# CLASSIFICATION OF JORDAN MULTIPLICATIVE MAPS ON MATRIX ALGEBRAS

### ILJA GOGIĆ, MATEO TOMAŠEVIĆ

ABSTRACT. Let  $M_n(\mathbb{F})$  be the algebra of  $n \times n$  matrices over a field  $\mathbb{F}$  of characteristic not equal to 2. If  $n \geq 2$ , we show that an arbitrary map  $\phi : M_n(\mathbb{F}) \to M_n(\mathbb{F})$  is Jordan multiplicative, i.e. it satisfies the functional equation

$$\phi(XY + YX) = \phi(X)\phi(Y) + \phi(Y)\phi(X), \text{ for all } X, Y \in M_n(\mathbb{F})$$

if and only if one of the following holds: either  $\phi$  is constant and equal to a fixed idempotent, or there exists an invertible matrix  $T \in M_n(\mathbb{F})$  and a ring monomorphism  $\omega : \mathbb{F} \to \mathbb{F}$  such that

$$\phi(X) = T\omega(X)T^{-1}$$
 or  $\phi(X) = T\omega(X)^{t}T^{-1}$ , for all  $X \in M_n(\mathbb{F})$ ,

where  $\omega(X)$  denotes the matrix obtained by applying  $\omega$  entrywise to X. In particular, any Jordan multiplicative map  $\phi: M_n(\mathbb{F}) \to M_n(\mathbb{F})$  with  $\phi(0) = 0$  is automatically additive. The analogous characterization fails when  $\mathbb{F}$  has characteristic 2.

#### 1. INTRODUCTION

An interesting class of problems in algebra revolves around exploring the interaction between the multiplicative and additive structures of rings and algebras. A landmark result in this area, due to Martindale [16, Corollary], states that any bijective multiplicative map from a prime ring containing a nontrivial idempotent onto an arbitrary ring is necessarily additive, and thus a ring isomorphism. Another fundamental result by Jodeit and Lam in [13] provides a classification of non-degenerate multiplicative self-maps on the matrix rings  $M_n(\mathcal{R})$  over a principle ideal domain  $\mathcal{R}$  (i.e. maps that are not identically zero on all zero-determinant matrices). Specifically, they show that for each such map  $\phi : M_n(\mathcal{R}) \to M_n(\mathcal{R})$  one of the following holds: either there exists a nonzero idempotent matrix  $P \in M_n(\mathcal{R})$  such that the map  $\phi - P$  is multiplicative and degenerate, or there exists an invertible matrix  $T \in M_n(\mathcal{R})$ and a ring endomorphism  $\omega$  of  $\mathcal{R}$  such that

$$\phi(X) = T\omega(X)T^{-1}$$
 or  $\phi(X) = T\omega(X)^*T^{-1}$ , for all  $X \in M_n(\mathcal{R})$ .

where  $\omega(X)$  denotes the matrix obtained by applying  $\omega$  entrywise to X, and  $(\cdot)^*$  represents the corresponding cofactor matrix. In particular, all bijective multiplicative self-maps on  $M_n(\mathcal{R})$  are automatically additive and, consequently, ring automorphisms of  $M_n(\mathcal{R})$ . More recently, Šemrl in [19] provided a extensive classification of the (non-degenerate) multiplicative self-maps on matrix rings over arbitrary division rings. Additionally, in [18], Šemrl described the structure of multiplicative bijective maps on standard operator algebras, which are subalgebras of bounded linear maps on a complex Banach space that contain all finite-rank operators.

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On the other hand, any associative ring (algebra)  $\mathcal{A}$  naturally inherits the structure of a Jordan ring (algebra), via the *Jordan product* defined by

$$x \diamond y := xy + yx$$
, for all  $x, y \in \mathcal{A}$ .

When working with algebras  $\mathcal{A}$  over a filed  $\mathbb{F}$  of characteristic not equal to 2, it is often more convenient to use the *normalized Jordan product*, defined by

(1.1) 
$$x \circ y := \frac{1}{2}(xy + yx), \text{ for all } x, y \in \mathcal{A}.$$

The Jordan structure of algebras plays an important role in various areas, especially in the mathematical foundations of quantum mechanics (see e.g. [21]). The corresponding morphisms between rings (algebras)  $\mathcal{A}$  and  $\mathcal{B}$  are called *Jordan homomorphisms*, which are additive (linear) maps  $\psi : \mathcal{A} \to \mathcal{B}$  satisfying

(1.2) 
$$\phi(x \diamond y) = \phi(x) \diamond \phi(y), \text{ for all } x, y \in \mathcal{A}.$$

For 2-torsion-free rings (algebras), condition (1.2) is equivalent to the property that  $\phi$  preservers squares, i.e.

$$\phi(x^2) = \phi(x)^2$$
, for all  $x \in \mathcal{A}$ ,

and, trivially, to the condition

(1.3) 
$$\phi(x \circ y) = \phi(x) \circ \phi(y), \quad \text{for all } x, y \in \mathcal{A},$$

when both  $\mathcal{A}$  and  $\mathcal{B}$  are  $\mathbb{F}$ -algebras with char( $\mathbb{F}$ )  $\neq 2$ . The most notable examples of Jordan homomorphisms are multiplicative and antimultiplicative maps. In fact, one of the central problems in Jordan theory, initially addressed by Jacobson and Rickart in [10] (see also [8, 20]) is to determine the conditions on rings (algebras) that guarantee any (typically surjective) Jordan homomorphism between rings (algebras) is either multiplicative, antimultiplicative, or, more generally, a suitable combination of such maps. For more recent developments on this topic, we refer to Brešar's paper [1] and the references therein.

Furthermore, when both  $\mathcal{A}$  and  $\mathcal{B}$  are standard operator algebras, with dim  $\mathcal{A} > 1$ , Molnar classifies all bijective maps  $\phi : \mathcal{A} \to \mathcal{B}$  satisfying (1.3) in [17, Theorem 1]. An important consequence of Molnar's result is that all such maps are automatically additive. Moreover, the same classification result applies to bijective maps  $\phi$  satisfying (1.2). Indeed, as noted in [7, Remark 3.7], if  $\phi : \mathcal{A} \to \mathcal{B}$  is  $\diamond$ -preserving (where  $\mathcal{A}$  and  $\mathcal{B}$  are any  $\mathbb{F}$ -algebras over a field  $\mathbb{F}$  with char( $\mathbb{F} \neq 2$ ), then the map  $\psi : \mathcal{A} \to \mathcal{B}$  defined by

(1.4) 
$$\psi(x) := 2\phi\left(\frac{x}{2}\right), \text{ for all } x \in \mathcal{A}$$

is evidently o-preserving. Referring back to Molnar's classification theorem [17, Theorem 1], the finite-dimensional variant asserts that any bijective map  $\phi : M_n(\mathbb{C}) \to M_n(\mathbb{C}), n \geq 2$ , satisfying (1.3) (or (1.2)) takes the form

$$\phi(X) = T\omega(X)T^{-1}$$
 or  $\phi(X) = T\omega(X)^{t}T^{-1}$ , for all  $X \in M_{n}(\mathbb{C})$ ,

where  $T \in M_n(\mathbb{C})$  is an invertible matrix and  $\omega$  is a ring automorphism of  $\mathbb{C}$ , with  $(\cdot)^t$  denoting the matrix transposition. In our recent work [7], the authors extended both [13, Corollary] and the finite-dimensional version of [17, Theorem 1] to the context of injective maps on structural matrix algebras (SMAs), which are subalgebras of  $M_n(\mathbb{C})$  containing all diagonal matrices (for a simple characterization of SMAs see [6, Proposition 3.1]). For additional variants and generalizations of Molnar's result, particularly those related to the automatic additivity of bijective maps satisfying (1.2) or (1.3), we refer the reader to [11, 12, 14, 15] and the references therein. The objective of this paper is to present a complete classification of Jordan multiplicative self-maps on matrix algebras. In contrast to the more intricate Jodeit-Lam's classification of the corresponding multiplicative self-maps, the Jordan multiplicative case exhibits a notably simpler structure:

**Theorem 1.1.** Let  $\mathbb{F}$  be a field with  $char(\mathbb{F}) \neq 2$  and let  $\phi : M_n(\mathbb{F}) \to M_n(\mathbb{F})$ ,  $n \geq 2$ , be an arbitrary map satisfying either (1.2) or (1.3). Then, one of the following holds:

- (a)  $\phi$  is a constant map, equal to a fixed idempotent, or
- (b)  $\phi$  is an additive map, and thus a Jordan ring monomorphism of  $M_n(\mathbb{F})$ . Consequently, there exists an invertible matrix  $T \in M_n(\mathbb{F})$  and a ring monomorphism  $\omega : \mathbb{F} \to \mathbb{F}$ such that

(1.5) 
$$\phi(X) = T\omega(X)T^{-1}$$
 or  $\phi(X) = T\omega(X)^{t}T^{-1}$ , for all  $X \in M_{n}(\mathbb{F})$ .

The proof of Theorem 1.1 will be presented in Section §3. The approach follows a similar strategy to that in [7], relying entirely on elementary linear algebra techniques. Let us also highlight that a related variant of Theorem 1.1, concerning the Jordan multiplicative self-maps on the real subspace of self-adjoint matrices in  $M_n(\mathbb{C})$  (with  $n \geq 3$ ), was obtained by Fošner et al. in [4, Proposition 5.2]. We conclude the paper by demonstrating that non-constant Jordan multiplicative maps defined on general central SMAs, the  $C^*$ -algebra of bounded linear operators on an infinite-dimensional Hilbert space, or on  $M_n(\mathbb{F})$  when char( $\mathbb{F}$ ) = 2 (the  $\diamond$ -multiplicative variant), are no longer automatically additive (Examples 3.6, 3.7 and 3.8).

#### 2. NOTATION AND PRELIMINARIES

We now introduce some notation that will be used throughout the paper. Let  $\mathbb{F}$  be a fixed field of characteristic not equal to 2. By  $\mathbb{F}^{\times}$  we denote the group of all nonzero elements in  $\mathbb{F}$ . Given a unital associative  $\mathbb{F}$ -algebra  $\mathcal{A}$ , by  $\operatorname{Idem}(\mathcal{A})$  we denote the partially ordered set of all idempotents  $\mathcal{A}$ , where

$$p \leq q \quad \text{if} \quad pq = qp = p.$$
  
For  $p \in \text{Idem}(\mathcal{A})$  we denote  $p^{\perp} := 1 - p \in \text{Idem}(\mathcal{A})$ . Further, for  $p, q \in \text{Idem}(\mathcal{A})$  we write  
 $p \perp q \quad \text{if} \quad pq = qp = 0.$ 

We use  $\circ$  to denote the normalized Jordan product, defined by (1.1). Obviously  $p \in \mathcal{A}$  is an idempotent if and only if it is a Jordan idempotent (i.e. satisfies  $p \circ p = p$ ). We explicitly state the following simple lemma from [7], which will be used on several occasions.

**Lemma 2.1** ([7, Lemma 2.1]). Let  $\mathcal{A}$  be an  $\mathbb{F}$ -algebra. For  $p, q \in \text{Idem}(\mathcal{A})$  and an arbitrary  $a \in \mathcal{A}$  we have:

(a)  $p \circ a = 0$  if and only if pa = ap = pap = 0.

- (b)  $p \circ a = a$  if and only if pa = ap = pap = a.
- (c)  $p \perp q$  if and only if  $p \circ q = 0$ .
- (d)  $p \leq q$  if and only if  $p \circ q = p$ .

Let  $n \in \mathbb{N}$  be a fixed positive integer.

- By [n] we denote the set  $\{1, \ldots, n\}$  and by  $\Delta_n$  the diagonal  $\{(j, j) : j \in [n]\}$  in  $[n]^2$ .
- By  $M_n = M_n(\mathbb{F})$  we denote the algebra of  $n \times n$  matrices over  $\mathbb{F}$  and by  $\mathcal{D}_n$  its subalgebra consisting of all diagonal matrices.
- The rank of a matrix  $X \in M_n$  is denoted by r(X).
- For matrices  $X, Y \in M_n$ , we write  $X \propto Y$  to indicate that either X = Y = 0, or they are both nonzero and collinear.

- As usual, for  $i, j \in [n]$ , by  $E_{ij} \in M_n$  we denote the standard matrix unit with 1 at the position (i, j) and 0 elsewhere. For a matrix  $X = [X_{ij}]_{i,j=1}^n \in M_n$  we define its support as

$$\operatorname{supp} X := \{ (i, j) \in [n]^2 : X_{ij} \neq 0 \}.$$

- Given a ring endomorphism  $\omega$  of  $\mathbb{F}$ , we use the same symbol  $\omega$  to denote the induced ring endomorphism of  $M_n$ , defined by applying  $\omega$  to the each entry of the corresponding matrix:

$$\omega(X) = [\omega(X_{ij})]_{i,j=1}^n$$
, for all  $X = [X_{ij}]_{i,j=1}^n \in M_n$ .

It is well-known that  $M_n$  is a simple algebra, and hence a simple Jordan algebra (see e.g. [9, Corollary of Theorem 1.1]). In fact, we have the following simple yet useful observation.

**Proposition 2.2.** For an arbitrary matrix  $X \in M_n$  define the subset  $\mathcal{J}_X \subseteq M_n$  by

$$\mathcal{J}_X := \left\{ \left( \cdots \left( X \circ Y_1 \right) \circ Y_2 \right) \circ \cdots \right) \circ Y_k : k \in \mathbb{N}, \, Y_1, \ldots, Y_k \in M_n \right\}.$$

If  $X \neq 0$ , then  $\mathcal{J}_X = M_n$ .

*Proof.* Fix a nonzero matrix  $X \in M_n$ . It suffices to show that  $I \in \mathcal{J}_X$ .

• Suppose that  $X_{ij} \neq 0$  for some distinct  $i, j \in [n]$ . First of all, we have

$$X_{ij}E_{ji} = E_{ji}XE_{ji} = (X \circ E_{ji}) \circ (2E_{ji}) \in \mathcal{J}_X,$$

so that

$$E_{ii} = \left( (X_{ij}E_{ji}) \circ \left(\frac{2}{X_{ij}}E_{ij}\right) \right) \circ E_{ii} \in \mathcal{J}_X$$

• Otherwise, suppose that  $X \in \mathcal{D}_n$  and fix some  $i \in [n]$  such that  $X_{ii} \neq 0$ . Then

$$E_{ii} = \left(X \circ \left(\frac{1}{X_{ii}} E_{ii}\right)\right) \circ E_{ii} \in \mathcal{J}_X$$

In any case,  $E_{ii} \in \mathcal{J}_X$  for some  $i \in [n]$  also implies  $E_{jj} \in \mathcal{J}_X$  for all  $j \in [n]$ . Indeed, if  $j \neq i$ :

$$E_{ji} = (2E_{ji}) \circ E_{ii} \in \mathcal{J}_X \implies E_{ii} + E_{jj} = E_{ji} \circ (2E_{ij}) \in \mathcal{J}_X$$
$$\implies E_{jj} = (E_{ii} + E_{jj}) \circ E_{jj} \in \mathcal{J}_X.$$

For  $r \in [n]$  denote

$$D_r := \sum_{j \in [r]} E_{jj} \in M_n.$$

We prove that  $D_r \in \mathcal{J}_X$  for all  $r \in [n]$  by induction on r (then  $I = D_n \in \mathcal{J}_X$ ). To illustrate the process on a concrete example, consider n = 5. We have

$\underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 &$
$\in \mathcal{J}_X$ $= B_3$ $\in \mathcal{J}_X$
$\underbrace{\begin{bmatrix} 0 & 0 & 2 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 &$
$=A_3 \in \mathcal{J}_X \qquad \qquad =C_3$
$\underbrace{\begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ -2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 &$
$=A_4 = A_4 \circ D_2 \in \mathcal{J}_X \qquad =B_4 \qquad \in \mathcal{J}_X$
$\underbrace{\begin{bmatrix} 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & -2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0$
$\in \mathcal{J}_X = C_4$
$\underbrace{\left[\begin{array}{ccccccccccccccccccccccccccccccccccc$
$\underbrace{\begin{bmatrix} 0 & 0 & 0 & 0 & 2 \\ 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0$

Of course, if  $p := \operatorname{char}(\mathbb{F}) \neq 0$ , all operations are performed modulo p.

We continue with the proof for general n. For r = 1 we have  $D_1 = E_{11} \in \mathcal{J}_X$ . Suppose that  $D_{r-1} \in \mathcal{J}_X$  for some  $2 \leq r \leq n$ . We prove that  $D_r \in \mathcal{J}_X$ . Let  $(p_j)_{j \in \mathbb{N}}$  be a sequence in  $\mathbb{F}$  defined as

$$p_j := \begin{cases} 1, & \text{if } j = 1, \\ 2 \cdot 3^{j-2}, & \text{if } j \ge 2, \end{cases}$$

and

$$A_r := \begin{cases} \left(\sum_{1 \le j \le k} E_{jj}\right) - 2\left(\sum_{1 \le j < i \le k} E_{ij}\right), & \text{if } r = 2k, \\ \left(\sum_{1 \le j \le k+1} E_{jj}\right) - 2\left(\sum_{1 \le j < i \le k} E_{ij}\right), & \text{if } r = 2k+1, \end{cases}$$
$$B_r := \begin{cases} -8E_{k,k+1} + 4\left(\sum_{1 \le i \le j \le k} p_i E_{j,2k+i-j}\right), & \text{if } r = 2k, \\ -E_{k+1,k+1} + 4\left(\sum_{1 \le i \le j \le k} p_i E_{j,2k+1+i-j}\right), & \text{if } r = 2k+1, \end{cases}$$
$$C_r := \begin{cases} -E_{k+1,k} + \sum_{1 \le j \le k} E_{2k+1-j,j}, & \text{if } r = 2k, \\ -E_{k+1,k+1} + \sum_{1 \le j \le k} E_{2k+2-j,j}, & \text{if } r = 2k+1. \end{cases}$$

We have that supp  $A_r \subseteq [r-1] \times [r-1]$ , so

$$A_r = A_r \circ D_{r-1} \in \mathcal{J}_X.$$

Hence, using the observation  $p_j = 2(p_1 + \cdots + p_{j-1})$ , for  $j \ge 2$ , a straightforward calculation shows that

$$D_r = (A_r \circ B_r) \circ C_r \in \mathcal{J}_X.$$

We shall also require the following elementary fact, which is a simplified version of [7, Lemma 3.3] (applicable to general SMAs).

**Lemma 2.3.** Let  $S \subseteq [n]^2 \setminus \Delta_n$ ,  $n \ge 2$ , be a nonempty subset. Suppose that for each  $(i, j) \in S$  we have:

(a)  $(i, k) \in S$ , for all  $k \in [n] \setminus \{i\}$ , (b)  $(l, j) \in S$ , for all  $l \in [n] \setminus \{j\}$ , (c)  $(j, i) \in S$ . Then  $S = [n]^2 \setminus \Delta_n$ .

*Proof.* Fix some  $(i, j) \in \mathcal{S}$  and let  $(k, l) \in [n]^2 \setminus \Delta_n$  be arbitrary. If  $k \neq j$ , then

$$(i,j) \in \mathcal{S} \stackrel{(b)}{\Longrightarrow} (k,j) \in \mathcal{S} \stackrel{(a)}{\Longrightarrow} (k,l) \in \mathcal{S}.$$

If  $l \neq i$ , then

$$(i,j) \in \mathcal{S} \stackrel{(a)}{\Longrightarrow} (i,l) \in \mathcal{S} \stackrel{(b)}{\Longrightarrow} (k,l) \in \mathcal{S}.$$

Finally, if (k, l) = (j, i), then the claim follows directly from (c).

#### 3. Proof of Theorem 1.1

Let  $m, n \in \mathbb{N}$  be fixed throughout the proof. Before proving our main result, we first establish some preliminary results, starting with the following straightforward consequence of Proposition 2.2.

**Lemma 3.1.** Let  $\mathcal{A}$  be an arbitrary  $\mathbb{F}$ -algebra and let  $\phi : M_n \to \mathcal{A}$  be a  $\circ$ -multiplicative map such that  $\phi(X) = 0$  for some nonzero matrix  $X \in M_n$ . Then  $\phi$  is the zero map.

*Proof.* By Proposition 2.2 we have  $\mathcal{J}_X = M_n$ , and therefore  $\phi(X) = 0$  implies that  $\phi$  is the zero map.

The following lemma, which is a variant of [7, Lemma 3.4] (originally for injective omultiplicative maps on SMAs), outlines the general properties of (not necessarily injective) o-multiplicative maps between matrix algebras.

6

**Lemma 3.2.** Let  $\phi: M_n \to M_m$ , be a  $\circ$ -multiplicative map. Then the following holds true:

- (a)  $\phi$  preserves idempotents, i.e.  $\phi(\operatorname{Idem}(M_n)) \subseteq \operatorname{Idem}(M_m)$ .
- (b) For  $P, Q \in \text{Idem}(M_n)$  we have  $P \leq Q \implies \phi(P) \leq \phi(Q)$ .

Suppose now that  $\phi$  is nonzero but  $\phi(0) = 0$ . Then:

(c) For  $P, Q \in \text{Idem}(M_n)$  we have  $P \perp Q \implies \phi(P) \perp \phi(Q)$ .

(d) For each nonzero  $P \in \text{Idem}(M_n)$  we have  $r(\phi(P)) \ge r(P)$  (in particular,  $m \ge n$ ). Further, if m = n, then:

- (e) For each  $P \in \text{Idem}(M_n)$  we have  $r(\phi(P)) = r(P)$ .
- (f) For each  $P \in \text{Idem}(M_n)$  we have  $\phi(P^{\perp}) = \phi(P)^{\perp}$ .
- (g) The restriction  $\phi|_{\operatorname{Idem}(M_n)}$ :  $\operatorname{Idem}(M_n) \to \operatorname{Idem}(M_n)$  is orthoadditive, i.e.

$$P \perp Q \implies \phi(P+Q) = \phi(P) + \phi(Q), \text{ for all } P, Q \in \text{Idem}(M_n).$$

(h) Suppose that  $P_1, \ldots, P_r \in \text{Idem}(M_n)$  are mutually orthogonal and let  $\lambda_1, \ldots, \lambda_r \in \mathbb{F}$ . Then

$$\phi\left(\sum_{j=1}^r \lambda_j P_j\right) = \sum_{j=1}^r \phi(\lambda_j P_j).$$

*Proof.* (a) This is clear.

(b) We have

$$\phi(P) = \phi(P \circ Q) = \phi(P) \circ \phi(Q)$$

which is by Lemma 2.1 equivalent to  $\phi(P) \leq \phi(Q)$ .

(c) We have

$$\phi(P) \circ \phi(Q) = \phi(P \circ Q) = \phi(0) = 0,$$

so again by Lemma 2.1,  $\phi(P) \perp \phi(Q)$ .

(d) Let  $P \in \text{Idem}(M_n)$  be an arbitrary idempotent of rank  $r \geq 1$ . There exist mutually orthogonal rank-one idempotents  $P_1, \ldots, P_r \in \text{Idem}(M_n)$  such that  $P = P_1 + \cdots + P_r$ . Since  $\phi$  is not the zero map, by Lemma 3.1  $\phi$  cannot annihilate any nonzero matrix, so in particular  $\phi(P_j) \neq 0$  for all  $j \in [r]$ . Therefore,

$$P_1, \ldots, P_r \leq P \stackrel{(b)}{\Longrightarrow} \underbrace{\phi(P_1), \ldots, \phi(P_r)}_{\text{mutually orthogonal by (c)}} \leq \phi(P).$$

Consequently,  $r(\phi(P)) \ge r$ .

(e) Let  $P \in \text{Idem}(M_n)$  be an arbitrary idempotent. By (c) we have  $\phi(P) \perp \phi(P^{\perp})$  and hence,

$$n = r(P) + r(P^{\perp}) \stackrel{(d)}{\leq} r(\phi(P)) + r(\phi(P^{\perp})) \leq n$$

and thus  $r(\phi(P)) = r(P)$ .

- (f) In view of (c) and (e), we have that  $\phi(P^{\perp})$  is an idempotent orthogonal to  $\phi(P)$  of rank  $r(P^{\perp}) = r(\phi(P)^{\perp})$ . Consequently,  $\phi(P^{\perp}) = \phi(P)^{\perp}$ .
- (g) Since  $P \perp Q$ , we have that P + Q is again an idempotent and  $P, Q \leq P + Q$ . Statements (b) and (c) imply

$$\underbrace{\phi(P), \phi(Q)}_{\text{orthogonal}} \le \phi(P+Q)$$

and hence

$$\phi(P) + \phi(Q) \le \phi(P+Q).$$

Finally, we have

$$\begin{aligned} r(\phi(P) + \phi(Q)) &= r(\phi(P)) + r(\phi(Q)) \stackrel{(e)}{=} r(P) + r(Q) = r(P+Q) \\ \stackrel{(e)}{=} r(\phi(P+Q)), \end{aligned}$$

so equality follows.

(h) We have

$$\phi\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right) = \phi\left(\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right)\circ\left(\sum_{l=1}^{r}P_{l}\right)\right) = \phi\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right)\circ\phi\left(\sum_{l=1}^{r}P_{l}\right)$$
$$\stackrel{(g)}{=}\phi\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right)\circ\left(\sum_{l=1}^{r}\phi(P_{l})\right) = \sum_{l=1}^{r}\left(\phi\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right)\circ\phi(P_{l})\right)$$
$$= \sum_{l=1}^{r}\phi\left(\left(\sum_{j=1}^{r}\lambda_{j}P_{j}\right)\circ P_{l}\right) = \sum_{l=1}^{r}\phi(\lambda_{l}P_{l}).$$

In the sequel,  $\mathbb{K}$  will denote the prime subfield of  $\mathbb{F}$ , i.e.  $\mathbb{K}$  is generated by the multiplicative identity of  $\mathbb{F}$  (see e.g. [5]). Note that  $\mathbb{K} \cong \mathbb{Q}$  if  $\operatorname{char}(\mathbb{F}) = 0$ , or  $\mathbb{K} \cong \mathbb{Z}/p\mathbb{Z}$  if  $p = \operatorname{char}(\mathbb{F}) > 0$ .

**Lemma 3.3.** Let  $\phi: M_n \to M_n$  be a nonzero  $\circ$ -multiplicative map such that  $\phi(0) = 0$ . There exists a unique multiplicative map  $\omega: \mathbb{F} \to \mathbb{F}$  such that

(3.1) 
$$\phi(\lambda X) = \omega(\lambda)\phi(X), \quad \text{for all } \lambda \in \mathbb{F} \text{ and } X \in M_n.$$

Further, if  $n \geq 2$ , the map  $\omega : \mathbb{F} \to \mathbb{F}$  is a ring monomorphism. In particular,  $\phi$  is K-homogeneous.

*Proof.* In view of Lemmas 3.1 and 3.2 (c) and (e),  $\phi(E_{11}), \ldots, \phi(E_{nn})$  are mutually orthogonal rank-one idempotents and therefore can be simultaneously diagonalized. Hence, by passing to map  $T^{-1}\phi(\cdot)T$ , for a suitable invertible matrix  $T \in M_n$ , without loss of generality we can assume that

(3.2) 
$$\phi(E_{jj}) = E_{jj} \quad \text{for all } j \in [n].$$

Obviously  $\phi(\mathbb{F}^{\times}E_{jj}) \neq \{0\}$  (again by Lemma 3.1), for all  $j \in [n]$ . Note that for each  $X \in M_n$  and  $S \subseteq [n]$  we have

$$(3.3) \qquad \operatorname{supp} X \subseteq S \times S \implies \operatorname{supp} \phi(X) \subseteq S \times S.$$

Indeed, denote the diagonal idempotent  $P := \sum_{j \in [n] \setminus S} E_{jj}$  and note that a matrix  $X \in M_n$  is supported in  $S \times S$  if and only if XP = PX = 0. In that case, obviously  $X \circ P = 0$ , so

$$0 = \phi(X \circ P) = \phi(X) \circ \phi(P) \stackrel{\text{Lemma 3.2(g), (3.2)}}{=} \phi(X) \circ P$$

and hence Lemma 2.1 (a) implies the claim.

Let  $j \in [n]$  and  $\lambda \in \mathbb{F}^{\times}$ . Then

$$\phi(\lambda E_{jj}) = \phi((\lambda E_{jj}) \circ E_{jj}) = \phi(\lambda E_{jj}) \circ E_{jj}.$$

In view of Lemma 2.1 (b) we have

$$\phi(\lambda E_{jj}) = E_{jj}\phi(\lambda E_{jj})E_{jj} = \phi(\lambda E_{jj})_{jj}E_{jj}.$$

Since  $\phi(0) = 0$ , it follows that there exists a unique map  $\omega_j : \mathbb{F} \to \mathbb{F}$  such that

$$\phi(\lambda E_{jj}) = \omega_j(\lambda)\phi(E_{jj}), \text{ for all } \lambda \in \mathbb{F}.$$

Fix distinct  $i, j \in [n]$ . For  $\lambda \in \mathbb{F}^{\times}$  by (3.2) we have

$$\omega_i(2\lambda)\phi(E_{ij})\circ E_{ii} = \phi(E_{ij}\circ(2\lambda E_{ii})) = \phi(\lambda E_{ij}) = \phi(E_{ij}\circ(2\lambda E_{jj}))$$
$$= \omega_j(2\lambda)\phi(E_{ij})\circ E_{jj}.$$

Note that (3.3) implies that  $\operatorname{supp} \phi(\lambda E_{ij}) \subseteq \{i, j\} \times \{i, j\}$ . By  $\phi(\lambda E_{ij})^2 = 0$  and Lemma 3.1 it follows that

(3.4) 
$$\phi(\lambda E_{ij}) \propto E_{ij}$$
 or  $E_{ji}$ .

Returning to the previous equation, it follows  $\omega_i(2\lambda) = \omega_j(2\lambda)$ . We conclude  $\omega_i = \omega_j$  so there exists a unique globally defined map  $\omega : \mathbb{F} \to \mathbb{F}$  such that

$$\phi(\lambda E_{jj}) = \omega(\lambda) E_{jj}, \quad \text{for all } \lambda \in \mathbb{F}, j \in [n].$$

Now we prove (3.1). For  $\lambda \in \mathbb{F}$  we have

$$\phi(\lambda I) = \phi\left(\sum_{j \in [n]} \lambda E_{jj}\right) \stackrel{\text{Lemma 3.2 (h)}}{=} \sum_{j \in [n]} \phi(\lambda E_{jj}) = \sum_{j \in [n]} \omega(\lambda)\phi(E_{jj})$$

$$\stackrel{(3.2)}{=} \omega(\lambda)I.$$

Now, for arbitrary  $X \in M_n$  and  $\lambda \in \mathbb{F}$  we have

$$\phi(\lambda X) = \phi(X \circ (\lambda I)) = \phi(X) \circ \phi(\lambda I) = \lambda \phi(X).$$

For some  $i \in [n]$  and  $\lambda, \mu \in \mathbb{F}$  (again using (3.2)) we have

$$\omega(\lambda\mu)E_{ii} = \phi((\lambda\mu)E_{ii}) = \phi((\lambda E_{ii}) \circ (\mu E_{ii})) = \phi(\lambda E_{ii}) \circ \phi(\mu E_{ii})$$
$$= \omega(\lambda)\omega(\mu)E_{ii},$$

which implies  $\omega(\lambda \mu) = \omega(\lambda)\omega(\mu)$ , so  $\omega$  is a multiplicative map.

Assume now that  $n \ge 2$ . The argument that  $\omega$  is additive is similar to the proof of [7, Theorem 3.1, Claim 5]. For completeness, we include the details. Let  $i, j \in [n]$  be distinct. For fixed  $x, y \in \mathbb{F}$  consider the idempotents

$$E_{ii} + xE_{ij}, E_{jj} + yE_{ij} \in \text{Idem}(M_n).$$

By (3.3) we see that

$$\operatorname{supp} \phi(E_{ii} + xE_{ij}) \subseteq \{i, j\} \times \{i, j\}.$$

Denote

$$\phi(E_{ii} + xE_{ij}) = \sum_{(r,s)\in\{i,j\}\times\{i,j\}} \alpha_{rs} E_{rs}, \quad \alpha_{rs} \in \mathbb{F}.$$

From now on, in view of (3.4) assume that  $\phi(E_{ij}) = \beta E_{ij}$  for some  $\beta \in \mathbb{F}^{\times}$  as the other case (i.e.  $\phi(E_{ij}) = \beta E_{ji}$ ) is similar. We have

$$\omega\left(\frac{1}{2}x\right)\beta E_{ij} = \phi\left(\frac{1}{2}xE_{ij}\right) = \phi((E_{ii} + xE_{ij}) \circ E_{jj}) \stackrel{(3.2)}{=} \phi(E_{ii} + xE_{ij}) \circ E_{jj}$$
$$= \frac{1}{2}\alpha_{ij}E_{ij} + \frac{1}{2}\alpha_{ji}E_{ji} + \alpha_{jj}E_{jj}.$$

Since  $\phi(E_{ii} + xE_{ij})$  is an idempotent and  $\omega^{-1}(\{0\}) = \{0\}$ , we conclude

$$\alpha_{ij} = 2\omega \left(\frac{1}{2}x\right)\beta, \qquad \alpha_{ji} = \alpha_{jj} = 0, \qquad \alpha_{ii} = 1.$$

Hence

$$\phi(E_{ii} + xE_{ij}) = E_{ii} + 2\omega \left(\frac{1}{2}x\right)\beta E_{ij}$$

In an analogous way we arrive at the equality

$$\phi(E_{jj} + yE_{ij}) = E_{jj} + 2\omega\left(\frac{1}{2}y\right)\beta E_{ij}.$$

We have

$$\omega\left(\frac{x+y}{2}\right)\beta E_{ij} = \phi\left(\frac{x+y}{2}E_{ij}\right) = \phi((E_{ii}+xE_{ij})\circ(E_{jj}+yE_{ij}))$$
$$= \phi(E_{ii}+xE_{ij})\circ\phi(E_{jj}+yE_{ij})$$
$$= \left(E_{ii}+2\omega\left(\frac{1}{2}x\right)\beta E_{ij}\right)\circ\left(E_{jj}+2\omega\left(\frac{1}{2}y\right)\beta E_{ij}\right)$$
$$= \left(\omega\left(\frac{1}{2}x\right)+\omega\left(\frac{1}{2}y\right)\right)\beta E_{ij}$$

and hence

$$\omega\left(\frac{x+y}{2}\right) = \omega\left(\frac{1}{2}x\right) + \omega\left(\frac{1}{2}y\right)$$
  
this concludes the proof

Since  $x,y\in\mathbb{F}$  were arbitrary, this concludes the proof.

The proof of the next lemma follows exactly the same lines as the proof of [7, Theorem 3.1, Claim 8], so we omit it.

# **Lemma 3.4.** Let $\phi: M_n \to M_n, n \ge 2$ , be a $\circ$ -multiplicative map such that $\phi(0) = 0$ . Then $\phi(PXP) = \phi(P)\phi(X)\phi(P)$ , for all $X \in M_n, P \in \text{Idem}(M_n)$ .

Proof of Theorem 1.1. First, as noted in the introduction (and following [7, Remark 3.7]), it suffices to prove Theorem 1.1 for  $\circ$ -preserving maps, since the transformation (1.4) allows us to extend the result to  $\diamond$ -preserving maps. Therefore, assume that  $\phi : M_n \to M_n, n \ge 2$ , is  $\circ$ -multiplicative.

Suppose that  $\phi$  is not the zero map. Since  $\phi(0)$  is an idempotent, without loss of generality we can assume that

$$\phi(0) = \begin{bmatrix} I_r & 0\\ 0 & 0 \end{bmatrix}$$
, for some  $0 \le r \le n$ .

Assume that  $r \ge 1$ . Then we claim that  $\phi$  is the constant map equal to  $\phi(0)$ . Indeed, first note that for all  $X \in M_n$  we have

$$\phi(0) = \phi(X \circ 0) = \phi(X) \circ \phi(0)$$

which easily implies that

(3.5) 
$$\phi(X) = \begin{bmatrix} I_r & 0\\ 0 & \psi(X) \end{bmatrix},$$

for some uniquely determined matrix  $\psi(X) \in M_{n-r}$ . In particular, if r = n, it follows that  $\phi$  is the constant map globally equal to I. Otherwise, it makes sense to consider the map  $\psi: M_n \to M_{n-r}$  defined by (3.5), which is again o-multiplicative, and satisfies  $\psi(0) = 0$ . Since

10

n-r < n, Lemma 3.2 (d) implies that  $\psi$  must be the zero map, and therefore  $\phi(X) = \phi(0)$  for all  $X \in M_n$ .

Suppose now that r = 0, i.e. that  $\phi(0) = 0$ . We claim that  $\phi$  takes the form given in (1.5), and as a result, it is a nonzero additive map. As in Lemma 3.3 and its proof, without loss of generality we can assume that

$$\phi(E_{jj}) = E_{jj}, \quad \text{for all } j \in [n]$$

and consequently, by Lemma 3.2 (g),

(3.6) 
$$\phi(P) = P$$
, for all  $P \in \text{Idem}(M_n) \cap \mathcal{D}_n$ .

As in (3.4) for  $\lambda = 1$ , under these assumptions we also obtain

$$\phi(E_{ij}) \propto E_{ij}$$
 or  $E_{ji}$ .

We claim that the same option holds throughout. We follow a similar approach as outlined in the proof of [7, Theorem 3.1, Claim 4]. For completeness we include details. Consider the set

$$\mathcal{S} := \{ (r,s) \in [n]^2 \setminus \Delta_n : \phi(E_{rs}) \propto E_{rs} \}.$$

For the sake of concreteness, assume that  $(i, j) \in S$ . In that case clearly  $\phi(E_{ji}) \propto E_{ji}$ , as otherwise

$$\phi\left(\frac{1}{2}\left(E_{ii}+E_{jj}\right)\right)=\phi(E_{ij}\circ E_{ji})=\phi(E_{ij})\circ\phi(E_{ji})\propto E_{ij}\circ E_{ij}=0$$

is a contradiction with Lemma 3.1, so  $(j,i) \in S$ . The next objective is to show that  $(i,k) \in S$  for any  $k \in [n] \setminus \{i\}$ , and  $(l,j) \in S$  for any  $l \in [n] \setminus \{j\}$ .

– Assume that  $k \in [n] \setminus \{i, j\}$  and that  $\phi(E_{ik}) \propto E_{ki}$ . Then

$$0 = \phi(E_{ij} \circ E_{ik}) = \phi(E_{ij}) \circ \phi(E_{ik}) \propto E_{ij} \circ E_{ki} = \frac{1}{2}E_{kj}$$

is a contradiction, so it must be  $\phi(E_{ik}) \propto E_{ik}$ .

– Assume that  $l \in [n] \setminus \{i, j\}$  and that  $\phi(E_{lj}) \propto E_{jl}$ . Then

$$0 = \phi(E_{ij} \circ E_{lj}) = \phi(E_{ij}) \circ \phi(E_{lj}) \propto E_{ij} \circ E_{jl} = \frac{1}{2}E_{il}$$

is a contradiction, so that  $\phi(E_{lj}) \propto E_{lj}$ .

By Lemma 2.3 it follows that  $\mathcal{S} = [n]^2 \setminus \Delta_n$ , so there exists a map  $g : [n]^2 \to \mathbb{F}^{\times}$  such that

$$\phi(E_{ij}) = g(i, j)E_{ij}, \quad \text{for all } i, j \in [n].$$

We claim that the map g is transitive in the sense of [3], i.e. it satisfies

$$g(i,j)g(j,k) = g(i,k),$$
 for all  $(i,j), (j,k) \in [n]^2$ 

Fix  $(i, j), (j, k) \in [n]^2$ . If  $i \neq k$ , then

$$\begin{split} \frac{1}{2}g(i,k)E_{ik} & \stackrel{\text{Lemma 3.3}}{=} \phi\left(\frac{1}{2}E_{ik}\right) = \phi(E_{ij} \circ E_{jk}) = \phi(E_{ij}) \circ \phi(E_{jk}) \\ &= \frac{1}{2}g(i,j)g(j,k)E_{ik}, \end{split}$$

which implies g(i,k) = g(i,j)g(j,k). On the other hand, if i = k, then

$$\frac{1}{2} (E_{ii} + E_{jj})^{\text{Lemma 3.3,(3.6)}} \phi \left( \frac{1}{2} (E_{ii} + E_{jj}) \right) = \phi(E_{ij} \circ E_{ji}) = \phi(E_{ij}) \circ \phi(E_{ji})$$
$$= \frac{1}{2} g(i, j) g(j, i) (E_{ii} + E_{jj})$$

which implies g(i,i) = 1 = g(i,j)g(j,i). Following [3], denote by

 $g^*: M_n \to M_n, \qquad g^*(E_{ij}) := g(i,j)E_{ij}$ 

the induced (algebra) automorphism of  $M_n$ . Since every automorphism of  $M_n$  is inner (see e.g. [2, Theorem 1.30]), by passing to the map  $X \mapsto (g^*)^{-1}(\phi(X))$ , without loss of generality we can assume that

$$\phi(E_{ij}) = E_{ij}, \quad \text{for all } (i,j) \in [n]^2.$$

In view of Lemma 3.3, denote by  $\omega : \mathbb{F} \to \mathbb{F}$  the induced ring monomorphism that satisfies (3.1). We claim that

$$\phi(X) = \omega(X), \quad \text{for all } X \in M_n.$$

Fix  $(i, j) \in [n]^2$ . If i = j, we have

$$\omega(X_{ii})E_{ii} = \phi(X_{ii}E_{ii}) = \phi(E_{ii}XE_{ii}) \stackrel{\text{Lemma 3.4}}{=} E_{ii}\phi(X)E_{ii} = \phi(X)_{ii}E_{ii},$$

so  $\phi(X)_{ii} = \omega(X_{ii})$ . Now assume  $i \neq j$ . Since  $\omega$  is multiplicative and acts as the identity on the prime subfield  $\mathbb{K} \subseteq \mathbb{F}$ , we obtain

$$\begin{aligned} \frac{1}{2}\omega(X_{ij})E_{ji} &= \phi\left(\frac{1}{2}X_{ij}E_{ji}\right) = \phi\left(\frac{1}{2}E_{ji}XE_{ji}\right) = \phi((E_{ji}\circ X)\circ E_{ji}) \\ &= (\phi(E_{ji})\circ\phi(X))\circ\phi(E_{ji}) = (E_{ji}\circ\phi(X))\circ E_{ji} \\ &= \frac{1}{2}\phi(X)_{ij}E_{ji}. \end{aligned}$$

This implies  $\phi(X)_{ij} = \omega(X_{ij})$ , which completes the proof of the theorem.

It is also worth noting that the first part of the proof of Theorem 1.1 immediately yields the following corollary:

**Corollary 3.5.** Let m < n. The map  $\phi : M_n \to M_m$  is Jordan multiplicative if and only if it is constant and equal to a fixed idempotent.

In contrast to the matrix algebra  $M_n$ , the next two examples illustrate that the non-constant Jordan multiplicative maps defined on general central SMAs (i.e. those with a trivial centre), or on the  $C^*$ -algebra B(H) of bounded linear operators on an infinite-dimensional Hilbert space H, are no longer automatically additive.

**Example 3.6.** Let  $\mathcal{T}_n \subseteq M_n$  be the upper-triangular subalgebra of  $M_n$ . Choose an arbitrary non-additive multiplicative map  $\omega : \mathbb{F} \to \mathbb{F}$  (e.g.  $\omega(x) := x^2$ ) and define a map

$$\phi: \mathcal{T}_n \to \mathcal{T}_n, \qquad \begin{bmatrix} x_{11} & x_{12} & \cdots & x_{1n} \\ 0 & x_{22} & \cdots & x_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & x_{nn} \end{bmatrix} \mapsto \begin{bmatrix} \omega(x_{11}) & 0 & \cdots & 0 \\ 0 & \omega(x_{22}) & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \omega(x_{nn}) \end{bmatrix}$$

Then  $\phi$  is clearly  $\circ$ -multiplicative, but is neither constant nor additive.

**Example 3.7.** Let *H* be an infinite-dimensional Hilbert space. In view of the identification  $H \cong H \oplus H$ , for any fixed nonzero idempotent  $P \in B(H)$ , the map

$$\phi: \mathcal{B}(H) \to \mathcal{B}(H \oplus H), \qquad X \mapsto \begin{bmatrix} X & 0\\ 0 & P \end{bmatrix}$$

is o-multiplicative, but is neither constant nor additive.

Finally, the next simple example demonstrates that Theorem 1.1 does not extend to  $\diamond$ -multiplicative maps over fields  $\mathbb{F}$  of characteristic two.

**Example 3.8.** Assume that  $\operatorname{char}(\mathbb{F}) = 2$  and  $n \geq 2$ . Fix an arbitrary trace-one matrix  $A \in M_n(\mathbb{F})$  and define a map  $\phi : M_n(\mathbb{F}) \to M_n(\mathbb{F})$  that sends A to a fixed nonzero matrix and all other matrices to zero. As the trace of any matrix in  $M_n(\mathbb{F})$  of the form  $X \diamond Y = XY + YX$  is zero, it follows that  $\phi$  is  $\diamond$ -multiplicative, but is neither constant nor additive.

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