

# Effective Sato-Tate conjecture for abelian varieties with applications

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# Notations

Throughout the talk:

- $k$  is a number field.
- $A/k$  is an abelian variety of dimension  $g \geq 1$ .
- $N$  denotes the absolute conductor of  $A$ .
- For a prime  $\ell$ ,

$$\rho_{A,\ell}: G_k \rightarrow \text{Aut}(V_\ell(A))$$

the  $\ell$ -adic representation attached to  $A$ , where

$$T_\ell(A) := \varprojlim A[\ell^n](\overline{\mathbb{Q}}) \simeq \mathbb{Z}_\ell^{2g}, \quad V_\ell(A) := T_\ell(A) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell.$$

- $\mathfrak{p}$  is a prime of  $k$  not dividing  $N\ell$ .

# Equidistribution of Frobenius traces

- The Frobenius trace at  $\mathfrak{p}$  is

$$a_{\mathfrak{p}} := a_{\mathfrak{p}}(A) := \text{Tr}(\varrho_{A,\ell}(\text{Frob}_{\mathfrak{p}})).$$

- By the Hasse-Weil bound, the normalized Frobenius trace

$$\bar{a}_{\mathfrak{p}} := \frac{a_{\mathfrak{p}}}{\text{Nm}(\mathfrak{p})^{1/2}} \in [-2g, 2g].$$

- What is the distribution of the sequence  $\{\bar{a}_{\mathfrak{p}}\}_{\mathfrak{p}}$ ?

In other words, for a subinterval  $I \subseteq [-2g, 2g]$ , does

$$\lim_{x \rightarrow \infty} \frac{\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x \text{ and } \bar{a}_{\mathfrak{p}} \in I\}}{\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x\}}$$

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# The Sato-Tate group

- Denote by  $G_\ell$  the Zariski closure of the image of  $\varrho_{A,\ell}$  in  $\mathrm{GSp}_{2g}/\mathbb{Q}_\ell$ .

## Conjecture (Mumford-Tate; Serre)

- Let  $\mathrm{MT}(A)/\mathbb{Q}$  be the Mumford-Tate group of  $A$ . Then

$$G_\ell^0 = \mathrm{MT}(A) \times_{\mathbb{Q}} \mathbb{Q}_\ell \quad \text{for every prime } \ell.$$

- There is an algebraic subgroup  $G$  of  $\mathrm{GSp}_{2g}/\mathbb{Q}$ , with  $G^0 = \mathrm{MT}(A)$ , such that

$$G_\ell = G \times_{\mathbb{Q}} \mathbb{Q}_\ell \quad \text{for every prime } \ell.$$

- From now on, we will assume the above conjecture.
- The *Sato-Tate group* of  $A$  is

$$\mathrm{ST}(A) = \text{maximal compact subgroup of } (G \cap \mathrm{Sp}_{2g})(\mathbb{C}).$$

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# The Sato-Tate measure

- By construction

$$\mathrm{ST}(A) \subseteq \mathrm{USp}(2g),$$

and hence

$$\mathrm{Tr}: \mathrm{ST}(A) \rightarrow [-2g, 2g].$$

- The *Sato-Tate measure* of  $A$  is

$$\mu = \mathrm{Tr}_*(\text{Haar measure of } \mathrm{ST}(A))$$

## Example

If  $A$  is an elliptic curve without complex multiplication, then

$$\mathrm{ST}(A) = \mathrm{SU}(2), \quad \mu = \frac{1}{2\pi} \sqrt{4 - z^2} dz.$$

# The Sato-Tate conjecture

## Sato-Tate conjecture v1

For any subinterval  $I \subseteq [-2g, 2g]$ , we have

$$\lim_{x \rightarrow \infty} \frac{\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x \text{ and } \bar{a}_{\mathfrak{p}} \in I\}}{\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x\}} = \mu(I).$$

The prime number theorem gives

$$\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x\} = \text{Li}(x) + o\left(\frac{x}{\log(x)}\right), \quad \text{Li}(x) := \int_2^x \frac{dt}{\log(t)} \sim \frac{x}{\log(x)}.$$

## Sato-Tate conjecture v2

For any subinterval  $I \subseteq [-2g, 2g]$ , we have

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## Effective prime number theorem

Assuming the Riemann hypothesis, for  $0 < \varepsilon < 1/2$ , we have

$$\#\{\mathfrak{p} \mid \mathrm{Nm}(\mathfrak{p}) \leq x\} = \mathrm{Li}(x) + O_k(x^{1-\varepsilon}) \quad \text{for } x \gg 0.$$

In analogy, one may expect:

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For  $0 < \varepsilon < 1/2$  and for every subinterval  $I \subseteq [-2g, 2g]$ , we have

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# Main result

## Theorem (Bucur-F.-Kedlaya)

Suppose:

- The Mumford-Tate conjecture holds;
- $ST(A)$  is connected;
- GRH holds for the L-functions associated to the irreducible representations of  $ST(A)$ .

Let  $\mathfrak{g} = \text{Lie}(ST(A))$  and write

$$\varepsilon := \frac{1}{2(q + \varphi)}, \quad \text{where } \begin{cases} q = \text{rank of } \mathfrak{g}, \\ \varphi = \text{number of positive roots of } \mathfrak{g}^{\text{ss}}. \end{cases}$$

Then, for any subinterval  $I \subseteq [-2g, 2g]$ , we have

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## Predictions for dimensions $g = 1$ and $g = 2$

$g$	Splitting of $A$	$ST(A)$	$q$	$\varphi$	$\varepsilon$
1	$E$	$SU(2)$	1	1	1/4
1	$E_{CM}$	$U(1)$	1	0	1/2
2	$S$	$USp(4)$	2	4	1/12
2	$S_{RM}$ $E \times E'$	$SU(2) \times SU(2)$	2	2	1/8
2	$E \times E'_{CM}$	$SU(2) \times U(1)$	2	1	1/6
2	$E_{CM} \times E'_{CM}$ $S_{CM}$	$U(1) \times U(1)$	2	0	1/4
2	$E^2$ $S_{QM}$	$SU(2)$	1	1	1/4
2	$E^2_{CM}$	$U(1)$	1	0	1/2

- Case  $E$  above (non CM e.c.) extends work by Murty (1983).
- Case  $E \times E'$  above (nonisogenous non CM e.c.) extends work by Bucur and Kedlaya (2015).

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2	$E_{CM}^2$	$U(1)$	1	0	1/2

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## The Sato–Tate conjecture and $L$ -functions

Let  $\Gamma$  be an irreducible representation of  $ST(A)$ .

- One attaches to  $\Gamma$  an  $\ell$ -adic representation  $\Gamma_{\varrho_A, \ell} : G_k \rightarrow \text{Aut}(V_\Gamma)$ .
- It is pure of some weight  $w_\Gamma$ .
- One attaches to  $\Gamma_{\varrho_A, \ell}$  an Euler product:

$$L(\Gamma(A), s) := \prod_{\mathfrak{p}} \det(1 - \Gamma_{\varrho_A, \ell}(\text{Frob}_{\mathfrak{p}}) \text{Nm}(\mathfrak{p})^{-s-w_\Gamma} | V_\Gamma^{\ell_{\mathfrak{p}}})^{-1},$$

which is absolutely convergent for  $\Re(s) > 1$ .

### Theorem (Serre '68)

*Suppose that for every irreducible nontrivial representation  $\Gamma$  of  $ST(A)$*

*$L(\Gamma(A), s)$  extends to a holomorphic function on an open neighborhood of  $\Re(s) \geq 1$  and that does not vanish at  $\Re(s) = 1$ .*

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## Ingredients in the proof (I): Murty's estimate

- $L(\Gamma(A), s)$  gives rise to a completed  $L$ -function

$$\Lambda(\Gamma(A), s) := B^{s/2} \cdot L(\Gamma(A), s) \cdot L_\infty(\Gamma(A), s).$$

### Conjecture (Generalized Riemann hypothesis for $\Lambda(\Gamma(A), s)$ )

- $\Lambda(\Gamma(A), s)$  extends to a meromorphic function over  $\mathbb{C}$ . It has simple poles at  $s = 0, 1$  if  $\Gamma$  is trivial and it is analytic otherwise.
- $\Lambda(\Gamma(A), s) = \varepsilon \cdot \Lambda(\Gamma^\vee(A), 1 - s)$  for some  $\varepsilon \in \mathbb{C}$  with  $|\varepsilon| = 1$ .
- All zeroes of  $\Lambda(\Gamma(A), s)$  lie on the line  $\Re(s) = 1/2$ .

### Theorem (Murty '83; Bucur-Kedlaya 2015)

Let  $\Gamma$  be nontrivial. Suppose that GRH holds for  $\Lambda(\Gamma(A), s)$ .

Let  $\chi = \text{Tr}(\Gamma)$ ,  $d_\chi = \dim(\Gamma)$ , and  $w_\chi = w_\Gamma$ . Then

$$\sum_{\text{Nm}(\mathfrak{p}) \leq x} \chi(\text{Frob}_{\mathfrak{p}}) = O_{k,g}(d_\chi x^{1/2} \log(N(x + w_\chi))).$$

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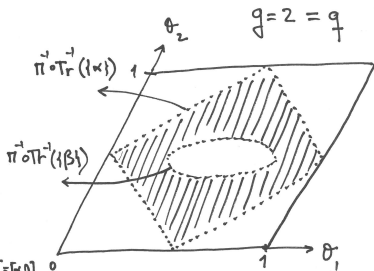
# Ingredients in the proof (II): the Vinogradov function

We construct a function:

$$F_I : \mathbb{R}^2 \longrightarrow [0, 1]$$

$$\pi \downarrow \begin{array}{c} [0, 1]^q \\ \cong \text{Conj}(\text{ST}(A)) \end{array}$$

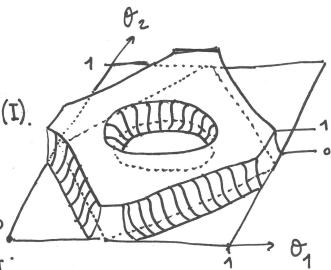
$$\downarrow \text{Tr} \\ [-2g, 2g] \cong I = [a, b]$$



with the properties:

- $F_I$  is a continuous approximation of the characteristic function of  $\pi^{-1} \circ \text{Tr}^{-1}(I)$ .

- $F_I(\underline{\theta}) = \sum_{\underline{m} \in \mathbb{Z}^2} c_{\underline{m}} e^{2\pi i \underline{\theta} \cdot \underline{m}}$  has Fourier coefficients of rapid decay.



## Ingredients of the proof (III): Gupta's formula

- $\varrho_{A,\ell}(\text{Frob}_{\mathfrak{p}})$  uniquely determines  $\theta_{\mathfrak{p}} \in \text{Conj}(\text{ST}(A)) \simeq [0, 1]^g / \mathcal{W}$ .

We have  $\text{Tr}(\theta_{\mathfrak{p}}) = \bar{a}_{\mathfrak{p}}$ .

- By construction

$$\#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x \text{ and } \bar{a}_{\mathfrak{p}} \in I\} \approx \sum_{\text{Nm}(\mathfrak{p}) \leq x} F_I(\theta_{\mathfrak{p}}).$$

- $F_I$  is a class function of  $\text{ST}(A)$ , and hence is a linear combination of irreducible characters

$$F_I(\theta) = \sum_{\theta \in \mathbb{Z}^g} c_m e^{2\pi i \theta \cdot m} = \sum_{\chi} c_{\chi} \chi.$$

- Gupta's formula expresses the  $c_{\chi}$  in terms of the  $c_m$ . It allows to see that the  $c_{\chi}$  are still of rapid decay.

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$$F_I(\theta) = \sum_{\theta \in \mathbb{Z}^g} c_m e^{2\pi i \theta \cdot m} = \sum_{\chi} c_{\chi} \chi.$$

- Gupta's formula expresses the  $c_{\chi}$  in terms of the  $c_m$ . It allows to see that the  $c_{\chi}$  are still of rapid decay.

## Ingredients of the proof (III): Gupta's formula

- $\varrho_{A,\ell}(\text{Frob}_{\mathfrak{p}})$  uniquely determines  $\theta_{\mathfrak{p}} \in \text{Conj}(\text{ST}(A)) \simeq [0, 1]^q / \mathcal{W}$ .

We have  $\text{Tr}(\theta_{\mathfrak{p}}) = \bar{a}_{\mathfrak{p}}$ .

- By construction

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## The three ingredients combined

- One has  $c_1 \approx \mu(I)$ , and then

$$\begin{aligned} \#\{\mathfrak{p} \mid \text{Nm}(\mathfrak{p}) \leq x \text{ and } \bar{a}_{\mathfrak{p}} \in I\} &\approx \sum_{\text{Nm}(\mathfrak{p}) \leq x} F_I(\theta_{\mathfrak{p}}) \\ &\approx \mu(I) \text{Li}(x) + \sum_{\chi \neq 1} c_{\chi} \sum_{\text{Nm}(\mathfrak{p}) \leq x} \chi(\theta_{\mathfrak{p}}). \end{aligned}$$

- For  $\chi \neq 1$  Murty's estimate gives

$$\sum_{\text{Nm}(\mathfrak{p}) \leq x} \chi(\text{Frob}_{\mathfrak{p}}) = O_{k,g}(d_{\chi} x^{1/2} \log(N(x + w_{\chi}))).$$

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# Interval variant of Linnik's problem for abelian varieties

## Corollary 1

Assume the hypotheses of the main result.

For any nonempty subinterval  $I \subseteq [-2g, 2g]$ , there exists a prime  $p \nmid N$  such that  $\bar{a}_p \in I$  and

$$\text{Nm}(p) = O_{k,g,I}(\log(2N))^2 \cdot \log(\log(4N))^4.$$

- This generalizes work of Chen–Park–Swaminathan, who considered the case in which  $A$  is an elliptic curve.

## Proof

One needs to ensure that:

The main term  $\frac{x}{\log(x)}$  dominates the error term  $\frac{x^{1-\varepsilon} \log(Nx)^{2\varepsilon}}{\log(x)^{1-4\varepsilon}}$ .

This amounts to asking  $x \gg_{k,g,I} \log(x)^4 \log(Nx)^2$ .

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# Sign variant of Linnik's problem for two elliptic curves

- On this slide, let  $A, A'/\mathbb{Q}$  be elliptic curves of conductors  $N, N'$ .

Theorem (Faltings '83; corollary of the Isogeny theorem)

If  $A, A'$  are not isogenous, then there exists  $p \nmid NN'$  such that  $a_p(A) \neq a_p(A')$ .

- Under GRH for Artin  $L$ -functions, such a  $p$  can be taken with

$$p = O(\log(NN')^2 \log(\log(2NN'))^{12})$$

(Serre '86; using "Effective Chebotarev").

Theorem (Harris '09; corollary of Sato-Tate for  $A \times A'$  over  $\mathbb{Q}$ )

If  $A, A'$  are not isogenous, then there exists  $p \nmid NN'$  such that  $a_p(A) \cdot a_p(A') < 0$ .

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# Sign variant of Linnik's problem for two abelian varieties

## Corollary 2

Let  $A, A'$  be abelian varieties. Suppose:

- The Mumford–Tate conjecture holds for  $A$  and  $A'$ ;
- $ST(A), ST(A')$  are connected;
- GRH holds for  $\Lambda(\Gamma(A) \otimes \Gamma'(A'), s)$  for all irreducible rep.  $\Gamma, \Gamma'$ .
- $ST(A \times A') \simeq ST(A) \times ST(A')$ .

Then, there exists  $\mathfrak{p} \nmid NN'$  such that  $a_{\mathfrak{p}}(A) \cdot a_{\mathfrak{p}}(A') < 0$  and

$$Nm(\mathfrak{p}) = O_{k,g}(\log(2NN')^2 \log(\log(4NN'))^6).$$

- Condition  $ST(A \times A') \simeq ST(A) \times ST(A')$  can be replaced by the weaker condition  $\text{Hom}(A, A') = 0$ .