Models of  $X_0(N)$  and beyond via modular forms and some applications (joint with I. Kodrnja) Representation theory XVII Dubrovnik 03-08.10.2022.

Goran Muić

October 5, 2022

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#### we are interested in discrete subgroups $\Gamma$ of $SL_2(\mathbb{R})$

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 $\Gamma$  is a Fuchsian group of the first kind if  $\iint_{\mathcal{F}_{\Gamma}} \frac{dxdy}{y^2} < \infty$ 

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a  $\Gamma\text{-conjugate}$  of a vertex at infinity is called cusp for  $\Gamma$ 

In what follows  $\Gamma$  always denotes a Fuchsian group of the first kind

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- Chow's theorem: the image is a complex irreducible smooth projective curve  $\implies$  given by homogeneous polynomial equations

# Examples Fuchsian groups of the first kind (Number theory)

Principal congruence subgroups:

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the set of cusps for congruence subgroups is  $\mathbb{Q} \cup \{\infty\}$ 

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and condition 3. *f* means  $a_0 = 0$ 

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Coefficients in the q-expansion of modular forms usually carry deep arithmetic information

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- $\implies$  the formula for the dimension of  $S_m(\Gamma)$  when  $m \ge 2$

For applications in number theory, there are various ways of construction bases for  $S_m(\Gamma)$  especially when  $\Gamma = \Gamma_0(N)$ 

#### Cuspidal forms of one variable for $\Gamma_0(N)$

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This is very useful for computing explicit embeddings of curves  $\mathfrak{R}_{\Gamma_0(N)}$  in various complex  $\mathbb{P}^N$  resulting in explicit equations.

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That was studied by many people (including very recently some of my works joint with Kodrnja). For that computations, the space of weight two cusp forms for  $\Gamma_0(N)$  is especially useful since it canonically isomorphic to the space of holomorphic differentials on the curve.

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### Maps into $\mathbb{P}^2$

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Assume that  $\Gamma$  has at least one cusp e.g.  $\Gamma = \Gamma_0(N)$ . Let  $g(\Gamma)$  be the genus of  $\mathfrak{R}_{\Gamma}$ .

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Let f, g, h be three linearly independent modular forms in  $M_m(\Gamma)$ .

Then, we define a holomorphic (regular) map

 $\mathfrak{R}_\Gamma \to \mathbb{P}^2$ 

by

$$\begin{array}{l} \Gamma \backslash \mathbb{H} \longrightarrow \mathbb{P}^2 \\ z \longmapsto (f(z) : g(z) : h(z)). \end{array}$$
 (0-1)

## Maps into $\mathbb{P}^2$

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Since  $\mathfrak{R}_{\Gamma}$  has a canonical structure of complex projective irreducible algebraic curve, this map can be regarded as a regular map between projective varieties. Consequently, the image is an irreducible projective curve which we denote by  $\mathcal{C}(f, g, h)$ .

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The degree d(f, g, h) of the map (0-1) is by definition the degree of the field extension of the fields of rational functions:

 $\mathbb{C}\left(\mathcal{C}(f,g,h)\right)\subset\mathbb{C}\left(\mathfrak{R}_{\Gamma}
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The degree deg C(f, g, h) of the curve C(f, g, h) is the degree of the reduced homogeneous equation defining C(f, g, h) in  $\mathbb{P}^2$ 

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Any  $f \in M_m(\Gamma)$ ,  $f \neq 0$  has a divisor which has the form  $\operatorname{div}(f) = (\text{the part independent of } f) + \mathfrak{c}'_f,$ 

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We write

$$\mathfrak{c}_f' = \sum_{\mathfrak{a} \in \mathfrak{R}_{\Gamma}} \ \nu_\mathfrak{a}(f)\mathfrak{a} \ (\text{a finite sum}), \ \mathfrak{c}_f'(\mathfrak{a}) = \nu_\mathfrak{a}(f)$$

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When  $f \in S_m(\Gamma)$ , we define another divisor

$$\mathfrak{c}_f = \mathfrak{c}_f' - \sum_{\substack{\mathfrak{a} \in \mathfrak{R}_{\mathsf{F}} \\ \mathfrak{a} \ \mathsf{cusp}}} \mathfrak{a}$$

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#### Theorem (M.)

We have the following:

$$d(f,g,h) \cdot \deg C(f,g,h) = \begin{cases} \dim M_m(\Gamma) + g(\Gamma) - 1 - \sum_{\mathfrak{a} \in \mathfrak{R}_{\Gamma}} \min (\mathfrak{c}'_f(\mathfrak{a}), \mathfrak{c}'_g(\mathfrak{a}), \mathfrak{c}'_h(\mathfrak{a})), \\ \dim S_m(\Gamma) + g(\Gamma) - 1 - \epsilon_m - \sum_{\mathfrak{a} \in \mathfrak{R}_{\Gamma}} \min (\mathfrak{c}_f(\mathfrak{a}), \mathfrak{c}_g(\mathfrak{a}), \mathfrak{c}_h(\mathfrak{a})), \\ if f, g, h \in S_m(\Gamma), \end{cases}$$

where  $\epsilon_2 = 1$  and  $\epsilon_m = 0$  for m even,  $m \ge 4$ .

Goran Muić Models of  $X_0(N)$  and beyond via modular forms and some app

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C(f, g, h) is a **model of**  $\mathfrak{R}_{\Gamma}$  if the map (0-1) defines birational equivalence, or equivalently d(f, g, h) = 1

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In this case, the theorem implies

$$\begin{split} &\deg \mathcal{C}(f,g,h) = \\ & \begin{cases} \dim M_m(\Gamma) + g(\Gamma) - 1 - \sum_{\mathfrak{a} \in \mathfrak{R}_{\Gamma}} \min \left( \mathfrak{c}'_f(\mathfrak{a}), \mathfrak{c}'_g(\mathfrak{a}), \mathfrak{c}'_h(\mathfrak{a}) \right), \\ \dim S_m(\Gamma) + g(\Gamma) - 1 - \epsilon_m - \sum_{\mathfrak{a} \in \mathfrak{R}_{\Gamma}} \min \left( \mathfrak{c}_f(\mathfrak{a}), \mathfrak{c}_g(\mathfrak{a}), \mathfrak{c}_h(\mathfrak{a}) \right), \\ & \text{ if } f, g, h \in S_m(\Gamma) \end{split}$$

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## Models of $\mathfrak{R}_{\Gamma}$

#### Definition

Let  $W \subset M_m(\Gamma)$  be a non-zero linear subspace. Then, we say that W determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$  if dim  $W \ge 2$ , and there exists a basis  $f_0, \ldots, f_{s-1}$  of W, such that  $\mathbb{C}(\mathfrak{R}_{\Gamma})$  is generated over  $\mathbb{C}$  by the quotients  $f_i/f_0$ ,  $1 \le i \le s - 1$ .

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This notion does not depend on the choice of the basis used. Also, it is equivalent to the fact that the holomorphic map  $\mathfrak{R}_{\Gamma} \longrightarrow \mathbb{P}^{s-1}$  given by  $z \mapsto (f_0(z) : \cdots : f_{s-1}(z))$  is birational onto its image in  $\mathbb{P}^{s-1}$ .

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For example, if dim  $S_m(\Gamma) \ge \max(g(\Gamma) + 2, 3)$ , then we can take  $W = S_m(\Gamma)$  by general theory of algebraic curves

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This notion does not depend on the choice of the basis used. Also, it is equivalent to the fact that the holomorphic map  $\mathfrak{R}_{\Gamma} \longrightarrow \mathbb{P}^{s-1}$  given by  $z \mapsto (f_0(z) : \cdots : f_{s-1}(z))$  is birational onto its image in  $\mathbb{P}^{s-1}$ .

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We recall that  $\mathfrak{R}_{\Gamma}$  is hyperelliptic if  $g(\Gamma) \geq 2$ , and there is a degree two map onto  $\mathbb{P}^1$ . If  $\mathfrak{R}_{\Gamma}$  is not hyperelliptic, then dim  $S_2(\Gamma) = g(\Gamma) \geq 3$ , and we can take  $W = S_2(\Gamma)$ , we have  $\mathcal{S}_2(\Gamma) = \mathcal{S}_2(\Gamma)$ . We recall that  $g(\Gamma_0(N)) \ge 2$  unless

$$\begin{cases} N \in \{1 - 10, 12, 13, 16, 18, 25\} \text{ when } g(\Gamma_0(N)) = 0, \text{ and} \\ N \in \{11, 14, 15, 17, 19 - 21, 24, 27, 32, 36, 49\} \text{ when } g(\Gamma_0(N)) = 1. \end{cases}$$

Let  $g(\Gamma_0(N)) \ge 2$ . Ogg has determined all  $X_0(N)$  which are hyperelliptic curves. In view of Ogg's paper, we see that  $X_0(N)$  is not hyperelliptic for  $N \in \{34, 38, 42, 43, 44, 45, 51 - 58, 60 - 70\}$  or  $N \ge 72$ . This implies  $g(\Gamma_0(N)) \ge 3$ .

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#### Proposition

Consider three linearly independent forms from the four dimensional space  $S_4(\Gamma_0(14))$  of cusp forms of weight four for  $\Gamma_0(14)$ :

$$\begin{split} f &= q^2 - 2q^5 - 2q^6 + q^7 - 6q^8 + 12q^{10} + 4q^{11} + 2q^{13} + \cdots, \\ g &= q^3 - q^5 - 2q^6 - q^7 - 4q^8 + 6q^9 + 10q^{10} - 6q^{11} + \cdots, \\ h &= q^4 - 2q^5 + q^7 + q^8 - 4q^{10} + 4q^{11} - 2q^{12} + 2q^{13} + \cdots. \end{split}$$

Then, the map (0-1) is a birational equivalence of  $X_0(14)$  and C(f, g, h). Moreover, deg C(f, g, h) = 3.

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$$f = q^{2} - 2q^{5} - 2q^{6} + q^{7} - 6q^{8} + 12q^{10} + 4q^{11} + 2q^{13} + \cdots,$$
  

$$g = q^{3} - q^{5} - 2q^{6} - q^{7} - 4q^{8} + 6q^{9} + 10q^{10} - 6q^{11} + \cdots,$$
  

$$h = q^{4} - 2q^{5} + q^{7} + q^{8} - 4q^{10} + 4q^{11} - 2q^{12} + 2q^{13} + \cdots.$$

Then, the map (0-1) is a birational equivalence of  $X_0(14)$  and C(f, g, h). Moreover, deg C(f, g, h) = 3.

**Proof:** Let  $\mathfrak{a}_{\infty}$  be the  $\Gamma_0(14)$ -orbit of the cusp  $\infty$ . Since the forms have at least double zero at  $\mathfrak{a}_{\infty}$ , and f has exactly double zero, we have

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$$\sum_{\mathfrak{a}\in X_0(14)}\min\left(\mathfrak{c}_f(\mathfrak{a}),\mathfrak{c}_g(\mathfrak{a}),\mathfrak{c}_h(\mathfrak{a})\right)\geq\min\left(\mathfrak{c}_f(\mathfrak{a}_\infty),\mathfrak{c}_g(\mathfrak{a}_\infty),\mathfrak{c}_h(\mathfrak{a}_\infty)\right)=1.$$

$$\implies 1 \le d(f,g,h) \cdot \deg \mathcal{C}(f,g,h) \le \\ \le \dim S_4(\Gamma_0(14)) + g(\Gamma_0(14)) - 1 - \epsilon_4 - 1 = 3$$

 $\implies g(\Gamma_0(14)) = 1 \implies \deg \mathcal{C}(f,g,h) \in \{1,2,3\}.$ 

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## Models of $\mathfrak{R}_{\Gamma}$

But deg C(f, g, h) = 1 means that C(f, g, h) is a line which is clearly impossible since f, g, and h are linearly independent. The case deg C(f, g, h) = 2 means that C(f, g, h) is an irreducible conic. Using

$$2d(f,g,h) = d(f,g,h) \cdot \deg \mathcal{C}(f,g,h) \leq 3,$$

we must have

$$d(f,g,h)=1$$

This means that  $X_0(14)$  is birationally equivalent to the conic C(f, g, h). But irreducible conic is non-singular. This means that  $X_0(14)$  isomorphic to a conic. This is a contradiction since conic has genus 0 while  $X_0(14)$  has genus 1. Thus, deg C(f, g, h) = 3. Consequently, d(f, g, h) = 1 proving the proposition.

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#### Theorem (Kodrnja-M.)

Assume that  $m \ge 2$  is an even integer. Let  $W \subset M_m(\Gamma)$ , dim  $W \ge 3$ , be a subspace which determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$  (see Definition 0-3). Let  $f, g \in W$  be linearly independent. Then there exists a non-empty Zariski open set  $\mathcal{U} \subset W$  such that for any  $h \in \mathcal{U}$  we have the following:

(i) f,g, and h are linearly independent;

(ii)  $\mathfrak{R}_{\Gamma}$  is birationally equivalent to  $\mathcal{C}(f, g, h)$  via the map (0-1).

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(i) f,g, and h are linearly independent;

(ii)  $\mathfrak{R}_{\Gamma}$  is birationally equivalent to  $\mathcal{C}(f, g, h)$  via the map (0-1).

**Problem:** Given f, g, determine h such that C(f, g, h) is a model of  $\mathfrak{R}_{\Gamma}$ 

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#### Corollary

Let  $m \ge 2$  be an even integer. Assume that one of the following holds:

(A)  $g(\Gamma_0(N)) \ge 1$ , and  $m \ge 4$  (if  $N \ne 11$ ) or  $m \ge 6$  (if N = 11); (B)  $X_0(N)$  is not hyperelliptic, and m = 2.

(In either case, dim  $S_m(\Gamma_0(N)) \ge 3$ .) Let  $f, g \in S_m(\Gamma_0(N))$  be linearly independent with integral q-expansions. Then, there exists infinitely many  $h \in S_m(\Gamma_0(N))$  with integral q-expansion such that we have the following:

- (i)  $X_0(N) \stackrel{\text{def}}{=} \mathfrak{R}_{\Gamma_0(N)}$  is birationally equivalent to  $\mathcal{C}(f, g, h)$  via the map (0-1), and
- (ii) the reduced equation of C(f, g, h) has integral coefficients up to a multiplication by a non-zero constant in  $\mathbb{C}$ .

## Some methods for explicit determination of h

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We offer two solutions:

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1) the method of estimates for Primitive Elements in finite extensions of algebriac function fields

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1) the method of estimates for Primitive Elements in finite extensions of algebriac function fields

2) the trial method for determining primitive element in finite extensions of algebriac function fields , commonly used in the cases of algebraic number fields

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#### Proposition

Assume that  $m \ge 2$  is an even integer. Let  $W \subset M_m(\Gamma)$ , dim W = 4, be a subspace which determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$  (see Definition 0-3). Select a basis  $\{f = f_0, g = f_1, f_2, f_3\}$  of W. We assume that all  $f_i$  has integral q-expansions. Then, there exists an explicitly computable  $c_0 \in \mathbb{Z}$ such that for all  $c \in \mathbb{Z}$ ,  $|c| \ge c_0$ ,  $\mathfrak{R}_{\Gamma}$  is birationally equivalent to  $\mathcal{C}(f, g, h_c)$  via the map (0-1) with  $h = h_c$ , where  $h_c \stackrel{def}{=} f_2 + cf_3$ .

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# Example for the method of estimates for Primitive Elements

#### Proposition

Consider the four dimensional space  $W \stackrel{\text{def}}{=} S_4(\Gamma_0(14))$  of cusp forms of weight four for  $\Gamma_0(14)$ . It has a basis:

$$\begin{split} f &= f_0 = q - 2q^5 - 4q^6 - q^7 + 8q^8 - 11q^9 - 12q^{10} + 12q^{11} + \cdots , \\ g &= f_1 = q^2 - 2q^5 - 2q^6 + q^7 - 6q^8 + 12q^{10} + 4q^{11} + 2q^{13} + \cdots , \\ f_2 &= q^3 - q^5 - 2q^6 - q^7 - 4q^8 + 6q^9 + 10q^{10} - 6q^{11} + \cdots , \\ f_3 &= q^4 - 2q^5 + q^7 + q^8 - 4q^{10} + 4q^{11} - 2q^{12} + 2q^{13} + \cdots . \end{split}$$

Put  $h_c \stackrel{\text{def}}{=} f_2 + cf_3$ ,  $c \in \mathbb{Z}$ , as in the statement of the previous proposition. Then,  $X_0(14)$  is birationally equivalent to  $C(f, g, h_c)$  via the map (0-1) with  $h = h_c$  for  $|c| \ge 7$ .
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Let  $W \subset S_m(\Gamma)$ ,  $m \ge 2$ , be a non-zero subspace that determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$ 

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Let  $W \subset S_m(\Gamma)$ ,  $m \ge 2$ , be a non-zero subspace that determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$ Assume that dim  $W = s \ge 4$ Let  $f_0, \ldots, f_{s-1}$  be a basis of W. We let  $f = f_0$  and  $g = f_1$ . Let  $K \stackrel{def}{=} \mathbb{C}(f/g)$ , and

$$L \stackrel{\text{def}}{=} \mathbb{C}(\mathfrak{R}_{\Gamma}) = \mathbb{C}(f_1/f_0, f_2/f_0, \ldots, f_{s-1}/f_0) = \mathbb{C}(f/g, f_2/f, \ldots, f_{s-1}/f)$$

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Let  $W \subset S_m(\Gamma)$ ,  $m \ge 2$ , be a non-zero subspace that determines the field of rational functions  $\mathbb{C}(\mathfrak{R}_{\Gamma})$ Assume that dim  $W = s \ge 4$ Let  $f_0, \ldots, f_{s-1}$  be a basis of W. We let  $f = f_0$  and  $g = f_1$ . Let  $K \stackrel{def}{=} \mathbb{C}(f/g)$ , and

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L is a finite algebraic extension of K, and we have the following:

$$L = K(f_2/f_0, \ldots, f_{s-1}/f_0).$$

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L is a finite algebraic extension of K, and we have the following:

$$L = K(f_2/f_0, \ldots, f_{s-1}/f_0).$$

**interested** in finding a primitive element of *L* over *K* which has the form of linear combination of the generators  $f_2/f_0, \ldots, f_{s-1}/f_0$ 

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For 
$$a \stackrel{\text{def}}{=} (a_2, a_3, \dots, a_{s-1}) \in \mathbb{Z}^{s-2}$$
, we let  
$$h \stackrel{\text{def}}{=} h_a \stackrel{\text{def}}{=} a_2 f_2 / f_0 + \dots + a_{s-1} f_{s-1} / f_0 \in L.$$

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by the main theorem

$$d(f, g, h) \cdot \deg C(f, g, h) \leq \dim S_m(\Gamma) + g(\Gamma) - 1 - \epsilon_m,$$

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 $d(f,g,h)\cdot \deg \mathcal{C}(f,g,h)\leq \dim S_m(\Gamma)+g(\Gamma)-1-\epsilon_m,$  Thus, if we have

$$\deg \mathcal{C}(f,g,h) > rac{\dim S_m(\Gamma) + g(\Gamma) - 1 - \epsilon_m}{2},$$

then d(f, g, h) = 1 i.e., C(f, g, h) is a model of  $\mathfrak{R}_{\Gamma}$ .

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then d(f, g, h) = 1 i.e., C(f, g, h) is a model of  $\mathfrak{R}_{\Gamma}$ . We organize (s - 2)-tuples in  $\mathbb{Z}^{s-2}$  as follows:

$$S_M \stackrel{\text{def}}{=} \left\{ a_2 f_2 / f_0 + \dots + a_{s-1} f_{s-1} / f_0; a_i \in \mathbb{Z}, \sum_{i=2}^{s-1} |a_i| = M \right\},$$

for all  $M \in \mathbb{Z}_{\geq 1}$ . For  $M \geq 1$ , we order elements of  $S_M$  using the lexicographical order.

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#### The algorithm:

- (1) Let M = 1. Repeat the following:
- (2) For  $a \in S_M$ , we repeat the following: compute deg C(f, g, h) (by means of computing the equation), and check if deg  $C(f, g, h) > \frac{\dim S_m(\Gamma) + g(\Gamma) 1 \epsilon_m}{2}$  for  $h = h_a$ . If the holds, then the algorithm stops. OUTPUT: h such that h/f is a primitive element for the extension  $K \subset L$ .
- (3) Increase M by one, and return to step (2).

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Let  $\Gamma = \Gamma_0(N)$  such that  $g(\Gamma_0(N)) \ge 4$ , and  $X_0(N)$  is not hyperelliptic  $\implies$  we may take  $W = S_2(\Gamma_0(N))$ . In this case we need to test

 $\deg \mathcal{C}(f,g,h) > g(\Gamma_0(N)) - 1.$ 

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$$\deg \mathcal{C}(f,g,h) > g(\Gamma_0(N)) - 1.$$

As an example, we consider the case N = 72. Then,  $g(\Gamma_0(72)) = 5$ , and we may take

$$\begin{split} f &= f_0 = q^3 - q^9 - 2q^{15} + q^{27} + 4q^{33} - 2q^{39} + \cdots, \\ g &= f_1 = q^5 - 2q^{11} - q^{17} + 4q^{23} - 3q^{29} + \cdots, \\ f_2 &= q^7 - q^{13} - 3q^{19} + q^{25} + 3q^{31} + 4q^{37} + \cdots, \\ f_3 &= q - 2q^{13} - 4q^{19} - q^{25} + 8q^{31} + 6q^{37} + \cdots, \\ f_4 &= q^2 - 4q^{14} + 2q^{26} + 8q^{38} + \cdots, \end{split}$$

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Applying above algorithm, we obtain the following:

(1) For 
$$M = 1$$
, we have three cases in their lexicographical order  $a = (0, 0, 1)$ ,  $(0, 1, 0)$ , and  $(1, 0, 0)$ . We have deg  $C(f, g, h_a) = 3$ , 2, and 3, respectively. In any case, deg  $C(f, g, h_a) \le g(\Gamma_0(72)) - 1 = 4$ . So, we go to the next step.

(2) For M = 2, in the lexicographical order, we have the following:

1. 
$$a = (0, 0, 2)$$
, deg  $C(f, g, h_a) = 3 \le g(\Gamma_0(72)) - 1 = 4$ ;  
2.  $a = (0, 1, 1)$ , deg  $C(f, g, h_a) = 3 \le 4$ ;  
3.  $a = (0, 2, 0)$ , deg  $C(f, g, h_a) = 2 \le 4$ ;  
4.  $a = (1, 0, 1)$ , deg  $C(f, g, h_a) = 7 > 4$ ; STOP.

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hence, for  $h = h_{(1,0,1)}$  is a birational equivalence of  $X_0(72)$  and  $C(f, g, h_{(1,0,1)})$ . The reduced equation of  $C(f, g, h_{(1,0,1)})$  is given by the irreducible polynomial

$$\begin{array}{l} x_0^7 - 4x_0^6 x_1 - 3x_0^4 x_1^3 - 8x_0^3 x_1^4 - x_0^2 x_1^5 - 4x_0 x_1^6 - 4x_1^7 - 4x_0^5 x_1 x_2 + \\ + 2x_0^3 x_1^3 x_2 - 4x_0^2 x_1^4 x_2 - x_0^4 x_1 x_2^2 + 8x_0^3 x_1^2 x_2^2 - 4x_0 x_1^4 x_2^2 + 8x_1^5 x_2^2 + \\ + 4x_0^2 x_1^2 x_2^3 - 4x_1^3 x_2^4 \end{array}$$

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## Applications

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I discussed in my talk in Split in June, we use Hilbert's irreducibility to compute certain Galois groups of finite extensions of algebraic function fields ( a variant of considerations of Serre)

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I discussed in my talk in Split in June, we use Hilbert's irreducibility to compute certain Galois groups of finite extensions of algebraic function fields ( a variant of considerations of Serre)

I am also interested in obtaining explicit results in the theory of complex algebraic curves, "representation theory of curves" instead of the representation theory of reductive Lie groups

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Thank you!

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