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# Coset Constructions and Kac-Wakimoto sets

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### What is the coset construction?

In the language of vertex operator algebra:

- $\bullet~V:$  a vertex operator algebra
- $U \subset V$ : a subalgebra
- The coset vertex operator algebra  $U^c$ : the centralizer of U in V;  $U^c$  is also called the commutant of U in V.
- Coset theory studies the representations of  $U^c$  in terms of representations of U and V

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### Rationality

- A vertex operator algebra is rational if the Z<sub>+</sub>-graded module category is semisimple (analogue of finite dimensional semisimple associative algebra and Lie algebra)
- (Dong-Li-Mason 1998) If V is rational then there are only finitely many inequivalent irreducible V-modules

### Coset theory conjecture

If U and V are rational vertex operator algebras then  $U^c$  is rational.

### Remark

This conjecture explains why coset theory is important

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### The origin

- L(c, h)-the irreducible highest weight module for the Virasoro algebra with central charge c and highest weight h
- Which L(c, h) is unitary?
- (Goddard-Kent-Olive 1986) Coset construction was introduced to prove the untarity of  $L(c_m, h_{rs}^m)$  where

$$c_m = 1 - \frac{6}{(m+1)(m+2)}$$

for  $m = 1, 2, 3, \cdots$  and

$$h = h_{r,s}^m = \frac{[(m+2)r - (m+1)s]^2 - 1}{4(m+1)(m+2)}$$
$$(r, s \in \mathbb{N}, 1 \le s \le r \le m)$$

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### History

- There are hundreds of papers in physics on coset constructions (affine vertex operator algebras or WZW models)
- (Frenkel-Zhu 1992) Formulation of coset construction in the language of vertex operator algebras
- (Xu 1999-2000, 2007) The algebraic coset conformal field theory and mirror extensions (in the language of conformal nets)
- (MaRae 2021) If U and V are rational,  $U, V, U^c$  are  $C_2$ cofinite then  $U^c$  is rational.
- Most study on coset theory focus on examples

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# Representation theory of $U^c$ :

- Rationality of  $U^c$  (Coset theory conjecture)
- Classification of irreducible U<sup>c</sup>-modules

### Our work

- Categorical coset constructions: main results are proved in categorical setting
- Apply results on categorical coset constructions to VOA coset constructions: assume  $(U^c)^c = U$  and  $U, U^c, V$  are regular. We use irreducible U-modules and V-modules to determine irreducible  $U^c$ -modules

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Let  $V = (V, Y, \mathbf{1}, \omega)$  be a vertex operator algebra.

- V is called rational if any  $\mathbb{Z}_+$ -graded module is completely reducible
- V is C<sub>2</sub>-cofinite if dim  $V/C_2(V) < \infty$  where

$$C_2(V) = \langle u_{-2}v | u, v \in V \rangle$$

This condition is needed to prove the formal characters convergent to holomorphic functions in the upper half plane

• V is rational and  $C_2$ -cofinite iff V is regualr: any weak V-module is completely reducible (Li 1999, Abe-Buhl-Dong 2004, Dong-Yu 2012)

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•  $U = (U, Y, \mathbf{1}, \omega^1)$  is a vertex operator subalgebra of V. The commutant or centralizer of U in V

$$U^{c} = \{ v \in V | [Y(u, z_{1}), Y(v, z_{2})] = 0, u \in U \}$$
$$= \{ v \in V | u_{n}v = 0, u \in U, n \ge 0 \}$$

is a vertex operator algebra if  $L(1)\omega^1 = 0$  (Frenkel-Zhu 1992).  $U^c$  is called the coset construction in physics

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- If V is rational and  $C_2$ -cofinite, the V-module category  $\mathcal{C}_V$  is a modular tensor category with tensor product  $\boxtimes$  (Huang 2008)
- If V is rational then there are only finite many irreducible modules  $M^1, \cdots M^p$  up to isomorphism and

$$M^i \boxtimes M^j = \sum_{k=1}^p N_{i,j}^k M^k$$

where the multiplicities N<sup>k</sup><sub>i,j</sub> are called the fusion rules
A V-module M is called a simple current if for any irreducible V-module N, M ⊠ N is irreducible

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### Fusion category

- A fusion category over C is a C-linear semisimple rigid category with finitely many simple objects and finite dimensional morphism spaces such that the unit object 1 is simple, ⊗, the dual object of X : X'
- $K(\mathcal{C})$ : Grothendieck ring of a fusion category of  $\mathcal{C}$
- $O(\mathcal{C}) =$ set of equivalence classes of the simple objects
- The Frobenius-Perron dimension: Unique ring homo FPdim :  $K(\mathcal{C}) \to \mathbb{R}$ , FPdim $(M) \ge 1$  for any nonzero object M [Etingof-Nikshych-Ostrik2005].
- FPdim(M) is exactly the quantum dimension [Dong-Jiao-Xu2013, Dong-Ren-Xu2018] in the theory of vertex operator algebra
- FPdim( $\mathcal{C}$ ) =  $\sum_{M \in \mathbf{O}(\mathcal{C})} FPdim(M)^2$ .

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### Braided fusion category

- A braided fusion categories is a fusion category  $\mathcal{C}$  endowed with a braiding  $c_{X,Y}: X \otimes Y \to Y \otimes X$
- Its reverse category  $\overline{C}$  is the same fusion category with a new braiding  $\overline{c}_{X,Y} = c_{Y,X}^{-1}$ . A braided fusion category is symmetric if  $\overline{c} = c$ .
- Two braided fusion categories  $\mathcal{C}$  and  $\mathcal{D}$  are braided equivalent if there is functor  $F : \mathcal{C} \to \mathcal{D}$  such that  $F(c_{X,Y}) = c_{F(X),F(Y)}$  (preserving the braiding)

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### Modular tensor category

- $\mathcal{C}$  is a braided fusion category
- For any X and any  $f: X \to X$ , can define tr(f)
- There is a set of isomorphisms  $\theta_X : X \to X$  such that  $\theta_{X \otimes Y} = c_{Y,X} c_{X,Y} \theta_X \otimes \theta_Y, \ \theta_i : X_i \to X_i \ (X_i \text{ are simple objects}).$
- s = (s<sub>i,j</sub>) is nondegenerate where s<sub>i,j</sub> = tr(c<sub>X<sub>j</sub>,X<sub>i</sub></sub>c<sub>X<sub>i</sub>,X<sub>j</sub></sub>) and c<sub>X<sub>j</sub>,X<sub>i</sub></sub>c<sub>X<sub>i</sub>,X<sub>j</sub></sub> : X<sub>i</sub> ⊗ X<sub>j</sub> → X<sub>i</sub> ⊗ X<sub>j</sub>
  dim(X) = tr(id<sub>X</sub>)

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# Regular algebra

- Let  $\mathcal{C}$  be a braided fusion category, A regular (commutative and separable) algebra A is an object in  $\mathcal{C}$  with a product  $\mu : A \otimes A \to A$  and  $\text{Hom}(\mathbf{1}, A)$  is 1-dimensional
- There is a notion of A-module, local A-module in  $\mathcal{C}$ .  $\mathcal{C}_A$  is the category of A-modules in  $\mathcal{C}$ , the category of local A-modules  $\mathcal{C}_A^0$  in  $\mathcal{C}$  is a subcategory of  $\mathcal{C}_A$ .  $\mathcal{C}_A$  is a fusion category and  $\mathcal{C}_A^0$  is a braided fusion category
- If  $\mathcal{C}$  is a modular tensor category, so is  $\mathcal{C}^0_A$

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### Setting

- $C_1, C_2$ : modular tensor categories
- $\mathbf{O}(\mathcal{C}_1) = \{ W^{\alpha} | \alpha \in J \}, W^1 = 1_{\mathcal{C}_1}$
- $\mathbf{O}(\mathcal{C}_2) = \{ N^{\phi} | \phi \in K \}, N^1 = 1_{\mathcal{C}_2}$
- A: regular commutative algebra in  $\mathcal{C}_1 \otimes \mathcal{C}_2$
- $\mathcal{C} = (\mathcal{C}_1 \otimes \mathcal{C}_2)^0_A$  is also a modular tensor category
- $\mathbf{O}(\mathcal{C}) = \{M^i | i \in I\}$  with  $1 \in I$  and  $M^1 = A$ .
- $M^i \cong \bigoplus_{\alpha \in J} W^{\alpha} \otimes M^{(i,\alpha)}$  as objects in  $\mathcal{C}_1 \otimes \mathcal{C}_2$  for  $i \in I$ .

### Assumptions

- $C_1, C_2$  are pseudo unitary : for any X in  $C_1, C_2$ , FPdim $(X) = \dim(X)$ 
  - **2**  $M^{(1,1)} = 1_{\mathcal{C}_2}$  and  $\operatorname{Hom}_{\mathcal{C}_2}(1_{\mathcal{C}_2}, M^{(1,\alpha)}) = \delta_{1,\alpha}$

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### Goal

- Decompose M<sup>(i,α)</sup> into a direct sum of simple objects in C<sub>2</sub>
- Identify simple direct summands from M<sup>(i,α)</sup> with simple direct summands from M<sup>(j,β)</sup>

### Notations

• 
$$(i, \alpha) = M^{(i,\alpha)}, J_i = \{ \alpha \mid (i, \alpha) \neq 0 \}$$

• For  $\alpha \in J$ ,  $\phi \in K$  set

$$a_{\alpha\otimes\phi} = A \boxtimes_{\mathcal{C}_1\otimes\mathcal{C}_2} (\alpha\otimes\phi) \in (\mathcal{C}_1\otimes\mathcal{C}_2)_A$$

 $a_{1\otimes(i,\alpha)} = A \boxtimes_{\mathcal{C}_1\otimes\mathcal{C}_2} (1_{\mathcal{C}_1}\otimes(i,\alpha)) \in (\mathcal{C}_1\otimes\mathcal{C}_2)_A$ 

•  $\langle X, Y \rangle = \dim \operatorname{Hom}_{\mathcal{D}}(X, Y)$  for any fusion category  $\mathcal{D}$ and  $X, Y \in \mathcal{D}$ 

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- $\mathcal{F}_1$ : the fusion subcategory of  $\mathcal{C}_1$  generated by  $W^{\alpha}$  for  $\alpha \in J_1$
- $\mathcal{F}_2$ : the fusion subcategory of  $\mathcal{C}_2$  generated by the simple objects appearing in  $(1, \alpha)$  for  $\alpha \in J_1$ .

Recall  $A = \bigoplus_{\alpha \in J_1} W^{\alpha} \otimes (1, \alpha)$ 

# Schur-Weyl duality

Let  $\alpha, \beta \in J_1$ . Then

- $\bullet (1, \alpha) \text{ is simple,}$
- **2**  $(1, \alpha)$  and  $(1.\beta)$  are isomorphic iff  $\alpha = \beta$ ,
- $\mathcal{F}_1$  and  $\overline{\mathcal{F}_2}$  are braided equivalent.

This result was essentially obtained by Lin in 2017.

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# Kac-Wakimoto Set

$$\mathrm{KW} = \{(i,\alpha) | \langle 1_{\mathcal{C}_2}, (i,\alpha) \rangle \ge 1, i \in I, \alpha \in J_i \}$$

### Properties

- If  $(i, \alpha) \in KW$  then  $(i', \alpha') \in KW$ .
- $(i, \alpha), (i, \beta) \in KW$ , then  $\alpha = \beta$  and  $\langle 1_{\mathcal{C}_2}, (i, \alpha) \rangle = 1$ .
- If  $(i, \alpha) \in \mathrm{KW}$  then  $M^i = a_{\alpha \otimes 1}$
- $(i, \alpha) \in \text{KW}$  iff  $W^{\alpha} \in C_{\mathcal{C}_1}(\mathcal{F}_1)$  where the Müger centralizer

$$C_{\mathcal{C}}(\mathcal{F}_1) = \{ Y \in \mathcal{C}_1 | c_{Y,X} \circ c_{X,Y} = id_{X \otimes Y} \forall X \in \mathcal{F}_1 \}$$

a braided fusion subcategory of  $C_1$ 

Kac-Wakimoto Set

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# • Let $\mathcal{C}^{\mathrm{KW}}$ be the fusion subcategory of $\mathcal{C}$ generated by $M^i$ for $(i, \alpha) \in \mathrm{KW}$ , $\mathcal{C}_1^{\mathrm{KW}}$ the fusion subcategory of $\mathcal{C}_1$ generated by $W^{\alpha}$ for $(i, \alpha) \in \mathrm{KW}$ . Then

 $\mathbf{O}(\mathcal{C}^{\mathrm{KW}}) = \{ M^i | (i, \alpha) \in \mathrm{KW} \},\$ 

$$\mathbf{O}(\mathcal{C}_1^{\mathrm{KW}}) = \{ W^{\alpha} | (i, \alpha) \in \mathrm{KW} \},\$$

 $\mathcal{C}^{\mathrm{KW}}$  and  $\mathcal{C}_{1}^{\mathrm{KW}}$  are braided equivalent.

# Remark

The importance of KW-set was first noticed by Kac-Wakimoto in 1988 when they studied the coset vertex operator superalgebras associated to affine vertex operator superalgebras. KW-set plays an essential role for decomposing  $(i, \alpha)$  into a direct sum of simple objects in  $C_2$ .

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### $\mathcal{C}^{\mathrm{KW}}$ -orbit

- Define an equivalence relation  $\sim$  on  $\mathbf{O}(\mathcal{C})$  as follows:  $M \sim N$  iff there exists  $(i, \alpha) \in \mathrm{KW}$  such that N is a submodule of  $M \boxtimes M^i$ .
- The equivalence of M is called a  $\mathcal{C}^{\mathrm{KW}}\text{-orbit}$

• Orbit decomposition 
$$\mathbf{O}(\mathcal{C}) = \bigcup_{\xi \in \Psi} \mathcal{O}_{\xi}$$

### Remark

If V is a vertex operator algebra, G is a finite automorphism group of V then G acts on the set of all twisted modules. If two twisted modules in the same orbit they are isomorphic as  $V^{G}$ -modules, the irreducible  $V^{G}$ -modules come from different orbits are inequivalent.

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### Example

- $A = 1_{\mathcal{C}_1} \otimes 1_{\mathcal{C}_2},$
- $KW = \{(W \otimes 1_{\mathcal{C}_2}, W) | W \in \mathbf{O}(\mathcal{C}_1)\}$
- $\mathcal{C}^{KW} = \mathcal{C}_1 \otimes 1_{\mathcal{C}_2}$
- $\mathcal{C}^{KW}$ -orbits  $\mathbf{O}(\mathcal{C}_1) \otimes X$  for  $X \in \mathbf{O}(\mathcal{C}_2)$

### Theorem

- If M<sup>s</sup>, M<sup>t</sup> ∈ O<sub>ξ</sub> then the simple objects of C<sub>2</sub> appearing in M<sup>s</sup> and M<sup>t</sup> are the same
- If M<sup>s</sup> ∈ O<sub>ξ</sub>, M<sup>t</sup> ∈ O<sub>ψ</sub> and ξ, φ are different, then simple objects of C<sub>2</sub> appearing in M<sup>s</sup> and M<sup>t</sup> are inequivalent

### Remark

This result is called the field identification in the literature by Fuchs-Schellekens-Schweigert

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### Kac-Wakimoto set and S-matrix

- $\dot{s}, \ddot{s}, s$  are nomalized *s*-matrices associated to  $C_1, C_2$  and C.
- $\dot{S}, \ddot{S}, S$  are the corresponding linear on  $K(\mathcal{C}_1), K(\mathcal{C}_2), K(\mathcal{C})$
- $\ddot{S}(i,\alpha) = \sum_{j \in I, \beta \in J_j} \overline{\dot{s}_{\alpha,\beta}} s_{i,j}(j,\beta)$  (This result was given for branching functions associated to affine Kac-Moody algebras by Kac-Perteson in 1981)
- Every simple object in  $C_2$  occurs in  $M^i$  for some  $i \in I$  (This result was given in VOA setting by Krauel-Miyamoto in 2015)

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# For $i \in I$ and $\alpha \in J_i$ , set $b(i, \alpha) = \sum_{(j,\beta) \in KW} \overline{\dot{s}_{\alpha\beta}} s_{ij}$ .

### Theorem

for all  $i \in I, \alpha \in J_i$ ,

$$\dim(i,\alpha) = \frac{b(i,\alpha)}{b(1,1)}$$

In particular, 
$$b(i, \alpha) \neq 0$$
.

# Remark

The  $b(i, \alpha)$  was introduced by Kac-Peterson, Kac-Wakimoto to study the coset constructions for affine Kac-Moody algebras. Kac-Wakimoto conjectured that  $b(i, \alpha) \neq 0$  for  $\alpha \in J_i$ . This theorem asserts that Kac-Wakimoto conjectrue in the coset setting associated with modular tensor categoies is always true. If the categories come from VOAs,  $b(i, \alpha) > 0$ .

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Set 
$$d_{(i,\alpha)} = \dim_{\mathcal{C}_2}(i,\alpha), \ d_i = \dim_{\mathcal{C}} M^i, \ d_\alpha = \dim_{\mathcal{C}_1} W^{\alpha}$$

### Theorem

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- The following are equivalent:
  - $O(\mathcal{C}^{KW})$  forms a group
  - $d_{(i,\alpha)} = d_i d_\alpha \text{ for all } i \in I, \alpha \in J_i$
  - **3** For any  $\alpha \in J$ ,  $W^{\alpha'} \boxtimes W^{\alpha} = \sum_{\gamma \in J_1} N^{\gamma}_{\alpha,\alpha'} W^{\gamma}$  where  $W^{\alpha'}$  is the dual of  $W^{\alpha}$

### Remark

Kac-Wakimoto also gave a Hypothesis that  $s_{ij}\dot{s}_{\alpha\beta} \geq 0$  for  $(j,\beta) \in \text{KW}$  and  $\alpha \in J_i$  for coset constructions associated to affine Kac-Moody algebras. We prove this hypothesis in modular tensor category setting with the assumption in the previous theorem.

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### Theorem

et 
$$i \in I, \alpha \in J_i$$
.

• dim Hom<sub>C<sub>2</sub></sub>((*i*,  $\alpha$ ), (*i*,  $\alpha$ ))  $\leq \sum_{(j,\beta)\in KW} N^{\beta}_{\alpha',\alpha} N^{j}_{i',i}$  where  $W^{\alpha'} = (W^{\alpha})'$  and  $M^{j'} = (M^{j})'$ •  $d_{(i,\alpha)} = d_i d_{\alpha}$  iff

$$\dim \operatorname{Hom}_{\mathcal{C}_2}((i,\alpha),(i,\alpha)) = \sum_{(j,\beta)\in \operatorname{KW}} N_{\alpha',\alpha}^{\beta} N_{i',i}^{j}$$

• If KW = {(1,1)} then  $O(\mathcal{C}_2) = \{(i,\alpha) | i \in I, \alpha \in \Lambda_i\}$ . That is, all  $(i,\alpha)$  are simple and inequivalent.

### Remark

(1) gives an upper bound of dim Hom<sub> $C_2$ </sub>( $(i, \alpha), (i, \alpha)$ ). But it does not tell us the exact number of simple objects of  $C_2$  appearing in  $(i, \alpha)$ . An investigation is in progress

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ssume that 
$$G = \mathbf{O}(\mathcal{C}^{\text{KW}})$$
 forms a group. Set  
$$H = \{W^{\beta} \in \mathbf{O}(\mathcal{C}_{1}^{\text{KW}}) | a_{\beta \otimes 1} \in \mathbf{O}(\mathcal{C}^{\text{KW}})\} \cong G$$

For  $i \in I, \alpha \in J_i$  set

$$H^{i} = \{h \in H | a_{h \otimes 1} \boxtimes_{A} M^{i} = M^{i}\}$$

$$H^{(i,\alpha)} = \{ h \in H^i | h \boxtimes_{\mathcal{C}_1} W^\alpha = W^\alpha, a_{h \otimes 1} \boxtimes_A M^i = M^i \}.$$

### Proposition

- If  $a_{\beta \otimes 1} \in H^i$  then  $\beta \in J_1$  and  $a_{\beta \otimes 1} = a_{1 \otimes (1,\beta')}$
- **2**  $H^i$  acts on the set  $\{(W^{\gamma}|\gamma \in J_i\}$  by tensor product
- ③ {(1,β)|W<sup>β</sup> ∈ H<sup>i</sup>} is a group isomorphic to H<sup>i</sup> and acts on {(i, γ)|γ ∈ J<sub>i</sub>} by tensor product

Theorem

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• If  $M^i, M^j$  are in the same *G*-orbits then

$$\{(i,\gamma)|\gamma\in J_i\}=\{(j,\lambda)|\lambda\in J_j\}$$

- (*i*,  $\alpha$ ) =  $\bigoplus_{s=1}^{t} nX_s$  where  $X_s \in \mathbf{O}(\mathcal{C}_2)$  are inequivalent,  $n^2 t = o(H^{(i,\alpha)})$ , and dim  $X_s = \frac{1}{nt} d_i d_\alpha$  for all s
- **3** If  $H^{(i,\alpha)}$  is cyclic, then  $(i,\alpha) = \bigoplus_{s=1}^{t} X_s$
- (i, α) ≅ (i, γ) if and only if W<sup>γ</sup> and W<sup>α</sup> are in the same H<sup>i</sup>-orbit
- Hom<sub>C<sub>2</sub></sub>((*i*,  $\alpha$ ), (*i*,  $\beta$ )) = 0 if and only if  $W^{\gamma}$  and  $W^{\alpha}$  are not in the same  $H^i$ -orbit

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### Remark

- If G is a cyclic, then H<sup>(i,α)</sup> is cyclic and ③ is true for any i, α
- If H<sup>(i,α)</sup> is not cyclic, (i, α) is a projective representation of of H<sup>(i,α)</sup>. This is why we cannot prove the multiplicity n in (2) is 1
- If G not a group, we believe that the previous Theorem holds with G replaced by the group of all simple currents in  $\mathbf{O}(\mathcal{C}^{KW})$ , but we do not know how to prove this in general

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### Remark

- Kac-Wakimoto (1988) studied the characters of  $(i, \alpha)$  in coset constructions associated to affine Kac-Moody algebras
- Schellekens-Yankielowicz (1990) studied the characters of the diagonal coset constructions: field identification, fixed point resolution - how to write the characters of  $(i, \alpha)$  as a sum of of characters of irreducible modules
- Fuchs-Schellekens-Schweigert (1995) used simple currents and out automorphisms of affine Kac-Moody algebras to study  $(i, \alpha)$
- Our work solved the fixed point resolution problem in general categorical coset constructions under the assumption that KW-set is a group. This assumption is true for many diagonal coset constructions

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### Theorem

### Assume

- $\bullet~V:$  simple regular vertex operator algebra of CFT type
- $U, U^c$ : simple regular vertex operator subalgebras of V of CFT type such that  $(U^c)^c = U$
- the conformal weights of irreducible weights of  $U, U^c$  are positive except  $U, U^c$

### Then

- $C_1 = C_U, C_2 = C_{U^c}$  are modular tensor categories which satisfy the conditions given in the categorical coset setting with A = V
- All results for categorical coset constructions hold for VOA coset constructions

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# Parafermion-like coset constructions

- Let V, U be as before. But we do not assume that  $U^c$  is rational or  $C_2$ -cofinite
- $\mathbf{O}(\mathcal{C}_U)$  is a group

### Theorem

•  $U^c$  is rational and  $C_2$ -cofinite

$$|J_i| = |J_1| \text{ for any } i \in I$$

- **③** (i, α) is irreducible, and (i, α) ≅ (i, β) iff there exits (k, γ) ∈ KW such that W<sup>α</sup> = W<sup>β</sup> ⊠ W<sup>γ</sup>
- $|\operatorname{irr}(U^c)| = \frac{|\Lambda_1||\operatorname{irr}(V)|}{|\operatorname{KW}|}, |\operatorname{irr}(U)| = |\operatorname{KW}||\Lambda_1|$
- $\begin{array}{l} \bullet \quad M^i \boxtimes M^j = \sum_{k \in I} N^k_{i,j} M^k \text{ and } W^\alpha \boxtimes W^\beta = W^\gamma \text{ for } i, j \in I, \ \alpha \in I_i, \beta \in I_j, \text{ then } M^{(i,\alpha)} \boxtimes M^{(j,\beta)} = \sum_k N^k_{i,j} M^{(k,\gamma)} \end{array}$

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# THANKS