal{D}$ into an integral domain \mathcal{D} of characteristic different from 2 is either the constant zero map or the constant one map.*

Proof. By Theorem 1.1, we have $\phi = e + \psi$, where $e := \phi(0)$ is an idempotent of \mathcal{C} and $\psi : M_n(\mathbb{F})^+ \rightarrow \mathcal{C}_0(e)$ is a Jordan ring homomorphism. Moreover, ψ is either zero or injective.

Assume that ψ is injective. Since \mathcal{C} is commutative and associative, so is every Jordan subring of \mathcal{C} . Hence the image of ψ is associative as a Jordan ring, and injectivity of ψ would imply that $M_n(\mathbb{F})^+$ is associative as a Jordan ring, which is a contradiction: for instance,

$$(E_{12} \circ E_{21}) \circ E_{11} = \frac{1}{2}E_{11} \neq \frac{1}{4}(E_{11} + E_{22}) = E_{12} \circ (E_{21} \circ E_{11}),$$

since $\text{char}(\mathbb{F}) \neq 2$. Thus $\psi = 0$, and ϕ is constant idempotent-valued. If $\mathcal{C} = \mathcal{D}$ is an integral domain with $\text{char}(\mathcal{C}) \neq 2$, then the only idempotents are 0 and 1. □

4. ASSOCIATIVE AND MATRIX CODOMAINS

We now specialize the main theorem to associative and matrix codomains. First observe the unital alternative. If \mathcal{J} is a unital 2-torsion-free Jordan ring and $\phi : M_n(\mathbb{F})^+ \rightarrow \mathcal{J}$ is a unital Jordan multiplicative map, then Theorem 1.1 implies that ϕ is either injective or is the constant map equal to the unit of \mathcal{J} . In the nonconstant case, the shifted map $\psi := \phi - \phi(0)$ is a Jordan ring monomorphism. For associative codomains, this brings the classical theorem of Jacobson–Rickart into play: additive Jordan homomorphisms from full matrix rings decompose into homomorphic and antihomomorphic parts. We shall use the following consequence of their matrix-ring theorem [10, Theorem 7]. It will be applied below to obtain the associative-codomain splitting and an explicit block diagonal form for full matrix codomains.

Corollary 4.1 (Jacobson–Rickart). *Let \mathcal{A} be a unital associative algebra over $\mathbb{Z}[1/2]$, and let $\psi : M_n(\mathbb{F})^+ \rightarrow \mathcal{A}^+$, $n \geq 2$, be a unital Jordan ring homomorphism. Then there exists an idempotent p in the associative subring generated by $\psi(M_n(\mathbb{F}))$, central in that subring, such that*

$$X \mapsto p\psi(X), \quad X \mapsto (1-p)\psi(X)$$

are respectively a ring homomorphism and a ring antihomomorphism, mapping I_n to p and $1-p$.

Proof. Since ψ is additive and both $M_n(\mathbb{F})$ and \mathcal{A} are $\mathbb{Z}[1/2]$ -modules, ψ is $\mathbb{Z}[1/2]$ -linear. Hence preservation of the special Jordan product (1.1) gives, for all $X, Y \in M_n(\mathbb{F})$,

$$\begin{aligned} \psi(XY + YX) &= 2\psi\left(\frac{XY + YX}{2}\right) \\ &= 2(\psi(X) \circ \psi(Y)) = \psi(X)\psi(Y) + \psi(Y)\psi(X). \end{aligned}$$

By the equivalence stated by Jacobson–Rickart [10, p. 479; see also p. 481], it follows that ψ is a Jordan homomorphism in their terminology. Therefore [10, Theorem 7] applies, and ψ is the sum of a homomorphism and an antihomomorphism. In the proof of that theorem, the summands are obtained by multiplication by complementary central idempotents in the associative subring generated by $\psi(M_n(\mathbb{F}))$. Since ψ is unital, this subring has identity $\psi(I_n) = 1$. Writing these idempotents as p and $1-p$ gives the stated form. \square

Proof of Theorem 1.2. By Theorem 1.1, $e := \phi(0)$ is idempotent and $\psi := \phi - e$ is a zero-preserving Jordan ring homomorphism into $(\mathcal{A}^+)_0(e)$. By Remark 2.3, this Peirce 0-space is the associative corner $(1-e)\mathcal{A}(1-e)$.

Set $p := \psi(I_n)$. Then p is an idempotent. Since $I_n \circ X = X$, we have $p \circ \psi(X) = \psi(X)$ for all $X \in M_n(\mathbb{F})$ and hence $\psi(X) = p\psi(X)p$ by Remark 2.3. Therefore, ψ is a unital Jordan ring homomorphism $\psi : M_n(\mathbb{F})^+ \rightarrow (p\mathcal{A}p)^+$, where the corner $p\mathcal{A}p$ is viewed as a unital associative algebra with identity p .

Applying Corollary 4.1 to ψ gives an idempotent f in the associative subring of $p\mathcal{A}p$ generated by $\psi(M_n(\mathbb{F}))$, central in that subring, such that $\theta(X) := f\psi(X)$ and $\eta(X) := (p-f)\psi(X)$ are respectively a unital ring homomorphism $M_n(\mathbb{F}) \rightarrow f\mathcal{A}f$ and a unital ring antihomomorphism $M_n(\mathbb{F}) \rightarrow (p-f)\mathcal{A}(p-f)$. Moreover, $\psi = \theta + \eta$. Therefore $\phi = e + \theta + \eta$, as claimed. \square

Let \mathbb{K} be another field of characteristic different from 2. We shall use the following standard normal form for finite-dimensional representations of full matrix rings. Let $\rho : M_n(\mathbb{F}) \rightarrow M_m(\mathbb{K})$ be a ring homomorphism. Then there are nonnegative integers r, t with $nr + t = m$, an invertible matrix $S \in \text{GL}_m(\mathbb{K})$, and, if $r > 0$, a unital ring homomorphism $\omega : \mathbb{F} \rightarrow M_r(\mathbb{K})$ such that

$$(4.1) \quad S\rho(X)S^{-1} = \omega_n(X) \oplus 0_t, \quad X \in M_n(\mathbb{F}).$$

Here ω_n is the n -fold amplification of ω : for $X = [x_{ij}] \in M_n(\mathbb{F})$,

$$\omega_n(X) := [\omega(x_{ij})] \in M_n(M_r(\mathbb{K})) \cong M_{nr}(\mathbb{K}).$$

In particular, $\omega_n : M_n(\mathbb{F}) \rightarrow M_{nr}(\mathbb{K})$ is a ring homomorphism. If $r = 0$, then $\rho = 0$ and $t = m$; if ρ is unital, then $t = 0$.

This is the familiar matrix-ring form of Morita equivalence [12, Section 17B]. In the same-field case $\mathbb{K} = \mathbb{F}$, this normal form is stated explicitly in [14, Theorem 2.2]. For different codomain fields, the same matrix-unit proof applies verbatim: the diagonal matrix units of $M_n(\mathbb{F})$ decompose the unital part of the representation into n isomorphic \mathbb{K} -vector spaces, and the action of the corner algebra $E_{11}M_n(\mathbb{F})E_{11} \cong \mathbb{F}$ on one of these spaces gives the coefficient homomorphism $\omega : \mathbb{F} \rightarrow M_r(\mathbb{K})$.

Proof of Theorem 1.3. Apply Theorem 1.2 to ϕ . Set $P := \phi(0)$ and $\psi := \phi - P$. Then P is an idempotent, ψ takes its values in the corner $(I_k - P)M_k(\mathbb{K})(I_k - P)$, and, with $Q := \psi(I_n)$, there is an idempotent R in the subring of $QM_k(\mathbb{K})Q$ generated by $\psi(M_n(\mathbb{F}))$, central in that subring, such that

$$\theta(X) := R\psi(X), \quad \eta(X) := (Q - R)\psi(X), \quad X \in M_n(\mathbb{F}),$$

are respectively a unital ring homomorphism into $RM_k(\mathbb{K})R$ and a unital ring antihomomorphism into $(Q - R)M_k(\mathbb{K})(Q - R)$, and

$$\phi(X) = P + \theta(X) + \eta(X), \quad X \in M_n(\mathbb{F}).$$

Since ψ takes its values in $(I_k - P)M_k(\mathbb{K})(I_k - P)$, we have $PQ = QP = 0$. Also $R \in QM_k(\mathbb{K})Q$, so $QR = RQ = R$. Hence P , R and $Q - R$ are pairwise orthogonal idempotents. Thus, after a similarity over \mathbb{K} , we may decompose

$$\mathbb{K}^k = \text{im } P \oplus \text{im } R \oplus \text{im}(Q - R) \oplus \ker(P + Q).$$

Relative to this decomposition,

$$\phi(X) = I_s \oplus \theta(X) \oplus \eta(X) \oplus 0_t, \quad X \in M_n(\mathbb{F}),$$

for some nonnegative integers s and t , with summands of size 0 omitted. After identifying the corners $RM_k(\mathbb{K})R$ and $(Q - R)M_k(\mathbb{K})(Q - R)$ with full matrix algebras over \mathbb{K} , we apply (4.1) to the homomorphism θ . This homomorphism is unital with respect to the identity R of its corner, since $\theta(I_n) = R$. We also apply (4.1) to the homomorphism

$$M_n(\mathbb{F}) \rightarrow (Q - R)M_k(\mathbb{K})(Q - R), \quad X \mapsto \eta(X^\top),$$

which is unital with respect to the identity $Q - R$ of its corner. Combining the resulting block similarities gives

$$S\phi(X)S^{-1} = I_s \oplus \omega_n(X) \oplus \sigma_n(X^\top) \oplus 0_t, \quad X \in M_n(\mathbb{F}),$$

for some nonnegative integers r, u satisfying $s + nr + nu + t = k$, where $\omega : \mathbb{F} \rightarrow M_r(\mathbb{K})$ and $\sigma : \mathbb{F} \rightarrow M_u(\mathbb{K})$ are unital ring homomorphisms when the corresponding summands are present.

The converse and the assertions about $\phi(0)$ and unitality follow immediately from this form. \square

Corollary 4.2. *If $\text{char}(\mathbb{F}) \neq \text{char}(\mathbb{K})$, then every Jordan multiplicative map $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$, $n \geq 2$, is constant idempotent-valued.*

Proof. By Theorem 1.3, a nonconstant map would have a coefficient homomorphism $\tau : \mathbb{F} \rightarrow M_r(\mathbb{K})$ for some $r \geq 1$. Since τ is unital, it is injective. For every positive integer ℓ , we have $\tau(\ell 1_{\mathbb{F}}) = \ell I_r$, and consequently

$$\ell 1_{\mathbb{F}} = 0 \iff \ell I_r = 0,$$

where the reverse implication uses injectivity of τ . Thus $\text{char}(\mathbb{F}) = \text{char}(M_r(\mathbb{K})) = \text{char}(\mathbb{K})$, contrary to the hypothesis. Hence no coefficient homomorphism can occur in the normal form (1.3). Therefore $r = u = 0$, and $S\phi(X)S^{-1} = I_s \oplus 0_t$ for every $X \in M_n(\mathbb{F})$. Thus ϕ is constant idempotent-valued. \square

For fields \mathbb{F} and \mathbb{K} , let $d_{\mathbb{K}}(\mathbb{F})$ be the least integer $r \geq 1$ for which there exists a unital ring homomorphism $\mathbb{F} \rightarrow M_r(\mathbb{K})$; if no such r exists, set $d_{\mathbb{K}}(\mathbb{F}) = \infty$.

Corollary 4.3. *Let \mathbb{F} and \mathbb{K} be fields of characteristics different from 2, and let $n \geq 2$ and $k \geq 1$. There exists a nonconstant Jordan multiplicative map $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$ if and only if $k \geq nd_{\mathbb{K}}(\mathbb{F})$, where the inequality is understood to be false when $d_{\mathbb{K}}(\mathbb{F}) = \infty$. Equivalently, if $k < nd_{\mathbb{K}}(\mathbb{F})$, then every Jordan multiplicative map $M_n(\mathbb{F})^+ \rightarrow M_k(\mathbb{K})^+$ is constant idempotent-valued.*

Proof. If a nonconstant map exists, then at least one homomorphic or antihomomorphic block occurs in (1.3). Hence a coefficient homomorphism occurs, its coefficient size is at least $d_{\mathbb{K}}(\mathbb{F})$ by definition, and therefore $k \geq nd_{\mathbb{K}}(\mathbb{F})$. Conversely, if $d := d_{\mathbb{K}}(\mathbb{F}) < \infty$ and $k \geq nd$, choose a unital homomorphism $\theta : \mathbb{F} \rightarrow M_d(\mathbb{K})$. Then $X \mapsto \theta_n(X) \oplus 0_{k-nd}$ is a nonconstant Jordan multiplicative map. This proves the equivalence, and the final assertion follows. \square

Remark 4.4. The coefficient homomorphisms in Theorem 1.3 have the usual module-theoretic interpretation. If $\text{char}(\mathbb{F}) \neq \text{char}(\mathbb{K})$, no such homomorphism exists. If \mathbb{F} and \mathbb{K} have common prime subfield \mathbb{P} , then, for each $r \geq 1$, similarity classes of unital homomorphisms $\mathbb{F} \rightarrow M_r(\mathbb{K})$ are in natural bijection with isomorphism classes of unital $\mathbb{K} \otimes_{\mathbb{P}} \mathbb{F}$ -modules of \mathbb{K} -dimension r , where \mathbb{K} acts through the first tensor factor. This is the standard passage between module structures and change of basis; see, e.g., [20, pp. 199, 201–202]. Namely, a unital homomorphism $\rho : \mathbb{F} \rightarrow M_r(\mathbb{K})$ defines the action

$$(a \otimes x)v := a\rho(x)v, \quad a \in \mathbb{K}, \quad x \in \mathbb{F}, \quad v \in \mathbb{K}^r.$$

Conversely, the action of $1 \otimes x$ gives a unital homomorphism $\mathbb{F} \rightarrow \text{End}_{\mathbb{K}}(V)$; choosing a \mathbb{K} -basis identifies this with a homomorphism $\mathbb{F} \rightarrow M_r(\mathbb{K})$, and a change of basis conjugates it.

Suppose that \mathbb{F}/\mathbb{P} is finite separable. Choose a primitive element $\alpha \in \mathbb{F}$ [13, Chapter V, Theorem 4.6], let $m_{\alpha}(x) \in \mathbb{P}[x]$ be its minimal polynomial, and write $m_{\alpha}(x) = g_1(x) \cdots g_s(x)$ over \mathbb{K} , where the g_i are distinct monic irreducible polynomials. Then the standard scalar-extension and Chinese-remainder decompositions give

$$\mathbb{K} \otimes_{\mathbb{P}} \mathbb{F} \cong \mathbb{K}[x]/(m_{\alpha}(x)) \cong \prod_{i=1}^s \mathbb{K}[x]/(g_i(x));$$

see [13, Chapter XVI, Exercise 2, and Chapter II, Corollary 2.2]. Therefore $d_{\mathbb{K}}(\mathbb{F}) = \min_{1 \leq i \leq s} \deg g_i$.

For finite fields, let $\mathbb{F} = \mathbb{F}_{p^a}$ and $\mathbb{K} = \mathbb{F}_{p^b}$, with p odd. Choose $\alpha \in \mathbb{F}_{p^a}$ such that $\mathbb{F}_{p^a} = \mathbb{F}_p(\alpha)$, and let $m_{\alpha} \in \mathbb{F}_p[x]$ be its minimal polynomial, of degree a . By [15, Theorem 3.46], the polynomial m_{α} factors over \mathbb{F}_{p^b} into $\gcd(a, b)$ distinct irreducible factors, each of degree $a/\gcd(a, b)$. Therefore $d_{\mathbb{F}_{p^b}}(\mathbb{F}_{p^a}) = a/\gcd(a, b)$. By Corollary 4.3, nonconstant Jordan multiplicative maps $M_n(\mathbb{F}_{p^a})^+ \rightarrow M_k(\mathbb{F}_{p^b})^+$ exist if and only if $k \geq na/\gcd(a, b)$.

Remark 4.5. It is natural to ask whether analogous rigidity statements hold for other finite-dimensional simple Jordan algebras. The proof given here uses two features specific to $M_n(\mathbb{F})^+$: the Peirce calculation in the upper-left copy of $M_2(\mathbb{F})^+$ and Theorem 2.1, which identifies the semigroup generated by the Jordan multiplication operators on $M_n(\mathbb{F})^+$ with the full endomorphism semigroup $\text{End}_{\mathbb{F}}(M_n(\mathbb{F}))$. We plan to address these questions in subsequent work.

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