

# How to compute the unitary dual

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# Outline

How to compute  
the unitary dual

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Introduction

Introduction

Spherical reps

Spherical representations

Polygon geom

Polygon geometry

Dirac

Relation to Dirac inequality

Slides eventually at

<http://www-math.mit.edu/~dav/paper.html>

# What's this about **really**?

$G(\mathbb{R})$  any real reductive algebraic group.

$\widehat{G(\mathbb{R})}_u =$  (equiv classes of) **irr unitary reps of  $G(\mathbb{R})$ .**

I'll assume that studying this set (the **unitary dual problem**) is the most world's best problem.

**How can you approach it?**

Goal for today: focus on a **small piece** of the unitary dual problem for which the answer involves some interesting and accessible mathematics; and which displays many ideas from the general case.

# Two important subgroups for $GL(n, \mathbb{R})$

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$K(\mathbb{R}) = O(n)$  = orthogonal group,

$A$  = **positive** diagonal matrices,

$A^+$  = positive diag mats with **decreasing** entries.

Any invertible  $n \times n$  real  $g$  has a **polar decomposition**

$$g = k_1 a k_2, \quad (a \in A^+, \quad k_i \in O(n)).$$

Matrix  $a$  is **unique**. Diag entries are the **singular values** of  $g$ . Largest singular value is

$$a_1 = \max_{v \in \mathbb{R}^n \setminus \{0\}} \frac{\|gv\|}{\|v\|},$$

the largest amount that  $g$  can **stretch** a vector.

Similarly,  $a_n$  is the least that  $g$  can **shrink** a vector.

Since  $K(\mathbb{R})$  is **compact**, polar decomp says that  $A$ —better,  $A^+$ —**enumerates all ways to go to infinity in  $G(\mathbb{R})$ .**

# So what can you do with $KAK$ ?

$K = O(n)$  = orthogonal group,

$A$  = positive diagonal matrices,

$A^+$  = positive diag mats with decreasing entries.

Study **harmonic functions on the unit disc** by **boundary values**: limiting behavior in radial directions.

Same applies to **functions on  $GL(n, \mathbb{R}) = KAK$** : helps to study **limiting behavior in the  $A$  variable**, particularly along  $A^+$ .

(approximate) **Theorem** (Harish-Chandra). If  $\phi$  nice function on  $GL(n, \mathbb{R})$ , (say matrix coeff of irr rep) then there is an **asymptotic expansion** at infinity on  $A^+$

$$\phi(k_1 a k_2) \sim c(k_1, k_2) a^\nu + \text{lower terms}, \quad (a \in A^* \rightarrow \infty)$$

with  $\nu \in \mathbb{C}^n$ . Here  $a^\nu = a_1^{\nu_1} \cdots a_n^{\nu_n}$ , and “lower terms” are

$$c_m(k_1, k_2) a^{\nu-m}, \quad m \in \mathbb{Z}^n \text{ sum of } e_i - e_j \text{ with } i < j.$$

Condition on  $m$  makes  $a^{-m}$  **decay exponentially** on  $A^+$ .

$$\text{irr repr } \pi \xrightarrow{\text{mat coeff}} \text{function } \phi \xrightarrow{\text{asympt}} \nu \in \mathbb{C}^n = \mathfrak{a}^*.$$

This is a hint of what the Langlands classification looks like.

# Two important subgroups for $G(\mathbb{R})$

Suppose  $G(\mathbb{R})$  real reductive algebraic. Define

$K(\mathbb{R})$  = maximal compact subgroup,

$G(\mathbb{R}) = K(\mathbb{R})AN(\mathbb{R})$  Iwasawa decomposition

$A \simeq \mathfrak{a} = \text{Lie}(A)$  vector group

$A^+$  = subgroup acting on  $\mathfrak{n}(\mathbb{R})$  by eigvals  $\geq 1$ .

Any  $g \in G(\mathbb{R})$  has a **Cartan decomposition**

$$g = k_1 a k_2, \quad (a \in A^+, k_i \in K(\mathbb{R})).$$

Element  $a$  is **unique**. Measures **distance** of  $g$  from  $K(\mathbb{R})$ .

Since  $K(\mathbb{R})$  is **compact**, polar decomp says that  $A^+$  **enumerates ways to go to infinity in  $G(\mathbb{R})$** .

# So what can you do with $KAK$ ?

Study nice functions on  $G(\mathbb{R}) = KAK$  via their **limiting behavior** in the the  $A$  variable, particularly along the cone  $A^+$ .

(approximate) **Theorem** (Harish-Chandra). If  $\phi$  nice function on  $G(\mathbb{R})$ , (say matrix coeff of irr rep) then there is an **asymptotic expansion** at infinity on  $A^+$

$$\phi(k_1 a k_2) \sim c(k_1, k_2) a^\nu + \text{lower terms}, \quad (a \in A^* \rightarrow \infty)$$

with  $\nu \in \mathfrak{a}^*$ . Here  $a^\nu = \exp(\nu(\log(a)))$ ; “lower terms” are

$$c_m(k_1, k_2) a^{\nu-m}, \quad m \in \mathfrak{a}^* \text{ sum of weights of } \mathfrak{a} \text{ on } \pi(\mathbb{R}).$$

Condition on  $m$  makes  $a^{-m}$  **decay exponentially** on  $A^+$ .

$$\text{irr repn } \pi \xrightarrow{\text{mat coeff}} \text{function } \phi \xrightarrow{\text{asympt}} \nu \in \mathbb{C}^n = \mathfrak{a}^*.$$

This display is **the idea of the Langlands classification**: irreducible representations of  $G(\mathbb{R})$  are approximately indexed by **complex-valued linear functionals on the real vector space  $\mathfrak{a}$** .

# Langlands classification for spherical reps

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$G(\mathbb{R}) = K(\mathbb{R})AN(\mathbb{R})$  **Iwasawa decomposition.**

Real vector space  $\mathfrak{a}$  comes with (maybe not reduced) **restricted root datum**  $(X^*, R, X_*, R^\vee)$ , so **small Weyl group**  $W_A$ .

Repn  $(\pi, V_\pi)$  of  $G(\mathbb{R})$  called **spherical** if  $V_\pi^{K(\mathbb{R})} \neq 0$ .

**Theorem** (Harish-Chandra)

1. Irreducible (not necessarily unitary) **spherical** reps of  $G(\mathbb{R})$  are in bijection with  $\mathfrak{a}^*/W_A$ .
2. Suppose  $\pi$  is such a representation,  $v \in V_\pi^{K(\mathbb{R})}$ ,  $\lambda \in (V_\pi^d)^{K(\mathbb{R})}$ , and  $\lambda(v) = 1$ . Then the function

$$\phi_\pi(g) = \lambda(\pi(g)v) \in C^\infty(G)$$

is  $K(\mathbb{R})$ -bi-invariant, **indep of choices** of  $v$  and  $\lambda$ .

3. The function  $\phi_\pi$  has an asymptotic expansion along  $A^+$  with a leading term

$$a \mapsto a^{v-\rho}, \quad v \in \mathfrak{a}^*, \quad \operatorname{Re}(v(H_\alpha)) \geq 0 \quad (\text{all } H_\alpha \in R^{\vee,+}).$$

4. The correspondence in (1) is  $\pi \mapsto W_A \cdot v$ .



# What does that tell you?

Function  $\phi_\pi(g) = \lambda(\pi(g)v)$  is a **matrix coeff** of  $\pi$ .

Representation  $\pi$  is unitarizable iff  $\phi_\pi$  is **positive definite**, so that's the big question.

Reduction from Knapp's book *Overview*: write

$$v = v_{\text{Re}} + i v_{\text{im}}, \quad \text{with } v_{\text{Re}} \text{ and } v_{\text{im}} \text{ real-valued linear functionals}$$

$$P_{v_{\text{im}}}(\mathbb{R}) = L_{v_{\text{im}}}(\mathbb{R}) U_{v_{\text{im}}}(\mathbb{R}) \quad \text{parabolic def by } v_{\text{im}}.$$

$$\pi_L = \text{spherical rep of } L_{v_{\text{im}}}(\mathbb{R}) \text{ defined by } v_{\text{Re}}.$$

Then  $\pi$  is unitary for  $G(\mathbb{R})$  iff  $\pi_L$  is unitary for  $L_{v_{\text{im}}}(\mathbb{R})$ ;

and in this case  $\pi_L$  is unitarily induced from  $P_{v_{\text{im}}}(\mathbb{R})$ .

**Reduced** big question: for which real  $v \in \mathfrak{a}^*$  is  $\pi$  unitary?

**Theorem** (Helgason-Johnson):  $\phi_\pi$  is **bdd** iff  $v \in \text{cvx hull}(W_A \cdot \rho)$ .

So need to run the **unitarity algorithm** on all  $v \in \text{cvx hull}$ .

**Good news**: that's a **compact** polyhedron.

**Bad news**: it's **enormous**.

**Worst news**: it's **uncountably infinite**.

# Polygon Pollyanna

$$\alpha_0^* \supset \text{cvx hull } \langle W \cdot \rho \rangle \supset \langle W \cdot \rho \rangle \cap \alpha_0^{*,+} =_{\text{def}} HJ$$

**Worst news** was that we need to check unitarity for **uncountably many** points in  $HJ$ .

“Pollyanna” is one who looks at huge polytope and says, “There must be a root datum in here somewhere.”

Recall **restricted root datum**  $(X^*, R, X_*, R^\vee)$ .

Root datum  $\rightsquigarrow$  **aff coroot hyperplanes** and **aff reflections**

$$H_{\alpha^\vee, m} = \{\nu \in \alpha_0^* \mid \langle \nu, \alpha^\vee \rangle = m\}, \quad s_{\alpha^\vee, m}(\nu) = \nu - (\langle \nu, \alpha^\vee \rangle - m)\alpha.$$

**Affine Weyl group**  $W_{A, \text{aff}} = \langle s_{\alpha^\vee, m} \rangle$ , a **Coxeter group**.

Like any loc fin hyperplane arrangement, affine coroot hyperplanes partition  $\alpha_0^*$  into **facets**, each the interior of a (probably lower dimensional) **convex polytope**.

Any compact set (like  $HJ$ ) meets only **finitely many facets**.

Unitarity status is **constant on facets**.

# How to compute (spherical) unitary dual

1. Find a **compact set** (like  $HJ = \langle W \cdot \rho \rangle \cap \mathfrak{a}_0^{*,+}$ ) containing all the unitary points.
2. **Compute** the (finite) partition of your set into facets.
3. On each facet, **test** the unitarity of one point.

Barbasch and Ciubotaru have an improvement of (1):

The **fundamental parallelepiped** is

$$FPP =_{\text{def}} \left\{ \nu \in \mathfrak{a}_0^* \mid 0 \leq \langle \nu, \alpha^\vee \rangle \leq 1, \right. \\ \left. \text{all simple restricted coroots } \alpha^\vee \right\}$$

At least for  $G(\mathbb{R})$  **split**, they prove

if  $\nu \in \mathfrak{a}_0^{*,+}$  and  $\pi(\nu)$  **unitary**, then  $\nu \in FPP$ .

The set  $FPP$  is **much** smaller than  $HJ$ , so computationally this is a big improvement.

# Connection with the Dirac inequality

The original abstract promised that there would be a connection with Dirac operators.

Parthasarathy's Dirac inequality says

if  $\nu \in \alpha_0^{*,+}$  and  $\pi(\nu)$  **unitary**, then  $\langle \nu, \nu \rangle \leq \langle \rho, \rho \rangle$ .

This statement is slightly weaker than the Helgason-Johnson bound appearing two slides earlier.

It would be interesting to use ideas related to the **unitary representation**  $V_\pi \otimes \text{Spin}$  of  $U(\mathfrak{g}) \otimes C(\mathfrak{p})$ —that is, to the world of the Dirac operator—to prove the B-C statement about *FPP* on the previous slide.

**Really** what I would like is a proof of a statement like that of Barbasch-Ciubotaru applicable to not-necessarily spherical representations.