

# Elementary Operators and Subhomogeneous $C^*$ -algebras

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Banach Algebras 2011  
August 3–10, University of Waterloo  
Ontario, Canada

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- 2 Induced contraction  $\theta_A^Z$
- 3 The surjectivity problem of  $\theta_A$
- 4 On equality  $\text{Im } \theta_A = E(A)$

# Elementary operators

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- By  $\text{Id}(A)$  we denote the set of all ideals of  $A$  (by an ideal we mean a closed two-sided ideal) and by  $\text{IB}(A)$  (resp.  $\text{ICB}(A)$ ) the set of all bounded (resp. all completely bounded) maps on  $A$  that preserve all ideals in  $\text{Id}(A)$ .

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- Note that every  $\phi \in \text{IB}(A)$  is  $Z$ -(bi)modular and its norm can be computed via the formula

$$\|\phi\| = \sup\{\|\phi_P\| : P \in \text{Prim}(A)\}, \quad (1)$$

where for  $J \in \text{Id}(A)$ ,  $\phi_J$  denotes the induced operator  $A/J \rightarrow A/J$ ,  $\phi_J : a + J \mapsto \phi(a) + J$ .

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- The similar formula is valid for the cb-norm of operators in  $\text{ICB}(A)$ .

- The simplest operators which lie in  $\text{ICB}(A)$  are the two-sided multiplication operators

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- The finite sums of two-sided multiplication operators are known as *elementary operators*.
- The set of all elementary operators on  $A$  is denoted by  $E(A)$ . Hence, for each  $T \in E(A)$  there exists a finite number of elements  $a_1, \dots, a_n \in A$  and  $b_1, \dots, b_n \in A$  such that

$$Tx = \left( \sum_{i=1}^n M_{a_i, b_i} \right) (x) = \sum_{i=1}^n a_i x b_i \quad (x \in A). \quad (2)$$

# Canonical contraction $\theta_A$

- If  $T \in \mathbb{E}(A)$  has a representation (2), it is easy to see that one has the following estimate for its cb-norm:

$$\|T\|_{cb} \leq \left\| \sum_{i=1}^n a_i a_i^* \right\|^{\frac{1}{2}} \left\| \sum_{i=1}^n b_i^* b_i \right\|^{\frac{1}{2}}.$$

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- Hence, if we endow the algebraic tensor product  $A \otimes A$  with the Haagerup norm

$$\|t\|_h := \inf \left\{ \left\| \sum_{i=1}^n a_i a_i^* \right\|^{\frac{1}{2}} \left\| \sum_{i=1}^n b_i^* b_i \right\|^{\frac{1}{2}} : t = \sum_{i=1}^n a_i \otimes b_i \right\},$$

we obtain the well-defined contraction

$$(A \otimes A, \|\cdot\|_h) \rightarrow (\mathbb{E}(A), \|\cdot\|_{cb}),$$

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- Clearly, the range of  $\theta_A$  lies in  $\text{ICB}(A)$ .
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- Clearly, the range of  $\theta_A$  lies in  $\text{ICB}(A)$ .
- The two basic questions concerning the contraction  $\theta_A$  are under which conditions on  $A$  is  $\theta_A$  injective or isometric?
- Clearly, if  $A$  contains a pair of non-zero orthogonal ideals then  $\theta_A$  cannot be injective.
- Hence, a necessary condition for the injectivity of  $\theta_A$  is that  $A$  must be a prime  $C^*$ -algebra.

## When is $\theta_A$ injective or isometric?

The converse of the last statement is also true, in fact in the prime case  $\theta_A$  is even isometric:

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### Theorem (Mathieu)

*The following conditions are equivalent:*

- (i)  $A$  is prime;
- (ii)  $\theta_A$  is injective;
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This result was first proved by Haagerup (1980) for the case  $A = B(\mathcal{H})$  ( $\mathcal{H}$  is a Hilbert space). Chatterjee and Sinclair (1992) showed that  $\theta_A$  is isometric if  $A$  is a separably-acting von Neumann factor. Finally, Mathieu (2003) proved the result for all prime  $C^*$ -algebras.

Using Mathieu's theorem together with the cb-version of formula (1), one obtains the following important formula for the cb-norm of  $\theta_A(t)$ :

$$\|\theta_A(t)\|_{cb} = \sup\{\|t^P\|_h : P \in \text{Prim}(A)\}, \quad (3)$$

where for  $J \in \text{Id}(A)$ ,  $t^J$  denotes the canonical image of  $t$  in the quotient algebra  $(A \otimes_h A)/(J \otimes_h A + A \otimes_h J)$  (which is isometrically isomorphic to  $(A/J) \otimes_h (A/J)$ , a result due to Allen, Sinclair and Smith).

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If  $A$  has a non-trivial center (so that  $A$  is certainly not prime), one can consider the closed ideal  $J_A$  of  $A \otimes_h A$  generated by the tensors of the form

$$az \otimes b - a \otimes zb \quad (a, b \in A, z \in Z),$$

(note that  $J_A \subseteq \ker \theta_A$ ) and the induced contraction

$$\theta_A^Z : (A \otimes_h A) / J_A \rightarrow \text{ICB}(A),$$

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### Definition

*The Banach algebra  $(A \otimes_h A)/J_A$  with the quotient norm  $\|\cdot\|_{Z,h}$  is known as the central Haagerup tensor product of  $A$ , and is denoted by  $A \otimes_{Z,h} A$ .*

# When is $\theta_A^Z$ isometric or injective?

Here is a brief historical overview:

- Chatterjee and Smith (1993) first showed that  $\theta_A^Z$  is isometric if  $A$  is a von Neumann algebra or if  $\text{Prim}(A)$  is Hausdorff.

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- Ara and Mathieu (1994) showed that  $\theta_A^Z$  is isometric if  $A$  is boundedly centrally closed.
- A further generalization was obtained by Somerset (1998):

## Theorem (Somerset)

- (i) *The formula (3) is also valid if we replace  $\text{Prim}(A)$  by the larger set  $\text{Primal}(A)$ . Hence,*

$$\|\theta_A(t)\|_{cb} = \sup\{\|t^Q\|_h : Q \in \text{Primal}(A)\}.$$

- (ii)  $\|t\|_{Z,h} = \sup\{\|t^G\|_h : G \in \text{Glimm}(A)\}$ . Hence,

$$J_A = \bigcap \{G \otimes_h A + A \otimes_h G : G \in \text{Glimm}(A)\}.$$

- (iii)  $Q \in \text{Id}(A)$  is 2-primal if and only if  $\ker \theta_A \subseteq Q \otimes_h A + A \otimes_h Q$ , so

$$\ker \theta_A = \bigcap \{Q \otimes_h A + A \otimes_h Q : Q \in \text{Primal}_2(A)\}. \quad (4)$$

Hence,  $\theta_A^Z$  is isometric if every Glimm ideal of  $A$  is primal, and  $\theta_A^Z$  is injective if and only if every Glimm ideal of  $A$  is 2-primal.

After some time, Archbold, Somerset and Timoney (2005) proved that the primality of Glimm ideals of  $A$  is also a necessary condition for  $\theta_A^Z$  to be isometric, so that the isometry problem of  $\theta_A^Z$  was also solved in terms of the ideal structure of  $A$ :

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Theorem (Archbold, Somerset and Timoney)

*$\theta_A^Z$  is isometric if and only if every Glimm ideal of  $A$  is primal.*

## Glimm and primal ideals

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- The set of all Glimm ideals of  $A$  is denoted by  $\text{Glimm}(A)$ , and is equipped with the topology from the maximal ideal space of  $Z$ , such that  $\text{Glimm}(A)$  is a compact Hausdorff space homeomorphic to the maximal ideal space of  $Z$ .

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- Thus, by the Dauns-Hofmann theorem we can identify  $Z$  with the  $C^*$ -algebra  $C(\text{Glimm}(A))$  of continuous complex valued functions on  $\text{Glimm}(A)$ .
- For  $P \in \text{Prim}(A)$  let  $\phi_A(P)$  be the unique Glimm ideal of  $A$  such that  $\phi_A(P) \subseteq P$ . The map  $\phi_A : \text{Prim}(A) \rightarrow \text{Glimm}(A)$ ,  $\phi_A : P \mapsto \phi_A(P)$  is continuous and is known as the *complete regularization map*.

## On Glimm and primal ideals

- An ideal  $Q$  of  $A$  is said to be  $n$ -primal ( $n \geq 2$ ) if whenever  $J_1, \dots, J_n$  are ideals of  $A$  with  $J_1 \cdots J_n = \{0\}$ , then at least one  $J_i$  is contained in  $Q$ .

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- It is not difficult to see that every 2-primal ideal contains a unique Glimm ideal.
- Also, one can show that an ideal  $Q$  of  $A$  is  $n$ -primal if for all  $P_1, \dots, P_n \in \text{Prim}(A/Q)$  there exists a net  $(P_\alpha)$  in  $\text{Prim}(A)$  which converges to each element of  $\{P_1, \dots, P_n\}$ .

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- Hence,  $\text{Prim}(A)$  is Hausdorff if and only if

$$\text{Glimm}(A) = \text{Primal}_2(A) \setminus \{A\} = \text{Prim}(A).$$

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### Theorem (Magajna)

*Let  $A$  be a unital separable  $C^*$ -algebra. Then  $\text{Im } \theta_A = \text{ICB}(A)$  if and only if  $A$  is a finite sum of (unital separable) homogeneous  $C^*$ -algebras. Moreover, in this case we have  $\text{IB}(A) = \text{ICB}(A) = E(A)$ .*

## Homogeneous $C^*$ -algebras

- Recall that (a not necessarily unital)  $C^*$ -algebra  $B$  is said to be  $n$ -homogeneous if its irreducible representations are of the same finite dimension  $n$ . In this case  $X := \text{Prim}(B)$  is a (locally compact) Hausdorff space, so its canonical  $C^*$ -bundle  $\mathfrak{B}$  over  $X$ , (whose fibres are just matrix algebras  $M_n(\mathbb{C})$ ) is continuous, and moreover locally trivial (a result due to Fell (1961)).

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- If  $X$  admits a finite cover  $\{U_j\}$  such that each restriction bundle  $\mathfrak{B}|_{U_j}$  is trivial as a vector (resp.  $C^*$ -bundle) we say that  $\mathfrak{B}$  (and hence  $B$ ) is of finite type as a vector bundle (resp.  $C^*$ -bundle).

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- If  $X$  admits a finite cover  $\{U_j\}$  such that each restriction bundle  $\mathfrak{B}|_{U_j}$  is trivial as a vector (resp.  $C^*$ -bundle) we say that  $\mathfrak{B}$  (and hence  $B$ ) is of finite type as a vector bundle (resp.  $C^*$ -bundle).
- Fortunately, every continuous  $M_n(\mathbb{C})$ -bundle is of finite type as a vector bundle if and only if it is of finite type as a  $C^*$ -bundle (a result due to Phillips (2007)).

## Two remarks

- Magajna's theorem is also valid in a non-unital case, but then  $\theta_A$  is defined on  $M(A) \otimes_h M(A)$ , and theorem then says that  $\text{Im } \theta_A = \text{ICB}(A)$  if and only if  $A$  is a finite direct sum of homogeneous  $C^*$ -algebras of finite type.

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- We note that in the inseparable case the problem remains open.

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### Problem

*Characterize all (unital)  $C^*$ -algebras  $A$  for which  $\text{Im } \theta_A$  is as small as possible, hence equal  $\mathbb{E}(A)$ .*

Following Magajna's work, we considered the dual question:

### Problem

*Characterize all (unital)  $C^*$ -algebras  $A$  for which  $\text{Im } \theta_A$  is as small as possible, hence equal  $E(A)$ .*

Using Somerset's description (4) of  $\ker \theta_A$  and some additional calculations inside  $A \otimes_h A$ , we obtained the following result:

## Theorem (G. 2011)

*Suppose that  $A$  satisfies the equality  $\text{Im } \theta_A = E(A)$ . Then  $A$  is necessarily subhomogeneous. Moreover, if  $A$  is separable then there exists a finite number of elements  $a_1, \dots, a_n \in A$  whose canonical images linearly generate every two-primal quotient of  $A$ , i.e.*

$$\text{span}\{a_1 + Q, \dots, a_n + Q\} = A/Q \quad \text{for all } Q \in \text{Primal}_2(A). \quad (5)$$

- Recall,  $A$  is said to be subhomogeneous if the dimensions of its irreducible representations are bounded by some finite constant.

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- Recall,  $A$  is said to be subhomogeneous if the dimensions of its irreducible representations are bounded by some finite constant.
- The condition (5) seems to be rather technical, but it has a nice interpretation in some cases.

- For example, Phillips (2007) introduced the class of *recursively subhomogeneous  $C^*$ -algebras*, which play an important role in K-theory. In separable case, those are just subhomogeneous  $C^*$ -algebras satisfying the following condition: If

$$0 = J_0 \trianglelefteq J_1 \trianglelefteq \cdots \trianglelefteq J_n = A$$

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- We proved that a unital separable  $C^*$ -algebra  $A$  is recursively subhomogeneous if and only if there exists a finite number of elements  $a_1, \dots, a_n \in A$  whose canonical images linearly generate every primitive quotient of  $A$ .

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- We proved that a unital separable  $C^*$ -algebra  $A$  is recursively subhomogeneous if and only if there exists a finite number of elements  $a_1, \dots, a_n \in A$  whose canonical images linearly generate every primitive quotient of  $A$ .
- Since  $\text{Primal}_2(A)$  contains  $\text{Prim}(A)$ , (5) implies that every unital separable  $C^*$ -algebras satisfying  $\text{Im } \theta_A = \text{E}(A)$  must be recursively subhomogeneous (the converse is not true in general).

## Bundles

- In order to prove the partial converse, recall that to every unital (or more generally quasi-central)  $C^*$ -algebra  $A$  one can associate the canonical upper semicontinuous  $C^*$ -bundle  $\mathfrak{A}$  over  $X := \text{Max}(Z)$ , such that  $A \cong \Gamma(\mathfrak{A})$ , where  $\Gamma(\mathfrak{A})$  denotes the algebra of all continuous sections of  $\mathfrak{A}$  (fibres of  $\mathfrak{A}$  are just the Glimm quotients).

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- The similar statement is true for Hilbert  $C(X)$ -modules, but it is an important fact that their canonical Hilbert bundles are automatically continuous.
- Using this canonical duality between Hilbert  $C(X)$ -modules and continuous Hilbert bundles over  $X$ , we obtained the following result:

## Theorem (G. 2011)

Let  $X$  be a compact metrizable space and let  $V$  be a Hilbert  $C(X)$ -module with its canonical Hilbert bundle  $\mathfrak{H}$ . The following conditions are equivalent:

- (i)  $V$  is topologically finitely generated, i.e. there exists a finite number of elements of  $V$  whose  $C(X)$ -linear span is dense in  $V$ .
- (ii) Fibres  $\mathfrak{H}_x$  of  $\mathfrak{H}$  have uniformly finite dimensions, and each restriction bundle of  $\mathfrak{H}$  over a set where  $\dim \mathfrak{H}_x$  is constant is of finite type (as a vector bundle).
- (iii) there exists  $N \in \mathbb{N}$  such that for every Banach  $C(X)$ -module  $W$ , each tensor in the  $C(X)$ -projective tensor product  $V \otimes_{C(X)}^{\pi} W$  is of (finite) rank at most  $N$ .

## Partial converse

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- First suppose that  $A$  is subhomogeneous and that  $\mathfrak{A}$  is continuous (which is equivalent to the fact that the complete regularization map  $\phi_A : \text{Prim}(A) \rightarrow \text{Glimm}(A)$  is open).

## Partial converse

- We shall use the latter theorem in order to prove the partial converse of our theorem on  $\text{Im } \theta_A = E(A)$ .
- First suppose that  $A$  is subhomogeneous and that  $\mathfrak{Q}$  is continuous (which is equivalent to the fact that the complete regularization map  $\phi_A : \text{Prim}(A) \rightarrow \text{Glimm}(A)$  is open).
- In this case we proved that every Glimm ideal of  $A$  must be primal and that the dimensions of fibres of  $\mathfrak{Q}$  are bounded by some finite constant.

- Now, let  $X_1, \dots, X_k$  be a (necessarily finite) partition of  $X$  such that the fibers of  $\mathfrak{A}|_{X_i}$  are mutually  $*$ -isomorphic (if  $\dim A < \infty$ , then  $A$  is just a finite direct sum of matrix algebras). If in addition  $A$  is separable, then using the fact that the Glimm ideals of  $A$  are primal (hence 2-primal) one can show that the condition (5) is equivalent to the fact that each restriction bundle  $\mathfrak{A}|_{X_i}$  is of finite type as a vector bundle.

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- If one would know that  $\mathfrak{A}|_{X_i}$  are also of finite type as  $C^*$ -bundles, then our proof would be more direct (fibres of  $\mathfrak{A}|_{X_i}$  are no simple in general, so we cannot use Phillips's result on equivalence of finite type).

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- Since each  $\mathfrak{A}_i$  is locally trivial as a  $C^*$ -bundle, on each  $C^*$ -algebra  $A_i := \Gamma_0(\mathfrak{A}_i)$  one can find a  $C_0(X_i)$ -valued inner product  $\langle \cdot, \cdot \rangle_i$  whose induced norm  $a \mapsto \|\langle a, a \rangle_i\|_\infty^{\frac{1}{2}}$  is equivalent to the  $C^*$ -norm of  $A_i$  (hence  $(A_i, \langle \cdot, \cdot \rangle_i)$  is a Hilbert  $C_0(X_i)$ -module).

Now, using induction on  $k$  (=the cardinality of  $\{X_i\}$ ) together with the theorem on topologically finitely generated Hilbert  $C(X)$ -modules, one obtains the similar result for  $C^*$ -algebras:

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### Theorem (G. 2011)

*Let  $A$  be a unital separable  $C^*$ -algebra, such that  $\mathfrak{A}$  is continuous. The following conditions are equivalent:*

- (i)  $A$  satisfies (5).*
- (ii)  $A$  as a Banach  $Z = C(X)$ -module is t.f.g.*
- (iii)  $\sup_{x \in X} \dim \mathfrak{A}_x < \infty$ , and each restriction bundle of  $\mathfrak{A}$  over a set where  $\dim \mathfrak{A}_x$  is constant is of finite type (as a vector bundle).*
- (iv) there exists  $N \in \mathbb{N}$  such that for every Banach  $C(X)$ -module  $W$ , each tensor in the  $C(X)$ -projective tensor product  $V \otimes_{C(X)}^\pi W$  is of (finite) rank at most  $N$ .*

- Finally, we use a result of Kumar and Sinclair (1998) which says that if  $A$  is a subhomogeneous  $C^*$ -algebra, then the Haagerup and projective norm on  $A \otimes A$  are equivalent. Hence,  $A \otimes_{Z,h} A$  and  $A \otimes_{C(X)}^{\pi} A$  are isomorphic as Banach spaces.

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- As we proved, there exists  $N \in \mathbb{N}$  such that each tensor  $t \in A \overset{\pi}{\otimes}_{C(X)} A$  can be written in a form  $t = \sum_{i=1}^m a_i \otimes_X b_i$ , for some  $m \leq N$  and  $a_i, b_i \in A$ , so the same conclusion holds for tensors in  $A \otimes_{Z,h} A$ .

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- Finally, since  $A$  is subhomogeneous, the cb-norm and the operator norm on  $\text{ICB}(A)$  are equivalent, so  $\overline{E(A)} = \overline{E(A)}_{cb}$ , and since every Glimm ideal of  $A$  is primal, Somerset's theorem implies  $\overline{\overline{E(A)}_{cb}} = \text{Im } \theta_A^Z = \text{Im } \theta_A$ . Putting all together, we obtain:

## Corollary

Let  $A$  be a unital separable  $C^*$ -algebra such that  $\mathfrak{A}$  is continuous. The following conditions are equivalent:

- (i)  $A$  satisfies (5).
- (ii)  $\overline{\overline{E(A)}} = E(A)$  or  $\overline{\overline{E(A)}}_{cb} = E(A)$  or  $\text{Im } \theta_A = E(A)$ .
- (iii)  $\sup_{x \in X} \dim \mathfrak{A}_x < \infty$ , and each restriction bundle of  $\mathfrak{A}$  over a set where  $\dim \mathfrak{A}_x$  is constant is of finite type (as a vector bundle).
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## Problem

What can be said in a more general case, for example in a case when every Glimm ideal of  $A$  is 2-primal?

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