



Glasnik
Matematički

SERIJA III

www.math.hr/glasnik

Justin Lanier and Marissa Loving

Centers of subgroups of big mapping class groups and the Tits alternative

Accepted manuscript

This is a preliminary PDF of the author-produced manuscript that has been peer-reviewed and accepted for publication. It has not been copyedited, proofread, or finalized by Glasnik Production staff.

CENTERS OF SUBGROUPS OF BIG MAPPING CLASS GROUPS AND THE TITS ALTERNATIVE

JUSTIN LANIER AND MARISSA LOVING

Georgia Institute of Technology, USA and University of Illinois, USA

ABSTRACT. In this note we show that many subgroups of mapping class groups of infinite-type surfaces without boundary have trivial centers, including all normal subgroups. Using similar techniques, we show that every nontrivial normal subgroup of a big mapping class group contains a nonabelian free group. In contrast, we show that no big mapping class group satisfies the strong Tits alternative enjoyed by finite-type mapping class groups. We also give examples of big mapping class groups that fail to satisfy even the classical Tits alternative; consequently, these examples are not linear.

Let S be a connected orientable surface without boundary that is of infinite type, so that $\pi_1(S)$ is infinitely generated. The mapping class group $\text{Map}(S)$ is the group of homotopy classes of orientation-preserving homeomorphisms of S . The mapping class group of an infinite-type surface is often called a big mapping class group. Similarly, let S_g be the compact connected orientable surface of genus g and let $\text{Map}(S_g)$ be its mapping class group.

In this note we address several questions about subgroups of $\text{Map}(S)$. We first prove some results about the triviality of centers of subgroups of $\text{Map}(S)$. We then show that $\text{Map}(S)$ never satisfies the strong Tits alternative enjoyed by $\text{Map}(S_g)$, as well as some related results.

Centers. The center of $\text{Map}(S_g)$ is trivial for $g \geq 3$, while its center is a cyclic group generated by the hyperelliptic involution when $g = 1$ or 2 [4, Section 3.4]. The centers of mapping class groups of finite-type surfaces with punctures and boundary components were computed by Paris–Rolfsen [12, Theorem 5.6]. Although Dehn twists about boundary components are always

2000 *Mathematics Subject Classification.* Primary: 20F34; Secondary: 57M60, 37E30.

Key words and phrases. mapping class groups, normal subgroups, free subgroups, Tits alternative, centers.

central, for finite-type surfaces without boundary there are only finitely many exceptional cases of low complexity where the center is nontrivial.

These results where the center is trivial follow from the existence of a (stable) Alexander system—a collection Γ of simple closed curves and arcs in the surface such that no nontrivial mapping class fixes Γ up to isotopy [4, Section 2.3]. Hernández–Morales–Valdez recently proved that the Alexander method carries over to the infinite-type setting [11].

THEOREM 1 (Hernández–Morales–Valdez). *Let S be an orientable surface of infinite topological type, with possibly non-empty boundary. There exists a collection of essential arcs and simple closed curves Γ on S such that any orientation-preserving homeomorphism fixing pointwise the boundary of S that preserves the isotopy classes of the elements of Γ , is isotopic to the identity.*

With this result in hand, it is straightforward to compute the centers of big mapping class groups, just as in the finite-type case. Throughout this note, we restrict our attention to surfaces without boundary. When S has boundary components, Dehn twists about boundary components are always central, and the center of $\text{Map}(S)$ can be analyzed by applying a capping homomorphism to each boundary component [4, Proposition 3.19].

PROPOSITION 2. *If S is an infinite-type surface without boundary, the center of $\text{Map}(S)$ is trivial.*

PROOF. Suppose $f \in \text{Map}(S)$ is nontrivial; we show that f is not central. As a consequence of Theorem 1, there exists a curve c such that $f(c) \neq c$. Consider $fT_c f^{-1}$, which equals $T_{f(c)}$. If f were central, the product $fT_c f^{-1}$ would also equal T_c . But $T_{f(c)} \neq T_c$, since curves are compact and $f(c) \neq c$. \square

If we now consider subgroups of $\text{Map}(S)$, a similar proof goes through for any subgroup that contains every Dehn twist, or even some nonzero power of every Dehn twist. Examples of such subgroups include: the pure mapping class group $\text{PMod}(S)$, which is the subgroup that acts trivially on the space of ends of S ; the compactly supported mapping class group $\text{Map}_c(S)$; and the level m subgroup $\text{Map}(S)[m]$, which is the subgroup that acts trivially on $H_1(S; \mathbb{Z}/m\mathbb{Z})$. Thus all of these subgroups of $\text{Map}(S)$ have trivial center.

Patel–Vlamis showed that the center of $\text{PMod}(S)$ is trivial in the case where S is an infinite-type surface with finite genus and without boundary [13, Lemma 3.3]. Their proof uses the same standard trick of conjugating Dehn twists, but leverages the compact-open topology on $\text{Map}(S)$ as a stand-in for the Alexander method.

Note that $\text{PMod}(S)$, $\text{Map}_c(S)$, and $\text{Map}(S)[m]$ are all normal in $\text{Map}(S)$. In fact, we can extend the result of Proposition 2 to the centers of all normal subgroups of $\text{Map}(S)$.

THEOREM 3. *If S is an infinite-type surface without boundary, every normal subgroup of $\text{Map}(S)$ has trivial center.*

PROOF. Let N be any normal subgroup of $\text{Map}(S)$ and let f be any nontrivial element of N . As f is nontrivial, by Theorem 1 there exists a curve c such that $f(c) \neq c$. Since N is normal, the product $f(T_c f^{-1} T_c^{-1}) = T_{f(c)} T_c^{-1}$ is also in N . We will show that f and $T_{f(c)} T_c^{-1}$ do not commute. Conjugating $T_{f(c)} T_c^{-1}$ by f yields $T_{f^2(c)} T_{f(c)}^{-1} \in N$. If $T_{f(c)} T_c^{-1}$ and f were to commute, we would have $T_{f^2(c)} T_{f(c)}^{-1} = T_{f(c)} T_c^{-1}$. But this is not possible. First, consider the case when $i(c, f(c)) = 0$. Since c and $f(c)$ are distinct, so are $f(c)$ and $f^2(c)$. But then the two products are clearly distinct multitwists, even if f swaps c and $f(c)$. Then consider the case when $i(c, f(c)) \geq 1$. Rearranging the supposed equality yields $T_{f^2(c)} = T_{f(c)} T_c^{-1} T_{f(c)}$. The product on the right-hand side is a partial pseudo-Anosov on the surface filled by c and $f(c)$, since its conjugate $T_{f(c)}^2 T_c^{-1}$ is pseudo-Anosov by Penner's construction [14]; see also [4, p. 396]. However, the left-hand side of the equation is a Dehn twist, a contradiction. We therefore have that f does not commute with $T_{f(c)} T_c^{-1}$ and so conclude that the center of N is trivial. \square

We note that this argument also holds for finite-type surfaces with stable Alexander systems and recovers the corresponding fact about normal subgroups in that setting. This is a well-known fact for finite-type mapping class groups. A proof can be given by considering the action of the normal subgroup on the space of projective measured foliations; see, for instance, [2, p. 52]. Theorem 3 can also be proved by appealing to the finite-type result, in a similar way to how we proceed in our proof of Proposition 7.

The Tits alternative. Of course, for any S there do exist subgroups of $\text{Map}(S)$ that have nontrivial center. For instance, $\text{Map}(S)$ has abelian subgroups, such as any cyclic subgroup or more generally any subgroup generated by mapping classes supported on disjoint subsurfaces. It is a theorem, proved independently by Ivanov and McCarthy, that $\text{Map}(S_g)$ satisfies a strong version of the Tits alternative: every subgroup is either virtually abelian or contains a nonabelian free group [7, 10]. In contrast, big mapping class groups do not satisfy this strong version of the Tits alternative.

THEOREM 4. *For every surface S of infinite type without boundary, $\text{Map}(S)$ contains the restricted wreath product $\mathbb{Z} \wr \mathbb{Z}$ as a subgroup and so does not satisfy the strong Tits alternative.*

PROOF. By the classification theorem of Kerékjártó and Richards [8, 15], every surface S of infinite type without boundary contains at least one of the following: infinite genus, a countable collection of isolated punctures, or a Cantor set of punctures where none of these punctures is accumulated by isolated punctures. We consider these possibilities in turn.

Let S be a surface with infinite genus. Then S has at least one end accumulated by genus. Consider the subgroup of $\text{Map}(S)$ generated by a handle shift h (possibly one-ended) and a mapping class g supported on one of the handles in the support of h (which is necessarily of infinite order). (See [13] for a definition of handle shifts and [9] for their classification.) Then $\langle g, h \rangle$ is isomorphic to the restricted wreath product $\mathbb{Z} \wr \mathbb{Z}$, which is not virtually abelian and does not contain a nonabelian free group.

Similarly, let S be a surface with a countable set of isolated punctures. We will construct a (one-ended) puncture shift, in analogy with a handle shift. Take a subset P of the countable set of isolated punctures that accumulate to an end e . We may index P by \mathbb{Z} as $\{\dots, p_{-1}, p_0, p_1, \dots\}$. Take a bi-infinite strip Σ containing P that has two boundary components that are arcs connecting e to itself, so that Σ is homeomorphic to $\mathbb{R} \times [-1, 1]$ with punctures at $\mathbb{Z} \times \{0\}$. This is depicted in Figure 1. Let H be a homeomorphism that fixes the boundary arcs as well as $S \setminus \Sigma$ and that pushes each p_i to p_{i+1} . Let h be the mapping class of H and let g be a nontrivial mapping class supported on a disk containing only p_0 and p_1 , such that the disk and its translates under powers of h^2 are all pairwise disjoint. Then the subgroup $\langle g, h^2 \rangle$ is isomorphic to the restricted wreath product $\mathbb{Z} \wr \mathbb{Z}$.

Finally, let S be a surface with a Cantor set of ends and no infinite set of isolated ends. Then none of the ends in the Cantor set \mathcal{C} is accumulated by isolated ends. We will construct a (one-ended) Cantor disk shift. Pick one end $e \in \mathcal{C}$. Partition $\mathcal{C} \setminus e$ into a countable number of Cantor sets and index these by \mathbb{Z} : $\{\dots, C_{-1}, C_0, C_1, \dots\}$. Let D_i be a disk that supports C_i and no other ends. Let h be a mapping class that takes each D_i to D_{i+1} . Let g be any nontrivial mapping class supported in D_0 . Then the subgroup $\langle g, h \rangle$ is isomorphic to the restricted wreath product $\mathbb{Z} \wr \mathbb{Z}$. \square

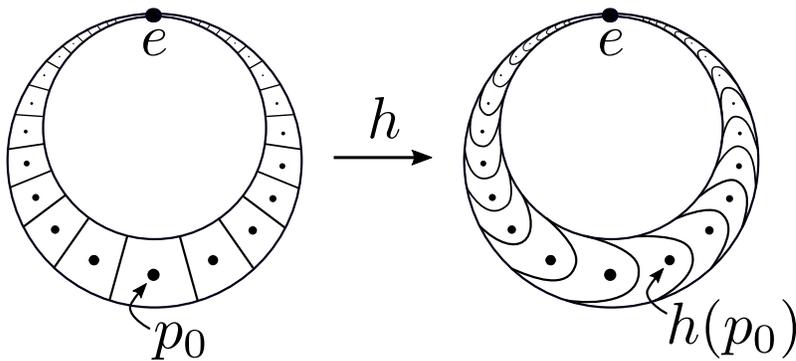


FIGURE 1. A puncture shift.

The classical Tits alternative replaces virtually abelian with virtually solvable. Since the $\mathbb{Z} \wr \mathbb{Z}$ subgroup of $\text{Map}(S)$ from Proposition 4 is solvable, it is not a counterexample to $\text{Map}(S)$ satisfying the classical Tits alternative. We now give an example of a big surface whose mapping class group does not satisfy the classical Tits alternative.

EXAMPLE 5. Thompson's group F does not satisfy the classical Tits alternative, as it is not virtually solvable and does not have a nonabelian free subgroup [3, Corollary 3.3]. Let S be $\mathbb{R}^2 \setminus \mathcal{C}$, where \mathcal{C} is a Cantor set embedded in $[0, 1] \times \{0\}$. Let F act by piecewise-linear homeomorphisms on $[0, 1] \times \mathbb{R}$ so that it also acts faithfully by homeomorphisms on \mathcal{C} . All of these homeomorphisms are orientation preserving and so F is a subgroup of $\text{Homeo}^+(S)$. Since F acts faithfully on \mathcal{C} , the quotient map $\text{Homeo}^+(S) \rightarrow \text{Mod}(S)$ is injective on this F subgroup. It follows that F is a subgroup of $\text{Map}(S)$, which therefore does not satisfy the classical Tits alternative.

The construction in Example 5 can be extended to other examples of big surfaces that have a Cantor set in their space of ends. For instance, the construction holds for any surface S where $\text{Ends}(S)$ contains a Cantor set such that every point in the Cantor set is in the same mapping class group orbit. Note that no big mapping class group containing Thompson's group F as a subgroup is linear, since F , not satisfying the classical Tits alternative, fails to be linear [16]. (In the finite-type setting, linearity of most mapping class groups remains an open question.) Still, there are many surfaces where the construction of Example 5 does not hold.

QUESTION 6. *Do there exist infinite-type surfaces whose mapping class groups satisfy the classical Tits alternative?*

Another way of framing the Tits alternative is that there are groups that are not subgroups of any finite-type mapping class group. Thompson's group F is of course an example of such a group. On the other hand, considering finite subgroups in the finite-type setting yields a very different phenomenon: every finite group is a subgroup of $\text{Map}(S_g)$ for some g . See [5] and [4, Theorem 7.12].

Allcock and Winkelmann each proved that for every countable group G , there is a complete hyperbolic surface S of infinite type without boundary such that G is the isometry group of S [1, 17]. Like Example 5, their arguments imply that there are big mapping class groups that do not satisfy the classical Tits alternative, but they are not sufficient to resolve Question 6.

Even if $\text{Map}(S)$ does not satisfy the classical Tits alternative, it is possible that it satisfies some variant. One result in this direction was proved by Hurtado–Militon, who showed that a version of the Tits alternative holds for the smooth mapping class group of S whenever S has finite genus and a space of ends homeomorphic to a Cantor set [6, Theorem 1.3].

We conclude with a result showing that whenever $\text{Map}(S)$ does not satisfy the classical Tits alternative, the subgroup given as a counterexample cannot be normal in $\text{Map}(S)$. Observe that in the case of a surface S with boundary, a normal subgroup consisting of boundary twists is abelian and therefore satisfies the other half of the alternative.

PROPOSITION 7. *If S is an infinite-type surface without boundary, every nontrivial normal subgroup of $\text{Map}(S)$ contains a nonabelian free group.*

PROOF. Let N be any normal subgroup of $\text{Map}(S)$ and let f be any nontrivial element of N . Then by Theorem 1 there is a curve c such that $f(c) \neq c$. We have that $g = f(T_c f^{-1} T_c^{-1}) = T_{f(c)} T_c^{-1}$ is also in N . The element g has as support a compact subsurface Σ' . Let Σ be a connected subsurface of S containing Σ' such that no boundary component of Σ' is homotopic to any boundary component of Σ . Then g does not act as a Dehn twist about any of the boundary components of Σ .

Consider $\text{Map}_\Sigma(S)$, the subgroup of $\text{Map}(S)$ that sends Σ to itself. Observe that the restriction $\text{Map}_\Sigma(S)|_\Sigma$ is isomorphic to $\text{Map}(\Sigma)$. By conjugating g by some $h \in \text{Map}_\Sigma(S)$, we may then produce a nonabelian free group as a subgroup of $\langle g, hgh^{-1} \rangle$ by applying the Tits alternative to normal subgroups in the finite-type setting. \square

ACKNOWLEDGEMENTS.

The authors would like to thank Jim Belk, Kevin Kordek, and Jing Tao for helpful conversations and Santana Afton, Tyrone Ghaswala, Chris Leininger, Dan Margalit, and Nick Vlamis for their comments on a draft of this note. We would also like to thank the anonymous referee for their helpful suggestions.

REFERENCES

- [1] Daniel Allcock. Hyperbolic surfaces with prescribed infinite symmetry groups. *Proc. Amer. Math. Soc.*, 134(10):3057–3059, 2006.
- [2] Tara Brendle and Dan Margalit. Normal subgroups of mapping class groups and the metaconjecture of Ivanov. arXiv:1710.08929v2, 2017.
- [3] Matthew G. Brin and Craig C. Squier. Groups of piecewise linear homeomorphisms of the real line. *Invent. Math.*, 79(3):485–498, 1985.
- [4] Benson Farb and Dan Margalit. *A Primer on Mapping Class Groups*. Princeton University Press, 2011.
- [5] Leon Greenberg. Maximal groups and signatures. pages 207–226. *Ann. of Math. Studies*, No. 79, 1974.
- [6] Sebastian Hurtado and Emmanuel Militon. Distortion and Tits alternative in smooth mapping class groups. *Trans. Amer. Math. Soc.*, electronically published on February 27, 2019, DOI: <https://doi.org/10.1090/tran/7476> (to appear in print).
- [7] N. V. Ivanov. Algebraic properties of the Teichmüller modular group. *Dokl. Akad. Nauk SSSR*, 275(4):786–789, 1984.
- [8] Béla Kerékjártó. *Vorlesungen über Topologie. I*. Springer, Berlin, 1923.
- [9] Santana Afton, Sam Freedman, Justin Lanier, and Liping Yin. Generators, relations, and homomorphisms of big mapping class groups. In preparation.

- [10] John McCarthy. A “Tits-alternative” for subgroups of surface mapping class groups. *Trans. Amer. Math. Soc.*, 291(2):583–612, 1985.
- [11] Jesús Hernández Hernández, Israel Morales, and Ferrán Valdez. The Alexander method for infinite-type surfaces. arXiv:1703.00407v1, 2017.
- [12] Luis Paris and Dale Rolfsen. Geometric subgroups of mapping class groups. *J. Reine Angew. Math.*, 521:47–83, 2000.
- [13] Priyam Patel and Nicholas G Vlamis. Algebraic and topological properties of big mapping class groups. *Algebr. Geom. Topol.*, 18(7):4109–4142, 2018.
- [14] Robert C. Penner. A construction of pseudo-Anosov homeomorphisms. *Trans. Amer. Math. Soc.*, 310(1):179–197, 1988.
- [15] Ian Richards. On the classification of noncompact surfaces. *Trans. Amer. Math. Soc.*, 106:259–269, 1963.
- [16] J. Tits. Free subgroups in linear groups. *J. Algebra*, 20:250–270, 1972.
- [17] Jörg Winkelmann. Realizing countable groups as automorphism groups of Riemann surfaces. *Doc. Math.*, 6:413–417, 2001.

J. Lanier
School of Mathematics
Georgia Institute of Technology
686 Cherry St.
Atlanta, GA 30332 USA
E-mail: jlanier8@gatech.edu

M. Loving
Department of Mathematics
University of Illinois
1409 W. Green Street
Urbana, IL 61801 USA
E-mail: mloving2@illinois.edu