

# Finding low degree places on $X_1(N)$

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The following correspond to each other:

- A **place**  $P$  on  $X_1(N)/\mathbb{Q}$ .
- A **discrete valuation**  $v_P : \mathbb{Q}(X_1(N)) \rightarrow \mathbb{Z} \cup \{\infty\}$ .
- (if  $P$  is not a cusp): An **elliptic curve**  $E$  and a **point of exact order**  $N$ , (both defined over the **residue field** of  $P$ ).

Denote the **degree** of the residue field as  $\deg(P) = [\mathbb{Q}(P) : \mathbb{Q}]$ .

If a **function**  $g \in \mathbb{Q}(X_1(N)) - \mathbb{Q}$  has **degree**  $d$ , then  $X_1(N)$  has **infinitely many** places  $P$  of degree  $d$ .

**Low degree place** if  $P$  is not a cusp and  $\mathbb{Q}(X_1(N))$  has **no function** of degree  $\deg(P)$ . ( **Sporadic torsion** is slightly stronger).

E.g.  $\deg(P) < \mathbf{gonality}$ , the lowest degree in  $\mathbb{Q}(X_1(N)) - \mathbb{Q}$ .

# Degrees of functions and *low degree places* (van Hoeij, 2012)

$N$	degrees	$N$	degrees	$N$	degrees
1–10	$1^+$	29	$9, 10, 11^+$	45	$10, 12, 14^+, 18, 20^+$
11	$2^+$	30	$5, 6^+$	46	$14^+, 19^+$
12	$1^+$	31	$9^+, 12^+$	47	$20^+, 29^+$
13–16	$2^+$	32	$8, 9, 10^+$	48	$11, 12, 14^+, 16, 18^+$
17	$4^+$	33	$7^+, 10, 12^+$	49	$14, 19, 21, 22^+, 30^+$
18	$2^+$	34	$8, 9, 10^+$	50	$10, 12, 15, 16^+, 20, 22^+$
19	$5^+$	35	$8, 10^+, 12, 14^+$	51	$15, 18^+, 24, 29^+$
20	$3^+$	36	$7, 8^+$	52	$16^+, 21, 24^+$
21	$3, 4^+$	37	$6, 10, 12^+, 18^+$	53	$22, 25^+, 37^+$
22	$4^+$	38	$10, 12^+$	54	$13, 15^+, 18, 20^+$
23	$7^+$	39	$8-10, 12^+, 14, 16^+$	55	$18, 23^+, 30, 34^+$
24	$4^+$	40	$8^+, 12, 14^+$	56	$18^+, 24, 26, 28^+$
25	$5, 6, 7, 8^+$	41	$14, 17^+, 22^+$	57	$12, 16, 18, 19, 21, 22, 24^+, 30, 36^+$
26	$6^+$	42	$8^+, 12^+$	58	$12, 14, 16, 20^+, 31^+$
27	$6^+$	43	$12, 14, 15, 17^+, 24^+$	59	$31^+, 46^+$
28	$5, 6^+$	44	$11^+, 15^+$	60	$13, 15^+, 24, 26^+$

$N = 21$ , found 1 diamond-orbit.

$N = 21$ ,  $\text{deg}_v = 3$ ,  $\text{deg}_j = 1$ ,  $j = -140625/8$ ,  $[x^3-3x^2+3 = 0, y+x^2-2x-1 = 0]$

$N = 25$ , found 2 diamond-orbits.

$N = 25$ ,  $\text{deg}_v = 6$ ,  $\text{deg}_j = 3$ ,  $[x^3-x^2+1 = 0, y^2+(x^2-2x-1)y+x = 0]$

$N = 25$ ,  $\text{deg}_v = 7$ ,  $[x^7+x^6-x^5-x^4+x^2+x-1 = 0, y-x^2-x = 0]$

$N = 28$ , found 1 diamond-orbit.

$N = 28$ ,  $\text{deg}_v = 5$ ,  $[x^5-x^4-2x^3-x^2+2x+2 = 0, y-x^3+1 = 0]$

$N = 29$ , found 3 diamond-orbits.

$N = 29$ ,  $\text{deg}_v = 9$ ,  $[x^9-8x^8+23x^7-26x^6+2x^5+17x^4-11x^3+2x^2+1 = 0,$   
 $y-x^8+6x^7-11x^6+4x^5+6x^4-6x^3+3x^2 = 0]$

$N = 29$ ,  $\text{deg}_v = 10$ ,  $\text{deg}_j = 5$ ,  $[x^{10}+2x^8-6x^7+6x^6-6x^5+9x^4-5x^3-2x^2+3x-1 = 0,$   
 $163y+328x^9+188x^8+724x^7-1557x^6+1006x^5-1129x^4+2124x^3-192x^2-1263x+437 = 0]$

$N = 29$ ,  $\text{deg}_v = 10$ ,  $[x^{10}-3x^9+8x^7-5x^6-6x^5+5x^4-2x^2+1 = 0,$   
 $29y-2x^9-x^8+11x^7+8x^6-49x^5+77x^3-35x^2-46x+13 = 0]$

$N = 30$ , found 2 diamond-orbits.

$N = 30$ ,  $\text{deg}_v = 5$ ,  $[x^5+x^4-3x^3+3x+1 = 0, y-2x^4-x^3+6x^2-4x-4 = 0]$

$N = 30$ ,  $\text{deg}_v = 5$ ,  $[x^5+x^4-7x^3+x^2+12x+3 = 0, 53y-3x^4-7x^3-6x^2-11x+73 = 0]$

$N = 31$ , found 5 diamond-orbits.

$N = 31$ ,  $\text{deg}_v = 9$ ,  $\text{deg}_j = 3$ ,  $[x^9-2x^8+x^6-x^5+14x^4-28x^3+19x^2-2x-1 = 0,$   
 $119y-9x^8+28x^7-16x^6-46x^5+11x^4-125x^3+440x^2-386x-150 = 0]$

$N = 31$ ,  $\text{deg}_v = 10$ ,  $\text{deg}_j = 1$ ,  $j = 0$ ,  $[x^{10}-4x^9+3x^8+6x^7-2x^6-8x^5-8x^4+11x^3+6x^2-5x+1 = 0,$   
 $215y-109x^9+266x^8+207x^7-801x^6-606x^5+304x^4+1470x^3+410x^2-1000x-71 = 0]$

$N = 31$ ,  $\text{deg}_v = 11$ ,  $[x^{11}-x^{10}+2x^8+x^6-7x^5+x^4+4x^3-x^2+2x-1 = 0,$   
 $2033y-1036x^{10}+31x^9+503x^8-2108x^7-1676x^6-2654x^5+5898x^4+4556x^3-2817x^2-2307x-3370 = 0]$

$N = 31$ ,  $\text{deg}_v = 11$ ,  $[x^{11}-2x^{10}-6x^9+8x^8+9x^7-x^6-6x^5-13x^4-x^3+7x^2+4x+1 = 0,$   
 $43y+61x^{10}-144x^9-319x^8+596x^7+370x^6-131x^5-311x^4-690x^3+123x^2+291x+89 = 0]$

$N = 31$ ,  $\text{deg}_v = 11$ ,  $[x^{11}-8x^{10}+23x^9-25x^8+8x^6+14x^5-10x^4-8x^3+10x^2-5x+1 = 0,$   
 $329y-114x^{10}+879x^9-2255x^8+1444x^7+2063x^6-1120x^5-3115x^4+524x^3+2397x^2-576x-653 = 0]$

I found almost 4000 diamond-orbits for  $N \leq 60$ . Before this website and arXiv (2012) very few examples were known (Najman,  $N = 21$ ).

# An application: testing Theorems

If you have a conjecture or Theorem about places on  $X_1(N)$ ,  
[test it](#) with examples from my website.

Co-authors of “Sporadic Cubic Torsion” used website to find  
[counter examples](#) to:

Wang (2019), Theorem 1.2,

Wang (2020), Theorem 0.3.

# Degrees of functions and *low degree places* (added in 2014)

$N$	degrees	$N$	degrees
61	$20, 24, 26, 27, 30, 31, 33^+, 49^+$	71	$44, 45, 47^+, 66^+$
62	$22^+, 36^+$	72	$22, 24^+, 32, 36, 40^+$
63	$18, 20^+, 36, 39, 41^+$	73	$24, 30, 36, 42, 46, 48^+, 70^+$
64	$24^+, 32, 36, 38^+$	74	$18, 20, 29-31, 34^+, 51^+$
65	$20, 24, 26, 28, 30^+, 42, 48^+$	75	$25, 31-33, 35-37, 39^+, 40, 45, 50, 55, 60^+$
66	$16, 19^+, 30, 32, 35^+$	76	$30, 35^+, 45, 48, 50, 52, 53, 54, 56^+$
67	$22, 30, 33, 37, 39, 43^+, 58^+$	77	$40, 48^+, 60, 68, 72^+$
68	$26^+, 36, 40, 42^+$	78	$24, 25, 27, 28, 30^+, 42, 48, 49, 51^+$
69	$28, 29, 32, 34, 36^+, 44, 54^+$	79	$26, 42, 51, 54, 57-59, 61^+, 82^+$
70	$20, 24, 26^+, 36, 40, 42^+$	80	$20, 24, 28, 32, 35^+, 48, 54, 56^+$

Entry  $N = 71$ : The notation  $66^+$  indicates that  $X_1(71)$  has functions of degree  $d$  for any  $d \geq 66$ . To prove that, I'll explain how to quickly find functions of degrees  $66, \dots, 2 \times 66 - 1$ .

After that I'll explain how places of degrees  $44, 45, 47-65$  were found. (For  $N > 60$  the website only lists [one example](#) for each  $N, d$ )

# Cusps and modular units

Cusps of  $X_1(N)$  = poles of  $j : X_1(N) \rightarrow \mathbb{P}^1$ .

The  $\mathbb{Q}$ -conjugacy classes of the cusps are denoted  $C_0, \dots, C_{\lfloor N/2 \rfloor}$ .

The residue field of  $C_i$  is a subfield of  $\mathbb{Q}(\zeta_N)$  of degree  $\gcd(i, N)$  (divide by 2 and round up if  $i = 0$  or  $i = N/2$ ).

For example,  $\deg(C_1) = 1$  for any  $N$ .

Cusp-sums  $\sum n_i C_i$  with  $\sum n_i \cdot \deg(C_i) = 0$  represents element of

$$J_1(N)(\mathbb{Q})_{\text{cusp}} \subseteq J_1(N)(\mathbb{Q})_{\text{tors}} \subseteq J_1(N)(\mathbb{Q})$$

Important for proofs: If  $N \leq 55$  and  $N \neq 37, 43, 53, 54$  then all three are equal.

We quickly find  $J_1(N)(\mathbb{Q})_{\text{cusp}}$  by computing all modular units (functions with support  $\subseteq \{\text{cusps}\}$ ).

# Cusps and modular units

The paper [Gonality of the modular curve  \$X\_1\(N\)\$](#)  (joint with *Maarten Derickx*) gives a conjectured basis of modular units.

*Marco Streng* proved the conjecture in [Generators of the group of modular units for  \$\Gamma\_1\(N\)\$  over the rationals](#).

[A Divisor Formula and a Bound on the  \$\mathbb{Q}\$ -gonality of the Modular Curve  \$X\_1\(N\)\$](#)  (joint with *Hanson Smith*) gives [explicit divisors](#).

Let  $L = \text{SPAN}(\text{div}(F_2), \dots, \text{div}(F_{\lfloor N/2 \rfloor}))$ .

(`cusps_divisors_program` on my website computes this)

Identify  $L$  with a submodule of  $\mathbb{Z}^n$  with  $n = 1 + \lfloor N/2 \rfloor$ .

Example  $N = 71$ . Repeatedly running  $\text{LLL}(L)$

$\rightsquigarrow$  elements  $v = (n_0, n_1, \dots, n_{\lfloor N/2 \rfloor}) \in L$

$\rightsquigarrow$  modular units  $g_v$  of degree  $|v| := \sum \max(0, n_i) \cdot \deg(C_i)$

$\rightsquigarrow$  [functions of degree 66, 67, 68, 69, 70, ...](#)

After that: only interested in [places of degree  \$< 66\$](#) .



Recall: divisors(modular units) are stored in  $L \subset \mathbb{Z}^n$ .

We repeatedly apply the LLL algorithm to  $L$ . Each LLL run uses another **randomly chosen metric** on  $\mathbb{Z}^n$  (so that we don't find the same vectors over and over again).

To prove that  $X_1(71)$  has a function of **every degree  $\geq 66$** , find  $v$ 's in  $L$  with  $|v| = \deg(g_v) = 66, 67, \dots, 2 \times 66 - 1$ .

**Idea:** Modify LLL code to **store  $|v|$  for every vector encountered** (not just the output vectors).

Quickly proves that  $X_1(71)$  has modular units of any degree  $\geq 66$ .

Next: find and store places of degree  $< 66$ .

## Finding low degree places

Each  $v = (n_0, n_1, \dots) \in L$  encodes a modular unit  $g_v$  of degree  $|v|$ .  
If  $|v|$  is in the desired range, use linear algebra to find  $g_v = \prod F_j^{m_j}$   
where  $F_2, \dots, F_{\lfloor N/2 \rfloor + 1} = \text{basis}(\text{modular units})$ .

If  $r \in \mathbb{Q}$ , consider the roots of  $g_v - r$ .

- If  $r = 0$  then all roots are cusps.
- If  $r$  is **random**, then  $\text{roots}(g_v - r)$  is likely a **place of degree  $d$**  (not a low-degree place).

Let  $C_i$  be a cusp for which  $n_i = 0$ , i.e.  $C_i \notin \text{support}(g_v)$ .

Formulas in paper with Hanson Smith  $\rightsquigarrow$  dominant term of  $F_j(C_i)$   
 $\rightsquigarrow$  fast computation for  $r := g_v(C_i)$ .

Having  $C_i$  as root **lowers the degree of the remaining roots**:

$\text{roots}(g_v - r) - \{C_i\}$  has only **places of degree  $< d$** .

**Often**  $r = \pm 1$ . (Can improve “often” to “always” if you want).

## Boosting “often” to “always”

If  $C_i$  is a cusp, then we can define  $L_i := \{v \in L \mid g_v(C_i) = \pm 1\}$ .

Could also define  $L_{i,i}$  ( $g_v \pm 1$  has at least a double root at  $C_i$ ), etc.

For any  $v \in L_i$  (find such  $v$  with modified LLL), the corresponding modular unit  $g_v$  has value  $\pm 1$ , so  $g_v - 1$  or  $g_v + 1$  already has a forced root.

$\rightsquigarrow$  lowers the potential degrees of the remaining roots

$\rightsquigarrow$  high probability of finding low degree places, if they exist.

Could also try more than one forced root (search  $L_i \cap L_j$ ) and/or a forced double root (search  $L_{i,i}$ ), etc.

Even without such improvements, the roots( $g \pm 1$ )-method is very effective; experiments with a more rigorous approach (Riemann-Roch computations) did not yield anything new.

# Computing roots efficiently (only needed for large $N$ )

Write  $\mathbb{Q}(X_1(N)) \cong \mathbb{Q}(x)[y]/(F_N)$  where  $F_N$  is a **defining equation**.

To find  $\text{roots}(g - 1)$ , compute the **norm**  $N(g - 1) \in \mathbb{Q}(x)$ .

If we know all poles of  $g - 1$  (and some roots), then we can write  $N(g - 1) = AB/C$  with  $A, B, C \in \mathbb{Q}[x]$ , and  **$B, C$  known**.

The  $x$ -coordinates of the remaining roots of  $g$  are **roots of  $A$** .

**Idea:** No need to spell out  $g$  in terms of coordinates  $x, y$ . It suffices to **evaluate  $g$**  at points over finite fields. Then  $A \bmod p$  is recovered by **polynomial interpolation**, and  $A$  is recovered with **rational number reconstruction**.

If  $g = \prod F_i^{m_i}$ , can **rapidly evaluate** each factor  $F_i$  at a point with the **recurrence for division polynomials**.

# Defining equations, division polynomials

The following correspond

- A non-cuspidal place of  $X_1(N)$ .
- (Elliptic curve, point of order  $N$ ) up to equivalence.
- $(j, X)$  where  $X$  is a coordinate of an order- $N$  point on  $E_j$ .
- Tate coordinates  $(b, c)$ .
- Sutherland coordinates  $(x, y)$ .

$E_j$  = an equation of an elliptic curve with  $j$ -invariant  $j$ .

$X$  = coordinate of a point on  $E_j$  of order  $N \rightsquigarrow$  an equation  $F_N$ .

For any  $N \geq 2$ ,  $F_N$  is

- a defining equation for  $X_1(N)$
- a division polynomial (they satisfy recurrence relations)
- (after a small modification) a modular unit on  $X_1(N')$ , for any  $N' \neq N$ .

# Defining equations, division polynomials

$F_N$  is a **defining equation** for  $X_1(N)$  for  $N \geq 2$ .

**Problem:**  $F_N$  is **very large** in  $(j, X)$  coordinates.

**Solution:** Switch to **Sutherland coordinates**  $(x, y)$  if  $N \geq 10$ , or **Tate coordinates**  $(b, c)$  if  $N \geq 4$ .

Let  $k, N \geq 2$ . If  $k \neq N$  then:

- $F_k = 0$  (encodes: exact order  $k$ )
- $F_N = 0$  (encodes: exact order  $N$ )

are **mutually contradictory**.

This implies: Every root of  $F_k$  in  $X_1(N)$  is a **cuspid**.

A minor modification (**poles**) turns  $F_k$  into a **modular unit**.

**Basis**(modular units) =  $\{F_2, \dots, F_{\lfloor N/2 \rfloor + 1}\}$ .

**Recurrence relations** (converted to  $(x, y)$ -coordinates, website)  
 $\rightsquigarrow$  **fast** algorithm to **evaluate**  $F_k$ , or to **construct**  $F_k \in \mathbb{Q}[x, y]$ .

## More rigorous search of low degree places

For  $N = 31$ . Found 5 diamond-orbits of low degree places, with degrees 9, 10, 11, 11, 11. [Are there more?](#)

Say  $P$  is such a place.

$P - \deg(P) \cdot C_1 \rightsquigarrow$  an element of  $J_1(31)(\mathbb{Q}) = J_1(31)_{\text{cusps}}$ .

The latter is computed explicitly (implementation on my website) and is isomorphic to  $\mathbb{Z}/(10) \times \mathbb{Z}/(1772833370)$ .

Trying every element (a [Riemann-Roch computation](#) for each case) provably produces all low-degree places.

# An improvement

Take for instance  $N = 31$  and  $d = 11$ . Found three diamond orbits for  $N, d$  with the roots( $g \pm 1$ )-method. [Are there more?](#)

$$J_1(31)(\mathbb{Q}) \hookrightarrow J_1(31)(\mathbb{F}_2)$$

$X_1(31)(\mathbb{F}_2)$  has 15 places of degree 1,  
0 of degree 2, 3, or 4,  
3 of degree 5,  
15 of degree 6,  
15 of degree 7,  
30 of degree 8,  
50 of degree 9,  
94 of degree 10, and  
210 of degree 11.

Taking sums produces  $\approx 250,000$  divisors of degree 11.  
Take one per diamond-orbit and check if it lifts to  $J_1(31)(\mathbb{Q})$ .  
 $\rightsquigarrow$  far fewer cases than previous slide.



## Additional improvements

Idea from gonality paper with Maarten Derickx:

If  $D = D_1 + C_i = D_2 + C_j$  then  $D$  “dominates” both  $D_1$  and  $D_2$ .

If we increase  $\text{degree}(D)$  then

$\implies$  it dominates more divisors  $D_i$

$\implies$  we need fewer Riemann Roch computations.

If we increase  $\text{degree}(D)$  too much:

$\implies$   $\dim(\text{RR Space})$  increases (bad, how to pick right element?)

After some work: Number of Riemann Roch computations  $\ll$   
number of divisors that need to be covered.

# A complication $N = 37$

$$J_1(37)(\mathbb{Q}) \cong J_1(37)(\mathbb{Q})_{\text{cusps}} \oplus \mathbb{Z}.$$

If  $D$  is a divisor, let  $\text{index}(D)$  be its image in  $\mathbb{Z}$ .

Let  $P_6$  be the degree 6 place on  $X_1(37)$  from arXiv 2012.

$\text{index}(P_6) \neq 0$  ( $P_6$  is not cuspidal).

Assume  $\text{index}(P_6) = 1$ .

$\text{LLL}(\{v \in L \mid g_v(P_6) = \pm 1\}) \rightsquigarrow$  places, index  $-1$  (**probabilistic**).

Like before, **could do a rigorous search** for any **fixed index**  $i \in \mathbb{Z}$ .

Would like to cover every  $N \leq 40$ . However, problem at  $N = 37$ :

**To provably find all low-degree places  $P$ , need to bound  $\text{index}(P)$ .**

(Website has low-degree places with index  $-1$ ,  $0$ , and  $1$ ).

# Unrelated conjecture, but related to modular functions??

Gauss Hypergeometric Function  ${}_2F_1(a, b ; c | x)$ .

Goal: for which  $a, b, c \in \mathbb{Q}$  does there exist algebraic functions  $f, r$  with  $f \neq x$ , and with  $r \cdot {}_2F_1(a, b ; c | f)$  and  ${}_2F_1(a, b ; c | x)$  having same minimal differential equation.

Found some non-trivial examples where  $\exists$  such  $r, f$ .

For other cases, how to prove such  $r, f$  do not exist?

Idea: if  $f \in \mathbb{Q}((x))$  has infinitely many primes in denominators, then  $f$  is not algebraic over  $\mathbb{Q}(x)$ .

For prime  $p$  to NOT appear in the denominator, a certain congruence needs to hold.

Testing(congruence)  $\rightsquigarrow$  a number of conjectures.

# A conjecture and a question for the audience

Let  $n \geq 3$  and let

$$Y(x) = {}_2F_1\left(\frac{1}{4} - \frac{1}{2n}, \frac{1}{4} + \frac{1}{2n}; 1 \mid x\right)^2 \cdot \frac{3n^2 + 4}{8n^2} \sqrt{1-x}.$$

Let  $c_p$  be the coefficient of  $x^p$  in the series of  $1/Y(x)$  at  $x = 0$ .

Then for all but finitely many primes  $p$

$$c_p \equiv 1 \pmod{p} \iff p \equiv \pm 1 \pmod{n}.$$

For  $n = 3, 4, 6$  this can be proved in multiple ways. Those  ${}_2F_1$  functions are related to modular functions (inverse of  $j$ -invariant).

Are other cases  $n = 5, 7, 8, \dots$  related to modular functions?

Need a strategy to prove that the congruence  $c_p \equiv 1 \pmod{p}$  holds **only for specific primes** ( $p \equiv \pm 1 \pmod{n}$ ). Where to start?