

Unitary Representations and Hodge Theory

Jeffrey Adams Dubrovnik, June 24, 2019 Joint with: Peter Trapa and David Vogan

Based on conversations with Wilfried Schmid and Kari Vilonen

Atlas of Lie Groups and Representations:

 $\pi:$ irreducible representation of a real reductive group with real infinitesimal character

Main result: an algorithm to compute the signature of the invariant Hermitian form on an irreducible representation of a real reductive group. Ultimately: determine the unitary dual.

Conjecture of Schmid and Vilonen:

 π admits a canonical Hodge filtration $\ldots F_p \subset F_{p+1} \subset \ldots$. There is a precise relationship between the signs of the invariant Hermitian form and levels of the Hodge filtration.

This talk:

- 1) Explain the algorithm to compute Hermitian forms
- 2) Generalization to compute the Hodge filtration
- 3) The relationship between signature and the Hodge filtration.

THE C-FORM

G: connected, complex reductive group

 $\mathfrak{g}_0 = \operatorname{Lie}(G), \ \mathfrak{g} = \mathfrak{g}_0 \otimes \mathbb{C}$

 θ : algebraic involution of G, $K = G^{\theta}$ (corresponding to a real form $G(\mathbb{R})$ of G)

 $\pi = (\pi, V)$: (g, K)-module with real infinitesimal character (λ is in the real span of the roots)

An invariant Hermitian form on V:

$$(\pi(X)v,w)+(v,\pi(X)w)=0 \quad (X\in\mathfrak{g}_0)$$

or equivalently

$$(\pi(X)v,w)+(v,\pi(\sigma(X))w)=0 \quad (\mathfrak{g}_0=\mathfrak{g}^\sigma,\,X\in\mathfrak{g})$$

If π is irreducible, (,) is unique up to real scalar, and π is unitary if (,) is (can be chosen to be) positive definite.

SIGNATURES OF HERMITIAN FORMS

Problem: Suppose (π, V) supports an invariant Hermitian form (,). Compute the signature of (,).

What? (,) is positive definite if (v, v) > 0 for all v

If not, what is the "signature"?

In terms of K-types.

Definition $\operatorname{mult}(\pi) : \widehat{K} \to \mathbb{N}$:

$$\pi|_{\mathcal{K}} = \sum_{\widehat{\mathcal{K}}} \mathsf{mult}(\pi)(\mu) \cdot \mu$$

Note: \widehat{K} and mult $(\pi)(\mu)$ are explicitly computable in Atlas (K may be disconnected)

Definition: $\mathbb{W} = \mathbb{Z}[s] \ (s^2 = 1)$ Definition: $sig(\pi) : \widehat{K} \to \mathbb{W}$:

sig $(\pi)(\mu) = a + bs$ means: the invariant form, restricted to the μ -isotypic has signature (a, b) (times the positive definite form on μ).

Note:
$$\operatorname{sig}(\pi)(\mu)(s=1) = a + b = \operatorname{mult}(\pi)(\mu)$$

The question becomes: how to compute $sig(\pi)$?

Theorem: (Vogan)

$$\operatorname{sig}(\pi) = \sum_{i=1}^{n} w_i \cdot \operatorname{mult}(\pi_i)$$

for some irreducible, tempered representations π_1, \ldots, π_n , $w_i \in \mathbb{W}$ The point is this is a finite formula.

In other words

$$sig(\pi) \in \mathbb{W}(mult(\tau) \mid \tau \text{ tempered })$$

So we've restated the problem: how do we compute $\{\pi_i\}$ and $\{w_i\}$?

 $\pi(
u)$: spherical principal series with infinitesimal character $u \in \mathbb{R}$ $\widehat{K} = \mathbb{Z}$

 $\pi(\nu)|_{\mathcal{K}} = 2\mathbb{Z} = \{\ldots, -4, -2, 0, 2, 4, \ldots\}$ $\pi(\nu)$ is reducible $\Leftrightarrow \nu \in 2\mathbb{Z} + 1$ $sig(\pi(0)) = mult(\pi(0))$ (unitary) in fact $sig(\pi(\nu)) = sig(\pi(0)) = mult(\pi(0))$ $\nu < 1$... -6 -4 -2 0 2 4 6 ... + + + + + + sig(I(0)) $sig(I(1 + \epsilon))$ - - - - - -

The changes in sign are at the summands $\pi(DS_{\pm})$ (discrete series with infinitesimal character ρ)

Conclusion:

 $\mathsf{sig}(\pi(1+\epsilon)) = \mathsf{mult}(\pi(1-\epsilon)) + (s-1)(\mathsf{mult}(\pi(DS_+)) + \mathsf{mult}(\pi(DS_-)))$

In general the change of sign are on representations with smaller ν . Idea: Repeat this process, to compute sig (π) in terms of sig $(\pi_j) = \text{mult}(\pi_j)$ for π_j tempered.

Major fly in the ointment:

a) there may be no invariant Hermitian form on (π, V) b) it may not be unique (up to positive scalar)

Example: odd principal series of $SL(2,\mathbb{R})$ with $\nu \neq 0$

The K-types 1, -1 have opposite signature

 $G(\mathbb{R}), \ \theta, \ \sigma: G(\mathbb{R}) = G(\mathbb{C})^{\sigma} \rightarrow \sigma_c \text{ compact real form (so } \sigma_c \circ \sigma = \theta)$

Definition The c-form of (π, V) is a Hermitian form on V satisfying:

$$(\pi(X)v,w)_c + (v,\pi(\sigma_c(X))w)_c = 0$$

and $(,)_c$ is positive definite on all lowest K-types of π

Theorem: (Adams/Trapa/van Leeuwen/Vogan)

(1) The c-form exists and is unique (up to positive scalar)

(2) The c-form determines the invariant Hermitian form (an explicit formula)

Note: if the group is not equal rank we need the c-form on the *extended* group

Definition: $sig^{c}(\pi) : \widehat{K} \to \mathbb{W}$:

sig^c $(\pi)(\mu) = a + bs$ means: the c-form, restricted to the μ -isotypic has signature (a, b) (times the positive definite form on μ).

As before: $sig^{c}(\pi) = \sum_{i} w_{i}^{c} \cdot mult(\pi_{i})$ (π_{i} tempered)

DIGRESSION: THE LANGLANDS CLASSIFICATION AND THE KLV POLYNOMIALS

Fix infinitesimal character λ

 $\mathcal{P}_{\lambda}:$ a set of parameters

 $\mathcal{P}_{\lambda} \ni \gamma \to I(\gamma)$ (standard module)

 $J(\gamma)$ (unique irreducible quotient of $I(\gamma)$)

{irreducible representations with infinitesimal character γ } $\longleftrightarrow \mathcal{P}_{\lambda}$

$$I(\gamma) = \mathsf{Ind}_{MAN}^{\mathsf{G}}(\pi_M \otimes \nu \otimes 1) \quad (\nu \in \mathfrak{a}_0^*)$$

DIGRESSION: THE LANGLANDS CLASSIFICATION AND THE KLV POLYNOMIALS

Kazhdan-Lusztig-Vogan polynomials:

 $egin{aligned} & P_{ au,\gamma}\in\mathbb{Z}[q]\ & \{P_{ au,\gamma}\mid au,\gamma\in\mathcal{P}_\lambda\}\ (ext{upper unitriangular matrix})\ & ext{Inverse matrix}\ & \{Q_{ au,\gamma}\} \end{aligned}$

$$egin{aligned} \mathsf{J}(\gamma) &= \sum_{ au} (-1)^{\ell(\gamma)-\ell(au)} \mathsf{P}_{ au,\gamma}(1) \mathsf{I}(au) \ \mathsf{I}(\gamma) &= \sum_{ au} \mathcal{Q}_{ au,\gamma}(1) \mathsf{J}(au) \end{aligned}$$

$$\mathsf{I}(\gamma) = \sum_{\tau} \mathsf{Q}_{\tau,\gamma} \mathsf{J}(\tau)$$

The Jantzen filtration is a canonical filtration of $I(\gamma)$ by $(\mathfrak{g}, \mathcal{K})$ -modules. Associated graded: $I(\gamma)_r$ (these are completely reducible).

Jantzen conjecture: if $Q_{ au,\gamma} = \sum a_j q^j$, then

 a_r is the multiplicity of $J(\tau)$ in $I(\gamma)_j$, $j = \frac{1}{2}(\ell(\gamma) - \ell(\tau) + r)$.

Note: $Q_{\tau,\gamma}(1) = \sum_{j} a_{j}$ is the multiplicity of $J(\tau)$ in $I(\gamma)$.

Deformation: $I(t\gamma) = \operatorname{Ind}_{MAN}^{G}(\pi_{M} \otimes t\nu \otimes 1)$

 $I_t = I(t\gamma)$: a continuous family of standard modules $(t \in \mathbb{R})$ Fact: sig^c(I_t) is constant (in t) as long as I_t is irreducible. Assume: I_t irreducible for $0 < |1 - t| < \epsilon$.

$$\mathsf{I}_{1-\epsilon} \to \mathsf{I}_1 \to \mathsf{I}_{1+\epsilon}$$

Problem: how does the c-form change as you deform from $I(\gamma_{1-\epsilon})$ to $I(\gamma_{1+\epsilon})$ through the reducible point $I(\gamma)$?

DEFORMATION OF THE C-FORM

The signature of $I(\gamma)$, restricted to and irreducible factor $J(\delta)$ in the r^{th} graded level of the Jantzen filtration, agrees with the signature of $J(\delta)$ up to a shift. Precisely:

Lemma:

$$\operatorname{sig}^{c}(I(\gamma), r)|_{J(\delta)} = s^{(\ell_{0}(\gamma) - \ell_{0}(\delta))/2} s^{\ell(\gamma) - \ell(\delta) - r)} \operatorname{sig}^{c}(J(\delta))$$

Key fact: the c-form changes sign on odd levels of the Jantzen filtration at $I(\pi)$

(Comes down to: $f(x) = x^n$ changes sign at x = 0 if and only if n is odd.)

That is:

$$\operatorname{sig}^{c}(I_{1+\epsilon}) = \operatorname{sig}^{c}(I_{1-\epsilon}) + (1-s) \sum_{r \text{ odd}} \operatorname{sig}^{c}(J(\Gamma), r)$$

Deformation plus KLV implies:

Algorithm (Deformation of the c-form):

$$egin{aligned} \mathsf{sig}(\mathit{I}_{1+\epsilon}) &= \mathsf{sig}(\mathit{I}_{1-\epsilon}) + \ & (1-s) \sum_{\substack{\phi, au \ \phi < au < \gamma \ \ell(\gamma) - \ell(au) \ \mathsf{odd}}} s^{(\ell_0(\gamma) - \ell_0(au))/2} P_{\phi, au}(s) Q_{ au, \gamma}(s) \mathsf{sig}(\mathsf{I}(au)) \end{aligned}$$

Corollary: the Atlas algorithm There is an inductive algorithm to compute sig(I(γ)), in terms of sig(I(τ)) where I(τ) = J(τ) is and (irreducible) tempered representation .

For each parameter γ there is an orientation number $\ell_0(\gamma) \in \mathbb{Z}$. These satisfy:

ℓ₀(γ) = 0 if the infinitesimal character of γ is integral.
 if δ, γ are in the same block then ℓ₀(γ) ≡ ℓ₀(δ) (mod 2)
 This is a technical point in the theory which I'll mostly ignore.

$$\operatorname{Fix} \gamma, I(\gamma) = \operatorname{Ind}_{MAN}^{G}(\pi_{M} \otimes \nu \otimes 1)$$

 $\pi = (\pi, V)$: (\mathfrak{g}, K) -module with real infinitesimal character, standard or irreducible

$$\operatorname{sig}^{c}(\pi):\widehat{K}
ightarrow \mathbb{Z}[s]$$

 $sig^{c}(\pi)(\mu) = a + bs \Leftrightarrow (,)$ has signature (a, b) on the μ -isotypic (times the positive definite form on μ)

- 1) sig^c($I(t\gamma)$) only changes at reducibility points
- 2) relation with the Jantzen filtration:

$$\mathsf{sig}^{\mathsf{c}}(I(\gamma), r)|_{J(\delta)} = s^{(\ell_0(\gamma) - \ell_0(\delta))/2} s^{(\ell(\gamma) - \ell(\delta) - r)/2} \mathsf{sig}^{\mathsf{c}}(J(\delta))$$

These imply:

3) sig(
$$J(\gamma)$$
) = $\sum_{\delta} s^{(\ell_0(\gamma) - \ell_0(\delta))/2} (-1)^{\ell(\gamma) - \ell(\delta)} P_{\gamma,\delta}(s)$ sig($I(\delta)$)

4) Deformation:

$$\operatorname{sig}(\gamma_{1+\epsilon}) = \operatorname{sig}(\gamma_{1-\epsilon}) + (1-s) \sum_{\substack{\phi, \tau \\ \phi < \tau < \gamma \\ \ell(\gamma) - \ell(\tau) \text{ odd}}} s^{(\ell_0(\gamma) - \ell_0(\tau))/2} P_{\phi, \tau}(s) Q_{\tau, \gamma}(s) \operatorname{sig}(\mathsf{I}(\phi))$$

This gives a formula for sig^c($J(\gamma)$) in terms of tempered representations ($\nu = 0$), and:

5) $I(\gamma)$ tempered implies (,) is positive definite, and (,)_c is computable. (White lie: (,) is computable)

Saito's theory of mixed Hodge modules.

Beilinson-Bernstein theory of \mathcal{D} -modules, \mathcal{D}_{λ} -modules

Global section functor: equivalence of categories \mathcal{D}_{λ} -modules and $(\mathfrak{g}, \mathcal{K})$ -modules with infinitesimal character λ .

Reference: Wilfried Schmid's talk the last day of this conference.

Proceed formally

Assume we are given a K-equivariant filtration:

 $\begin{aligned} (\pi, V) & 0 \subset F_0 \subset F_1 \subset \dots \\ gr(\pi) &= F_p/F_{p-1} \quad (\text{a finite dimensional representation of } \mathcal{K}) \\ \text{Definition: } h(\pi) : \widehat{\mathcal{K}} \to \mathbb{Z}[v] \\ h(\pi)(\mu) &= a_0 + a_1 v + \dots + a_n v^n; \quad a_i = \text{mult}(\text{gr}_i(\pi))(\mu) \\ h(\pi)(\mu)(v = 1) = \text{mult}(\pi)(\mu) \end{aligned}$

PROPERTIES OF THE FILTRATION

Recall (basic *c*-form properties:)

1) sig^c($I(t\gamma)$) only changes at reducibility points

2) relation with the Jantzen filtration:

$$\operatorname{sig}^{c}(I(\gamma), r)|_{J(\delta)} = s^{(\ell_{0}(\gamma) - \ell_{0}(\delta))/2} s^{(\ell(\gamma) - \ell(\delta) - r)/2} \operatorname{sig}^{c}(J(\delta))$$

Assume the filtration F_p satisfies:

sig^c(F_p(I(tγ))) only changes at reducibility points
 relation with the Jantzen filtration:

$$\mathsf{h}(I(\gamma),r)|_{J(\delta)} = v^{(\ell_0(\gamma)-\ell_0(\delta))/2} v^{((\ell(\gamma)-\ell(\delta)-r))/2} \mathsf{h}(J(\delta))$$

These imply:

3) Formula of $h(J(\gamma))$ in terms of standard representations:

$$\mathsf{h}(J(\gamma)) = \sum_{\delta} v^{(\ell_0(\gamma) - \ell_0(\delta))/2} v^{\ell(\gamma) - \ell(\delta)} \mathcal{P}_{\gamma,\delta}(v) \mathsf{h}(I(\delta))$$

$$\mathsf{h}(\gamma_{1+\epsilon}) = \mathsf{h}(\gamma_{1-\epsilon}) + (1-\nu) \sum_{\substack{\phi, \tau \\ \phi < \tau < \gamma \\ \ell(\gamma) - \ell(\tau) \text{ odd}}} \nu^{(\ell_0(\gamma) - \ell_0(\tau))/2} P_{\phi, \tau}(\nu) Q_{\tau, \gamma}(\nu) \mathsf{h}(\mathsf{I}(\phi))$$

This gives a formula for $h(J(\gamma))$ in terms of tempered representations ($\nu = 0$).

Conclusion: assuming the filtration $\{F_p\}$ satisfies (1) and (2). Then there is an algorithm to compute $h(J(\gamma))$ in terms of $h(I(\delta))$ with δ tempered.

Recall in the case of sig^c we are essentially done: the invariant Hermitian form on an irreducible tempered representation is positive definite.

However, the Hodge filtration on a tempered representation is a non-trivial object.

Assume:

1) sig^c($F_{\rho}(I(t\gamma))$) only changes at reducibility points

2) relation with the Jantzen filtration:

$$\mathsf{h}(I(\gamma),r)|_{J(\delta)} = v^{(\ell_0(\gamma) - \ell_0(\delta))/2} v^{((\ell(\gamma) - \ell(\delta) - r))/2} \mathsf{h}(J(\delta))$$

3) Suppose G is split and $I(\gamma)$ is irreducible, spherical, and tempered (i.e. $\nu = 0$). Then

$$I(\gamma)|_{\mathcal{K}} \simeq \mathcal{R}(\mathcal{N}_{\theta})$$

Here \mathcal{N}_{θ} is the nilpotent cone in \mathfrak{p} ($\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$), and this is an isomorphism on the level of gradings.

Schmid and Vilonen: such a family of filtrations should come from Hodge theory on the flag variety (see Schmid's talk on Friday)

Theorem:

Suppose every irreducible (\mathfrak{g}, K) module π with real infinitesimal character comes equipped with a filtration $\{F_p(\pi)\}$, satisfying conditions (1-3).

1) Assume the real group $G(\mathbb{R})$ corresponding to K is complex. Then

$$\mathsf{h}(\pi)(v=s)=\mathsf{sig}^c(\pi)$$

That is:

The signature is the reduction of the Hodge filtration modulo 2

2) In general we need an additional technical (and difficult) assertion about the Hodge filtration for \mathcal{D}_{λ} modules where λ is not dominant. Assuming this the same result holds.

Assuming the stated properties, $h(\pi)$ is given by a (long, complicated) algorithm. At each step of this algorithm set v = s. The resulting algorithm is precisely the one to compute $sig^{c}(\pi)$.

PROOF OF THE MAIN THEOREM

Main Step: Algorithm (Deformation of the c-form):

$$sig^{c}(I(\gamma_{1+\epsilon})) = sig^{c}(I(\gamma_{1-\epsilon})) - \sum_{\tau < \gamma} s^{(\ell_{0}(\gamma) - \ell_{0}(\tau)/2} \left[\sum_{\substack{\tau \le \delta \le \gamma}} (-1)^{\ell(\delta) - \ell(\tau)} s^{\ell(\gamma) - \ell(\delta)} P_{\tau,\delta}(s) Q_{\delta,\gamma}(s) \right] sig^{c}(I(\delta))$$

Algorithm (Deformation of the Hodge filtration):

$$\mathsf{h}(I(\gamma_{1+\epsilon})) = \mathsf{h}(I(\gamma_{1-\epsilon})) - \sum_{\tau < \gamma} v^{(\ell_0(\gamma) - \ell_0(\tau)/2} \\ \left[\sum_{\substack{\tau \le \delta \le \gamma}} (-1)^{\ell(\delta) - \ell(\tau)} v^{\ell(\gamma) - \ell(\delta)} P_{\tau,\delta}(v) Q_{\delta,\gamma}(v^{-1}) \right] \mathsf{h}(I(\delta))$$

Remark: This proof is **not** computer-dependent - one does not need to acutally *compute* either algorithm, but just observe they are formally related as indicated. Nevertheless we probably would never have formulated this result without the Atlas software.

In particular: the notion of c-form, in principle, could have been invented (discovered?) 30 years ago. We found it in our effort to formula the Atlas algorithm.

Thank You