# $C_2$ -cofiniteness of commutant subVOA

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- Motivation
- **2** Setting for commutant subVOA and the statement of our theorem.
- Our strategy and V-internal operators
- Matrix equations AX = B and solutions  $X = A^{-1}B$ .
- Functions and Rigidity
- O Borcherds-like identity
- The case where V is generated by self-dual simple modules
- The minimal counterexample and orbifold theory

### Motivation

Powerful methods for construction (of infinite series) of SVOAs are

- (1) Orbifold construction by finite automorphism group
- (2) Commutant subVOA

(3) Homological construction, e.g.  ${\rm Ker}\rho/{\rm Image}\rho$  for an endomorphism  $\rho$  of some SVOA satisfying  $\rho^2 = 0$ .

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(ii) "rationality" = all  $\mathbb{N}$ -gradable V-mods are direct sums of simple mods.

Many beautiful results (f.g. Verlinde formula) hold under two conditions. So, it is important to check them.

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In the case  $V \cong V'$ . If  $\exists$  simple  $\mathbb{N}$ -graded V-mod W such that W and W' (restricted dual) are C<sub>2</sub>-cof, then V is also C<sub>2</sub>-cof.

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Moreover, if we once get  $C_2$ -cof., then we can get global properties:  $\ddagger$  of simple *V*-mods is finite,

Fusion products are well-defined,

modular invariance, etc.

These will help the proof for "Rationality".

So, let's start with the proof of  $C_2$ -cofiniteness.

### SubVOA and Commutant subVOA 1

For the orbifold case (1), I have proved  $C_2$ -cofiniteness of orbifold models.

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If V is a simple VOA of CFT-type and  $V' \cong V$  and  $G \subseteq Aut(V)$  is finite. If V is  $C_2$ -cofinite, then so is  $V^G$ .

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Conj 1 (Fundamental)

*V* is  $C_2$ -cof. VOA, *U* is  $C_2$ -cof. subVOA, then  $U^c := \{v \in V \mid \omega_0^U v = 0\}$  is also  $C_2$ -cofinite. More generally. If *V* is  $C_2$ -cof., *U* is subVOA and *V* is a finite direct sum of simple *U*-modules, then *U* is  $C_2$ -cofinite?

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We will give a partial answer to these conjectures. Most ideas from [M18]. Although, for a cyclic group auto, we use simple currents. For a non-solvable group, we used a self-dual simple  $V^{G}$ -mod.

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where  $U^i$  are simple U-mods,  $W^i$  are simple W-mods. and  $i \neq j$ , then  $U^i \ncong U^j$ ,  $W^i \ncong W^j$ , by [Lin 2017] etc.

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#### Theorem 3

Let V be a C<sub>2</sub>-cofinite simple VOA of CFT-type and V'  $\cong$  V. Assume that U is C<sub>2</sub>-cofinite subVOA and V =  $\bigoplus_{i \in \Delta} (U^i \otimes W^i)$ with distinct simple U-mods U<sup>i</sup> and distinct simple W-mods W<sup>i</sup>. If U satisfies rigidity, then W is also C<sub>2</sub>-cofinite.

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I will explain our ideas to prove this theorem.

### Our methods and V-internal fusion product

Since U is C<sub>2</sub>-cof., wt( $U^i$ )  $\in \mathbb{Q}$  and so wt( $W^i$ ), say in  $\mathbb{Z}/R$ . We know nothing about general W-mods. How to treat W-mods?

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### Our Strategy

As U-mods (W-mods), we treat only direct sums of  $U^{i}$ 's, (of  $W^{i}$ 's). About intertwining op. we consider only intertwining ops. appeared in V.

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### Notation 1

Since 
$$V \cong V'$$
,  $(U^i)' \cong U^j$  ( $\exists j$  denote by  $\overline{i}$ )  
Set  $W^{\Delta} = \bigoplus_{i \in \Delta} W^i$  (also  $U^{\Delta} = \bigoplus_{i \in \Delta} U^i$ )  
Using  $u \in U^{\Delta}$ , we define *W*-hom:  $\Omega_u : V \to W^{\Delta}$  by  
 $\Omega_u(\sum_{j \in \Delta} u^j \otimes w^j) = \sum_j \langle u, u^j \rangle w^j \in W^{\Delta}$ .

Similarly, we define U-homo  $\Omega_w: V o U^{\Delta}$  by

$$\Omega_w(\sum_{j\in\Delta} u^j\otimes w^j)=\sum_j\langle w,w^j\rangle u^j\in U^{\Delta}.$$

### Definition 1

Using 
$$u^1 \in U^i$$
,  $u^2 \in U^j$ ,  $u^3 \in U^{\bar{k}}$ , we define  
 $\mathcal{I}^{u^1, u^2, u^3}(w^1, z)w^2 \rangle = \Omega_{u^3}(Y(u^1 \otimes w^1, z)(u^2 \otimes w^3))z^{\mathrm{wt}^U(u^1) + \mathrm{wt}^U(u^2) - \mathrm{wt}^U(u^3)}$   
for  $w^1 \in W^i$ ,  $w^2 \in W^j$ .  
Similarly, using  $w^1 \in W^i$ ,  $w^2 \in W^j$ ,  $w^3 \in W^{\bar{k}}$ , we define  
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### Lemma 4

$$\mathcal{I}^{w^1,w^2,w^3} \in Iig( egin{array}{c} U^k \ U^i \, U^j ig) & ext{ and } \mathcal{J}^{u^1,u^2,u^3} \in Iig( egin{array}{c} W^k \ W^i \, W^j ig). \end{cases}$$

#### Definition 2

Set  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix}$ ,  $I_W^V \begin{pmatrix} W^k \\ W^i, W^j \end{pmatrix}$  the subspaces of  $I \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix}$ ,  $I \begin{pmatrix} W^k \\ W^i, W^j \end{pmatrix}$  spanned by the above intertwining operators. We call elements in these subspaces "*V*-internal operators".

Since U is  $C_2$ -cofinite, dim  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix} \le \dim I \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix} < \infty$ . Choose a basis  $\{\mathcal{I}_{i,j,k}^s \mid s \in B_{i,j,k}\}$  of  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix}$ .

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#### Theorem 5

There are  $\mathcal{J}_{i,j,k}^{s} \in I_{W}^{V} \begin{pmatrix} W^{k} \\ W^{i}, W^{j} \end{pmatrix}$  such that  $Y = \sum_{i,j,k} \sum_{s \in B_{i,j,k}} \mathcal{I}_{i,j,k}^{s} \otimes \mathcal{J}_{i,j,k}^{s}.$ Furthermore,  $\{\mathcal{J}_{i,j,k}^{s} \mid s \in B_{i,j,k}\}$  is a basis of  $I_{W}^{V} \begin{pmatrix} W^{k} \\ W^{i}, W^{j} \end{pmatrix}$ . In particular, dim  $I_{U}^{V} \begin{pmatrix} U^{k} \\ U^{i}, U^{j} \end{pmatrix} = \dim I_{W}^{V} \begin{pmatrix} W^{k} \\ W^{i}, W^{j} \end{pmatrix}$ 

Since *U* is *C*<sub>2</sub>-cofinite, dim  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix} \le \dim I \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix} < \infty$ . Choose a basis  $\{\mathcal{I}_{i,j,k}^s \mid s \in B_{i,j,k}\}$  of  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix}$ .

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Namely, if  $v^1 = u^1 \otimes w^1 \in U^i \otimes W^i$ ,  $v^2 = u^2 \otimes w^2 \in U^j \otimes W^j$  and  $\pi_k : V \to U^k \otimes W^k$  a projection, then  $\pi_k(Y(v^1, z)v^2) = \sum_{s \in B_{i,j,k}} \mathcal{I}^s_{i,j,k}(u^1, z)u^2 \otimes \mathcal{J}^s_{i,j,k}(w^1, z)w^2$ 

### W = V/U, self-knowledge comes from knowing other men.

Our proof is: we get information on  $I_W^V \begin{pmatrix} W^k \\ W^i, W^j \end{pmatrix}$  from  $I_U^V \begin{pmatrix} U^k \\ U^i, U^j \end{pmatrix}$  and Y. Simply, write  $Y = \sum_{a \in B} \mathcal{I}^a \otimes \mathcal{J}^a$ . Then we have

 $\begin{array}{l} \langle u^4 \otimes w^4, Y(Y(u^1 \otimes w^1, x - y)(u^2 \otimes w^2), y)(u^3 \otimes w^3) \rangle \\ = \sum_{a,b \in \mathcal{B}} \langle u^4, \mathcal{I}^a(\mathcal{I}^b(u^1, x - y)u^2, y)u^3 \rangle \langle w^4, \mathcal{J}^a(\mathcal{J}^b(w^1, x - y)w^2, y)w^3 \rangle. \end{array}$ 

We simply write  $Y(Y()) = \sum_{i \in D} \mathcal{I}^{a_i}(\mathcal{I}^{b_i}) \otimes \mathcal{J}^{a_i}(\mathcal{J}^{b_i})$ 

### Theorem 6

$$\langle w^4, \mathcal{J}^a(\mathcal{J}^b(w^1, x-y)w^2, y)w^3 \rangle.$$

are absolutely convergent on 0 < |x - y| < |y| and analytically extended to the multi-valued analytic functors whose poles are at most x, y, x - y. We have the same statement for V-internal operators of U-modules. We also have the similar statement for

 $\langle u^4, \mathcal{I}^s(u^1,x)\mathcal{I}^t(u^2,y)u^3\rangle \text{ and } \langle w^4, \mathcal{J}^s(w^1,x)\mathcal{J}^t(w^2,y)w^3\rangle.$ 

The poles of LHS are at most x, y, x - y. View  $\langle \cdot, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(\cdot, x - y) \cdot, y) \cdot \rangle_{i=1,...,S}$  as a function on  $(\bigoplus_{i \in \Delta} U^i)^{\oplus 4}$  with values in functions of x and y. Since  $\{\langle \cdot, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(\cdot, x - y) \cdot, y) \cdot \rangle \mid i = 1, ..., S\}$  is linearly independent, we may choose qualtets  $(u_1^1, u_1^2, u_1^3, u_1^4), ..., (u_5^1, u_5^2, u_5^3, u_5^4)$  such that  $S \times S$ -matrix  $A := (\langle u_j^4, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(u_j^1, x - y)u_j^2, y)u_j^3 \rangle)$  has nonzero determinant.

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#### Equations on vectors over function fields

$$\begin{array}{l} (\langle v_i^4, Y(Y(v_i^1, x - y)v_i^2, y)v_i^3 \rangle_{i=1,...,S} \\ = A(\langle w^4, \mathcal{J}^{s_i}(\mathcal{J}^{t_i}(w^1, x - y)w^2, y)w^3 \rangle_{j=1,...,s} \end{array}$$

as column vectors, where  $v_i^a = u_i^a \otimes w^a$  for a = 1, 2, 3, 4. So we have:  $\langle w^4, \mathcal{J}^{s_i}(\mathcal{J}^{t_i}(w^1, x - y)w^2, y)w^3 \rangle = A^{-1} \langle v_i^4, Y(Y(v_i^1, x - y)v_i^2, y)v_i^3 \rangle$ 

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The poles of LHS are at most x, y, x - y. View  $\langle \cdot, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(\cdot, x - y) \cdot, y) \cdot \rangle_{i=1,...,S}$  as a function on  $(\bigoplus_{i \in \Delta} U^i)^{\oplus 4}$  with values in functions of x and y. Since  $\{\langle \cdot, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(\cdot, x - y) \cdot, y) \cdot \rangle \mid i = 1, ..., S\}$  is linearly independent, we may choose qualtets  $(u_1^1, u_1^2, u_1^3, u_1^4), ..., (u_5^1, u_5^2, u_5^3, u_5^4)$  such that  $S \times S$ -matrix  $A := (\langle u_j^4, \mathcal{I}^{a_i}(\mathcal{I}^{b_i}(u_j^1, x - y)u_j^2, y)u_j^3\rangle)$  has nonzero determinant. Then

#### Equations on vectors over function fields

$$\begin{array}{l} (\langle v_i^4, Y(Y(v_i^1, x - y)v_i^2, y)v_i^3 \rangle_{i=1,...,S} \\ = A(\langle w^4, \mathcal{J}^{s_i}(\mathcal{J}^{t_i}(w^1, x - y)w^2, y)w^3 \rangle_{j=1,...,s} \end{array}$$

as column vectors, where  $v_i^a = u_i^a \otimes w^a$  for a = 1, 2, 3, 4. So we have:  $\langle w^4, \mathcal{J}^{s_i}(\mathcal{J}^{t_i}(w^1, x - y)w^2, y)w^3 \rangle = A^{-1}\langle v_i^4, Y(Y(v_i^1, x - y)v_i^2, y)v_i^3 \rangle$ Since RHS are all absolutely convergents, we have it for LHS. If we assume that  $\langle w^4, \mathcal{J}^a(\mathcal{J}^b(w^1, x - y)w^2, y)w^3 \rangle$  has a pole at x - ry, then we can choose the above determinant is not zero at x - ry, then solving the equation, we have a contradiction.

Masahiko Miyamoto

### Fusion product

Because we have  $I_W^V \begin{pmatrix} W^k \\ W^i, W^j \end{pmatrix}$ , we can naturally define

$$W^i \boxtimes_W^V W^j := \oplus_k (W^k)^{\dim I^V_W inom{W^k}{W^i, W^j}}$$

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### Remark 1

There is a natural def. of fusion products for V-internal ops, as a projective limit of increasing series of surjective (linear combs. of) V-internal ops. Our definition of the V-internal fusion products depends on the choice of a basis  $\{\mathcal{I}_{i,j,k}^{s} \mid s \in B_{i,j,k}\}$  of  $I_{U}^{V} \begin{pmatrix} U^{k} \\ U^{i} U^{j} \end{pmatrix}$ , but the isomorphism class of V-internal fusion product does not depend on the choice of bases and coincides with the natural one.

Moving to y = 0 according to a suitable path, we can expand  $\langle w^4, \mathcal{J}^a \mathcal{J}^b(w^1, x - y) w^2, y \rangle w^3 \rangle$  in the form  $\sum_j \langle w^4, \tilde{\mathcal{J}}^{a_j}(w^1, x) (\tilde{\mathcal{J}}^{b_j}(w^2, y) w^3 \rangle.$ 

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Using the previous arguments, we can prove that  $\tilde{\mathcal{J}}^{a_j}\tilde{\mathcal{J}}^{b_j}$  are replaced by linear combinations of products of *V*-internal operators. So, we have  $\langle w^4, \mathcal{J}^{a_i}(\mathcal{J}^{b_i}(w^1, x - y)w^2, y)w^3 \rangle = \sum \tau_{i,j} \langle w^4, \mathcal{Y}^{s_j}(w^1, x)\mathcal{J}^{t_j}(w^2, y)w^3 \rangle.$ 

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#### Theorem 7

 ${}^{t}(\kappa_{i,j})(\tau_{i,j}) = I$ . So,  $U^{j}$  satisifies right (left) rigidity if and only if  $W^{j}$  satisfies left (right) rigidity.

### Borcherds'-like identity

We assume that  $P := W^j$  is self-dual and  $W^j$  generates V. We want to show that  $V^i = U^i \otimes W^i$  is  $C_2$ -cofinite for some j. Finiteness  $V^j/C_2(V^i)$  is not information for small weights. So, Ignore small weights.

Theorem 8 (Borcherds' like identity)

Let  $\pi: V \to U \otimes W$  a projection. For  $\theta \in (C_2(V^j))^{\perp}$  and  $v^i \in U^j \otimes W^j$ with  $\operatorname{wt}(\theta) > \operatorname{wt}(v^1) + \operatorname{wt}(v^2) + \operatorname{wt}(v^3) + 1$ , we have  $\langle \theta, \pi(v_n^1 v^2)_m v^3 \rangle$  $= \lambda \langle \theta, \sum_{i=0}^{\infty} {n \choose i} (-1)^i \{ v_{n-i}^1 \pi(v_{m+i}^2 w) - (-1)^n v_{n+m-i}^2 \pi(v_i^1 v^3) \} \rangle$ 

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In particular, by taking  $m \leq -2$ , we have

Corollary 1

$$\langle \theta, \sum_{i=0}^{\infty} \binom{n}{i} (-1)^i v_{n-i}^1 \pi(v_{i-2}^2 v^3) \rangle = \langle \theta, \sum_{i=0}^{\infty} \binom{n}{i} (-1)^{i+n} v_{n-2-i}^2 \pi(v_i^1 v^3) \rangle$$

### The case V is generated by a self-dual simple module P

So we will consider the set  $Map(\mathbb{N}, \mathbb{C})$  of all maps from  $\mathbb{Z}$  to  $\mathbb{C}$  satisfying f(n) = 0 for  $n \in \mathbb{Z}_{<0}$ . Let  $\mathcal{F}_0$  and  $\mathcal{F}_1$  be the spaces of coefficients f(x) of  $a_{(-x+M-1)}b$  at  $\alpha_{(-x-1)}\mathbf{1}$  modulo K for  $a \in T, b \in P$  and  $a \in P, b \in T$ , that is,

$$\begin{split} \mathcal{F}_0 &= \operatorname{Span}_{\mathbb{C}} \left\{ f \in \operatorname{Map}(\mathbb{N}, \mathbb{C}) \mid {}^\exists a \in T, {}^\exists b \in P \text{ s.t.} \\ &\langle \theta, a_{(-x+M-1)}b \rangle = f(x) \text{ for } x \in \mathbb{N} \right\}, \\ \mathcal{F}_1 &= \operatorname{Span}_{\mathbb{C}} \left\{ f \in \operatorname{Map}(\mathbb{N}, \mathbb{C}) \mid {}^\exists a \in P, {}^\exists b \in T \text{ s.t.} \\ &\langle \theta, a_{(-x+M-1)}b \rangle = f(x) \text{ for } x \in \mathbb{N} \right\}. \end{split}$$

As we did in the proof for cyclic automorphism group, we define

$$\begin{aligned} Sf(n) &= (-1)^n \sum_{k=0}^n \binom{n}{k} (-1)^k f(n-k) & \text{for } n \in \mathbb{N}, \\ Tf(n) &= (-1)^n f(n) & \text{for } n \in \mathbb{N}. \end{aligned}$$

and we can get a contradiction.

# Final Step

Consider all counterexamples  $(V, H, U \otimes W)$ , where V and U are  $C_2$ -cofinite,  $U \otimes W \subseteq H$  are not  $C_2$ -cofinite and V and H are direct sums of distinct simple  $U \otimes W$ -modules. In particular,  $V = \oplus H^i$  with simple H-modules  $H^i$ . Choose  $(V, H, U \otimes W)$  with minimal number of simple sub H-modules. By the theorem, we may assume  $H^i \ncong H^{\overline{i}}$  for  $H^i \ncong H$ .

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Then we can check that  $((V \otimes V)^{\sigma}, (H \otimes H)^{\sigma}, (U \otimes U)^{\sigma} \otimes (W \otimes W)^{\sigma})$  satisfies the assumption of Theorem.

Then  $H^i \otimes H^{\overline{i}} + H^{\overline{i}} \otimes H^i$  is self-dual simple  $(H \otimes H)^{\sigma}$ -module. Let K := subVOA generated by self-dual  $(H \otimes H)^{\sigma}$ -submods, then since (V, H) is minimal counterexample, i.e.  $\geq ((V \otimes V)^{\sigma}, K, U \otimes W)$ , we can get that finite  $G \subseteq \operatorname{Aut}((V \otimes V)^{\sigma})$  s.t.  $K = ((V \otimes V)^{\sigma})^G$ .

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# Thank you for listening !!