

# AUTHENTICATED ENCRYPTION

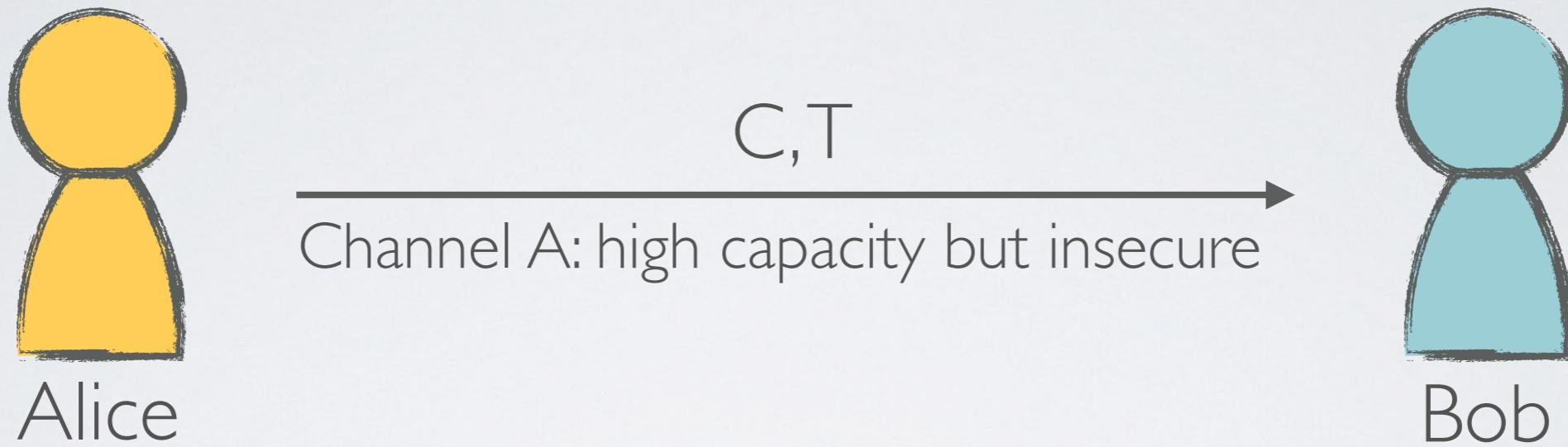
Florian Mendel

Central European Conference on Cryptology  
June 24 - 26, 2020

# GOALS

- **Confidentiality**
  - as provided by block cipher modes
- **Authenticity, integrity**
  - as provided by message authentication codes

# INTERFACE



- **Encryption & Authentication**
  - $(K, M) \Rightarrow (C, T)$
- **Decryption & Verification**
  - $(K, C, T) \Rightarrow \{M, \perp\}$

# INTERFACE

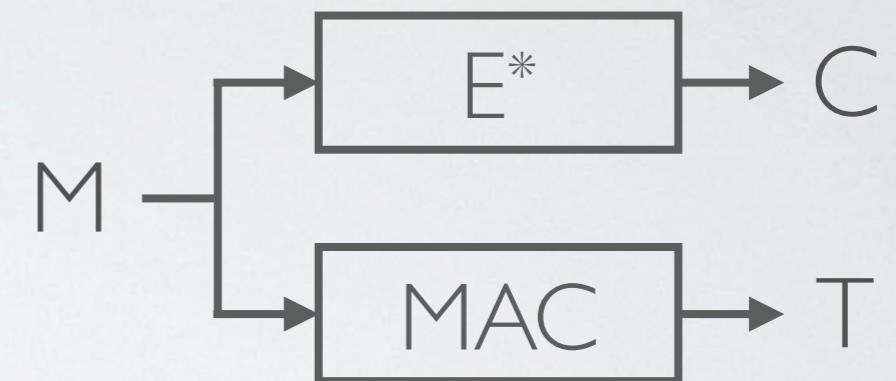


- **Encryption & Authentication**
  - $(K, N, A, M) \Rightarrow (C, T)$
- **Decryption & Verification**
  - $(K, N, A, C, T) \Rightarrow \{M, \perp\}$

# GENERIC COMPOSITIONS

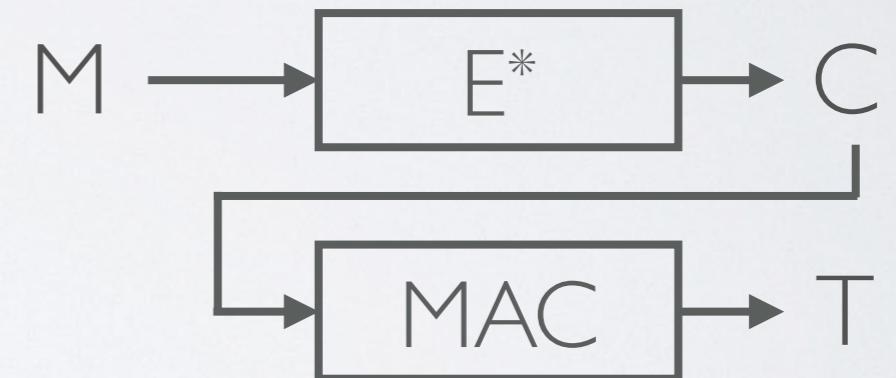
- **Encrypt-and-MAC (E&M)**

- $C = E^*(M), T = \text{MAC}(M)$



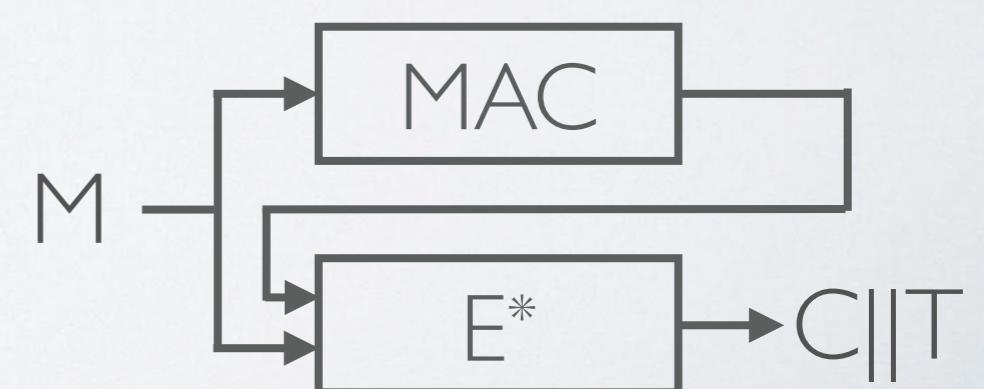
- **Encrypt-then-MAC (EtM)**

- $C = E^*(M), T = \text{MAC}(C)$



- **MAC-then-Encrypt (MtE)**

- $C||T = E^*(M \parallel \text{MAC}(M))$



# GENERIC COMPOSITIONS

- **Encrypt-and-MAC (E&M)**
  - e.g., in SSH
  - security depends on  $E^*$  and MAC details
- **Encrypt-then-MAC (EtM)**
  - e.g., in IPSec; standard ISO/IEC 19772:2009
  - provably secure
- **MAC-then-Encrypt (MtE)**
  - e.g., in SSL/TLS
  - security depends on  $E^*$  and MAC details

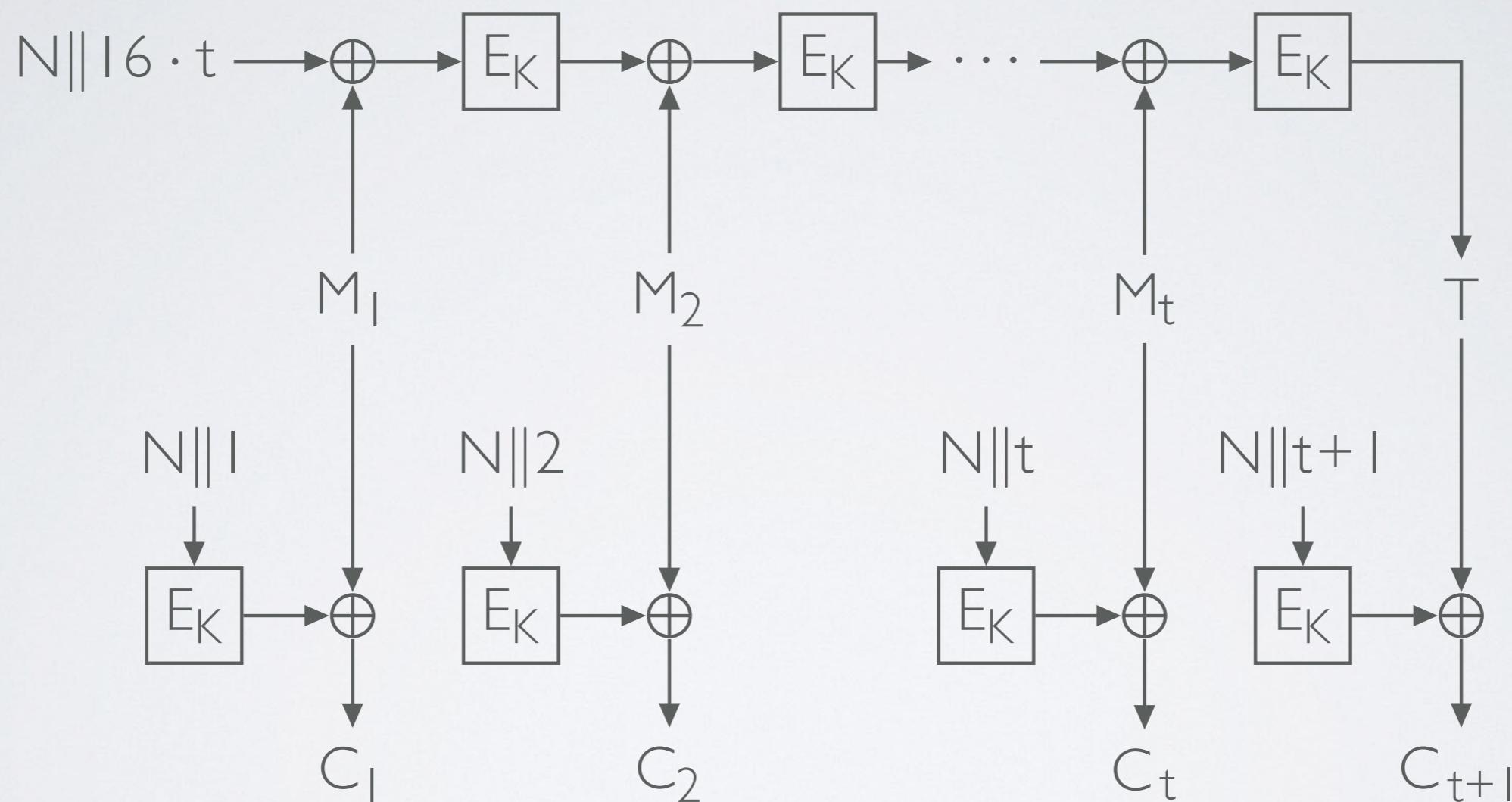
# STANDARDISED SCHEMES

- ISO/IEC specifies six AE modes for block ciphers
  - EtM, CCM, EAX, GCM, OCB, SIV



International  
Organization for  
Standardization

# CCM – CTR AND CBC-MAC

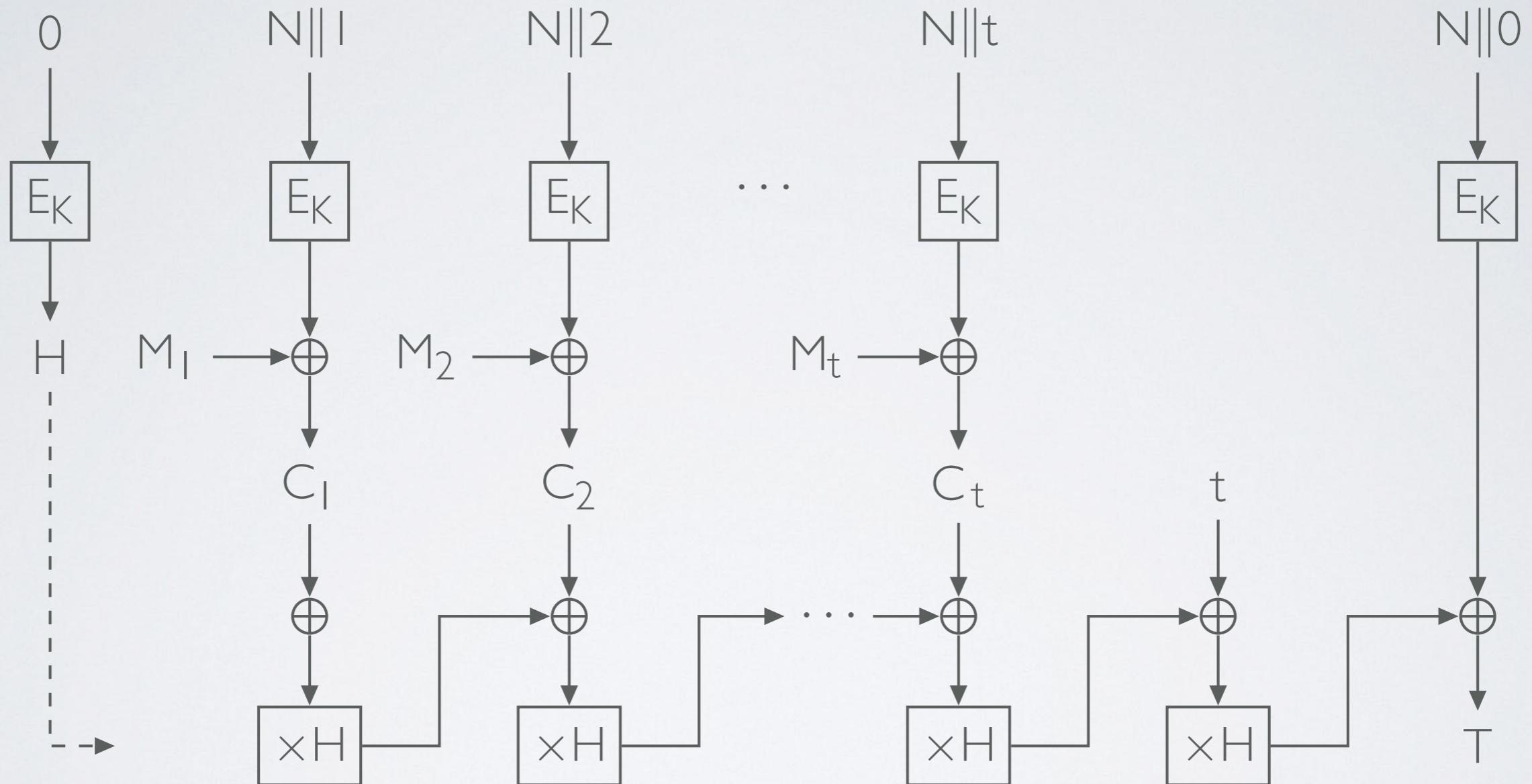


- MtE with CTR encryption mode and CBC-MAC

# CCM PROPERTIES

- ✓ Secure for ideal cipher  $E_K$
- ✗ Two block cipher calls per block
- ✓ Needs no  $D_K$  (decryption)
- ✗ Two-pass, not online  
(need length in advance)
- ✗ CBC-MAC not parallelizable

# GCM – GALOIS/CTR MODE



- EtM with CTR and Carter-Wegman MAC

# GCM PROPERTIES

- ✓  $E_K$  parallelizable
- ✓ Needs no DK (decryption)
- ✓ one block cipher call per block
- ✗ Harder to implement  
(nasty multiplications)
- ✗ Some weak keys due to  
MAC properties

# COMPETITIONS

- CAESAR (2014 - 2019)
- NIST LWC (ongoing)

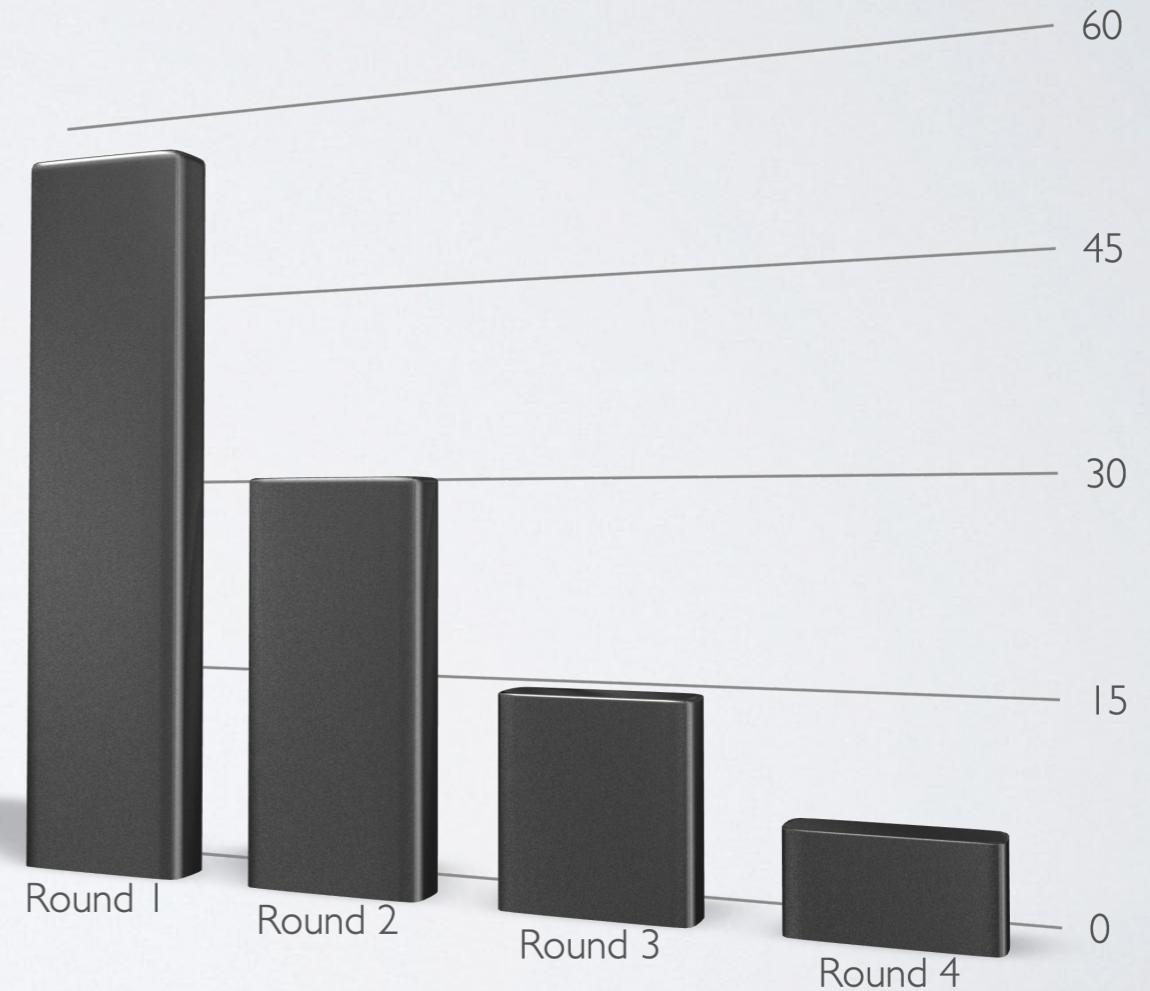
# CAESAR

Goal: Select portfolio of authenticated ciphers

Timeline: 2014 - 2019, 4 rounds

Categories:

- Lightweight applications
- High-performance applications
- Defense in depth



# CAESAR PORTFOLIO

- **Lightweight applications**
  - Ascon and ACORN
- **High-performance applications**
  - AEGIS and OCB
- **Defense in depth**
  - Deoxys-II and COLM

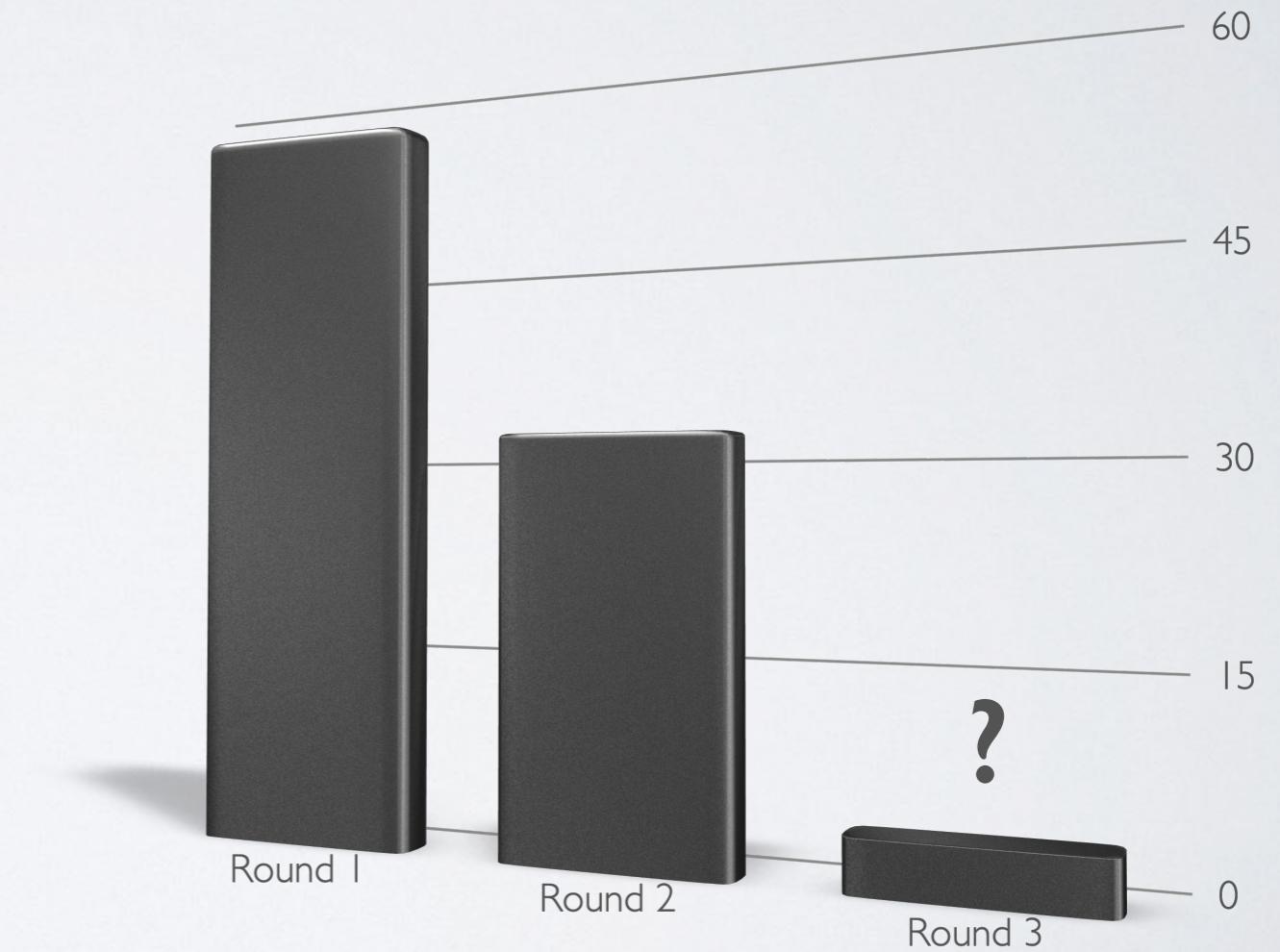
# NIST LWC

Goal: Select authenticated ciphers for standardisation

Timeline: 2018 - now

Category:

- Lightweight applications



# ROUND 2 CANDIDATES

ACE	<b>Ascon</b>	COMET	DryGASCON
Elephant	ESTATE	ForkAE	GIFT-COFB
Gimli	Grain-128AEAD	HYENA	<b>ISAP</b>
KNOT	LOTUS & LOCUS	mixFeed	ORANGE
Oribatida	PHOTON-Beetle	Pyjamask	Romulus
SAEAES	Saturnin	SKINNY	SPARKLE
SPIX	SpoC	Spook	Subterranean 2.0
SUNDAE-GIFT	TinyJambu	WAGE	Xoodyak

# ASCON

## AUTHENTICATED ENCRYPTION AND HASHING

# ASCONTEAM

- Christoph Dobraunig
- Maria Eichlseder
- Florian Mendel
- Martin Schläffer



# ASCON FAMILY

- Authenticated encryption (CAESAR)
  - Ascon-128
  - Ascon-128a
- Hashing (NEW)
  - Ascon-Hash
  - Ascon-Xof (eXtendable output function)

# MAIN DESIGN GOALS

- Security
- Efficiency
- Simplicity
- Scalability
- Online
- Single pass
- Lightweight
- Side-Channel Robustness

# AUTHENTICATED ENCRYPTION

- Nonce-based AE scheme
- Sponge construction

	<b>ASCON-128</b>	<b>ASCON-128a</b>
<b>Security</b>	128 bits	128 bits
<b>State size</b>	320 bits	320 bits
<b>Capacity</b>	256 bits	192 bits
<b>Rate (r)</b>	64 bits	128 bits

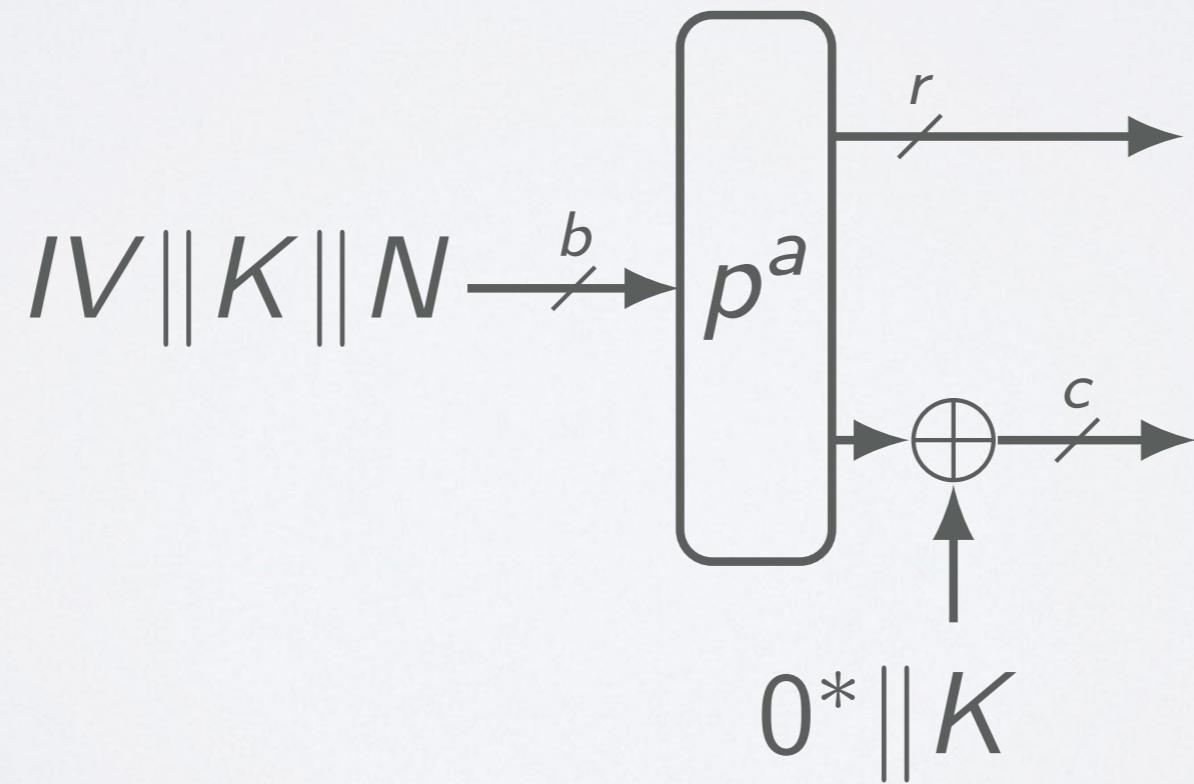
# WORKING PRINCIPLE

The encryption process is split into four phases:

- Initialisation
- Associated Data Processing
- Plaintext Processing
- Finalisation

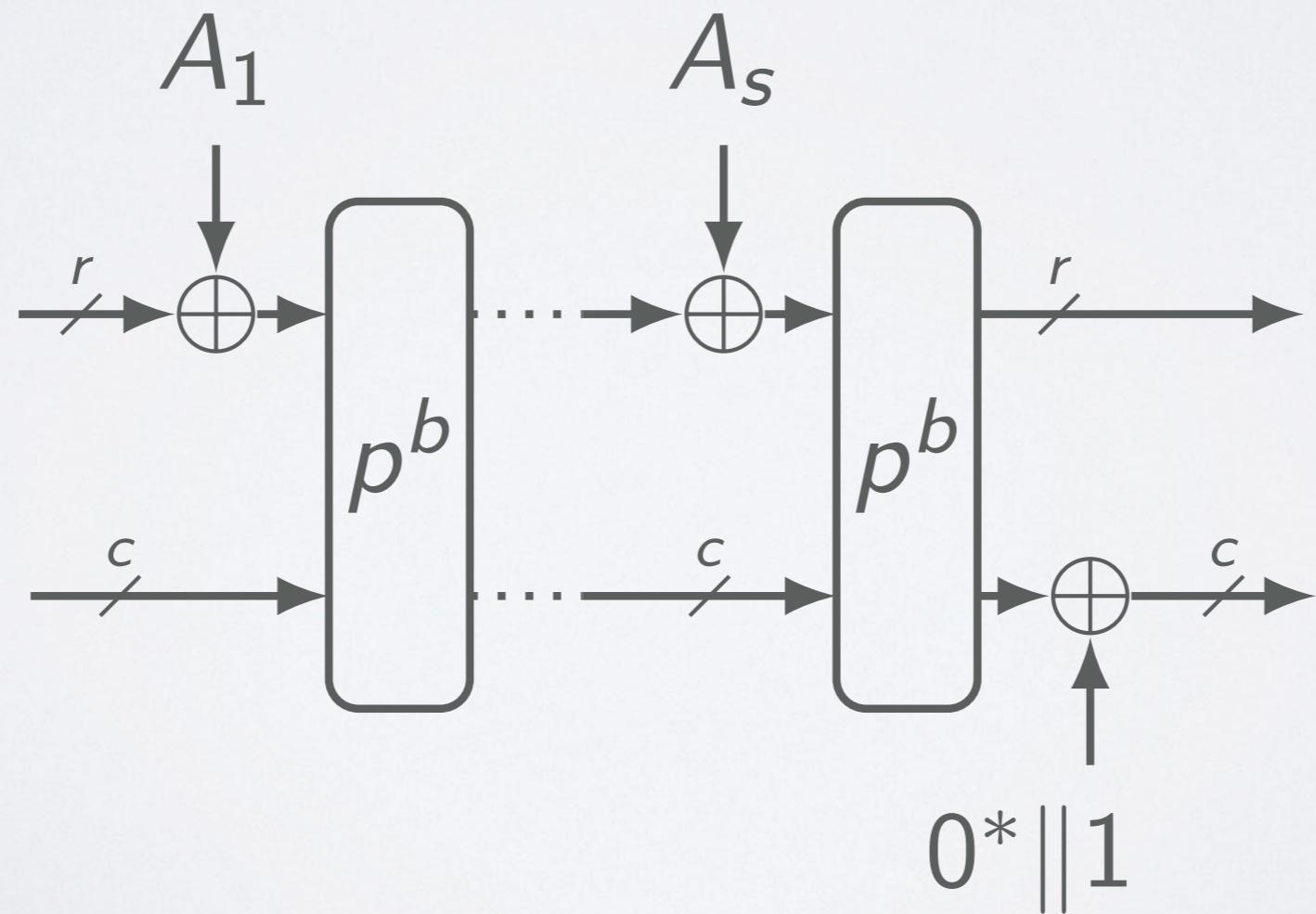
# INITIALISATION

- **Initialisation:** updates the 320-bit state with the key  $K$  and nonce  $N$



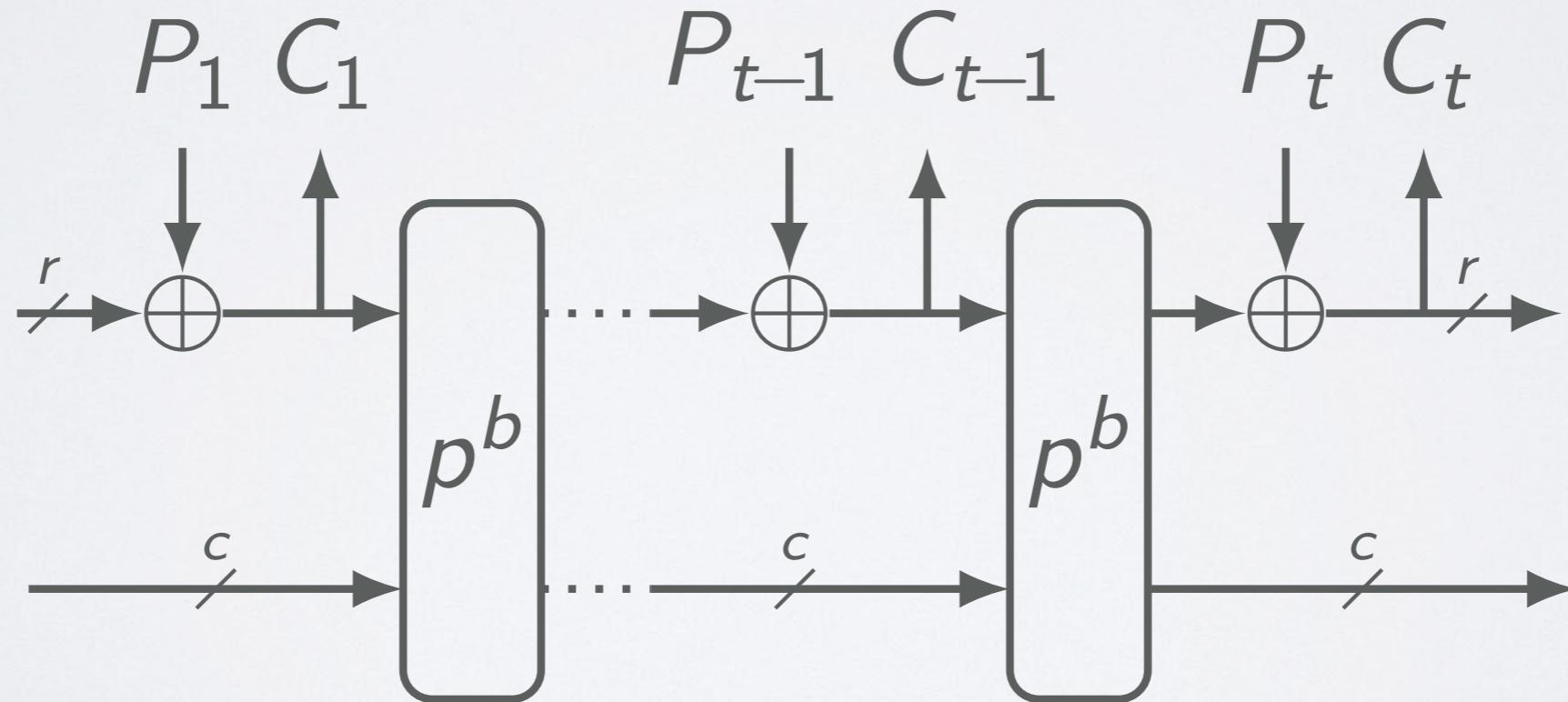
# ASSOCIATED DATA

- **Associated Data Processing:** updating the 320-bit state with associated data blocks  $A_i$



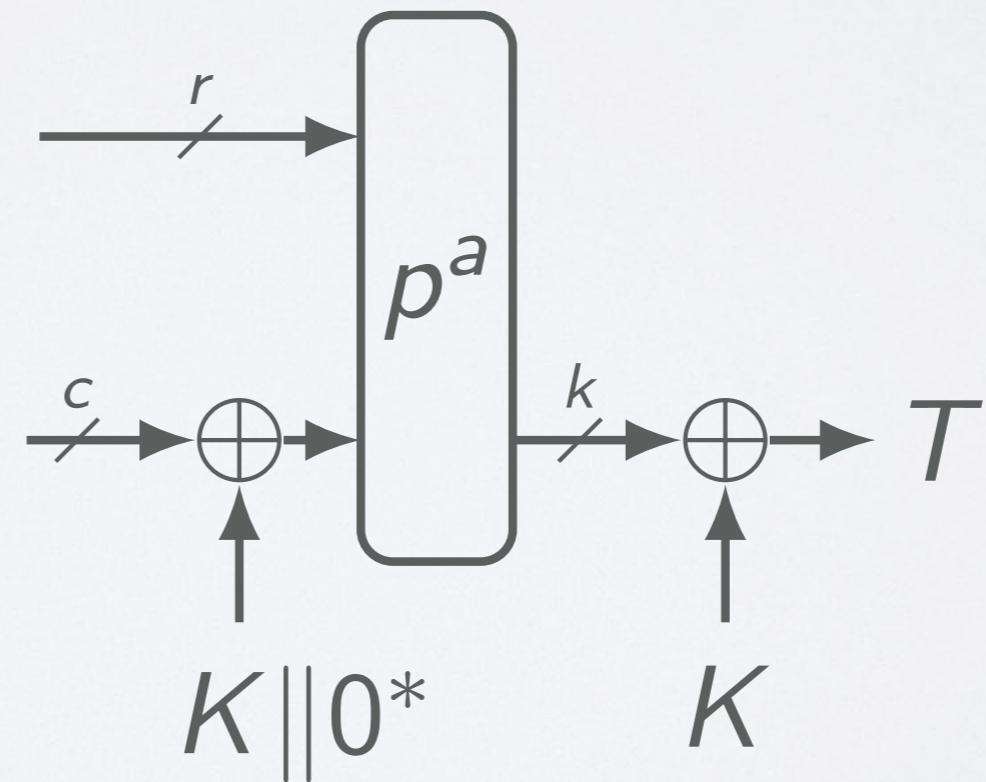
# ENCRYPTION

- **Plaintext Processing:** inject plaintext blocks  $P_i$  in the state and extract ciphertext blocks  $C_i$



# FINALISATION

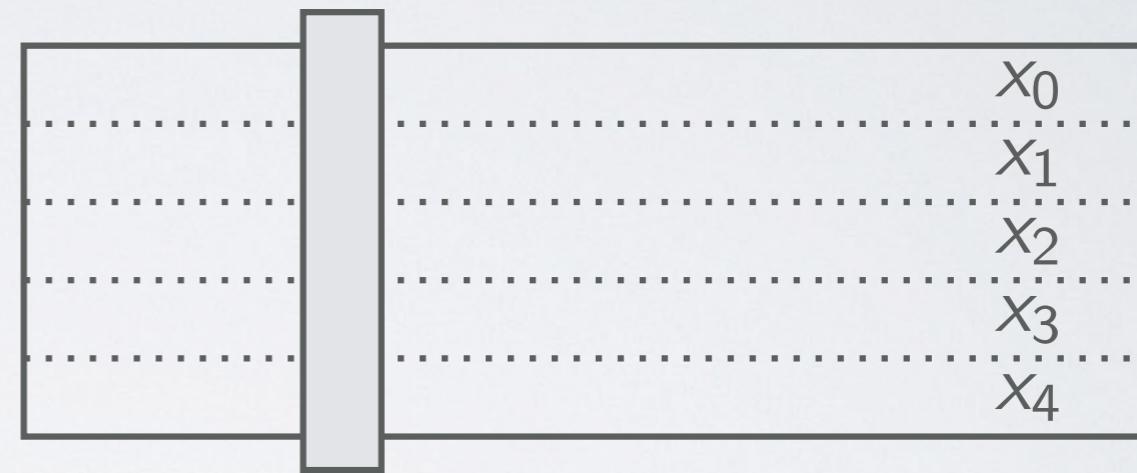
- **Finalisation:** inject the key  $K$  and extracts a tag  $T$  for authentication



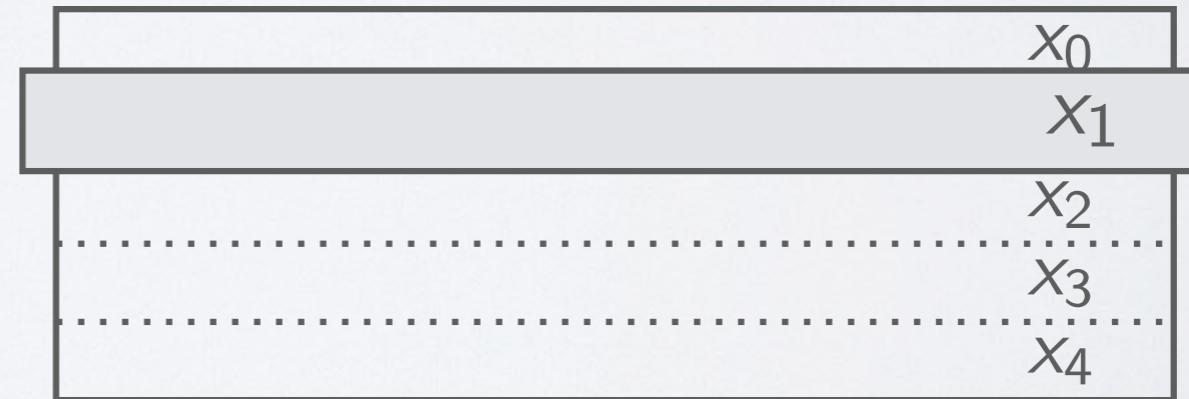
# PERMUTATION

- SP-Network:

- S-Layer:

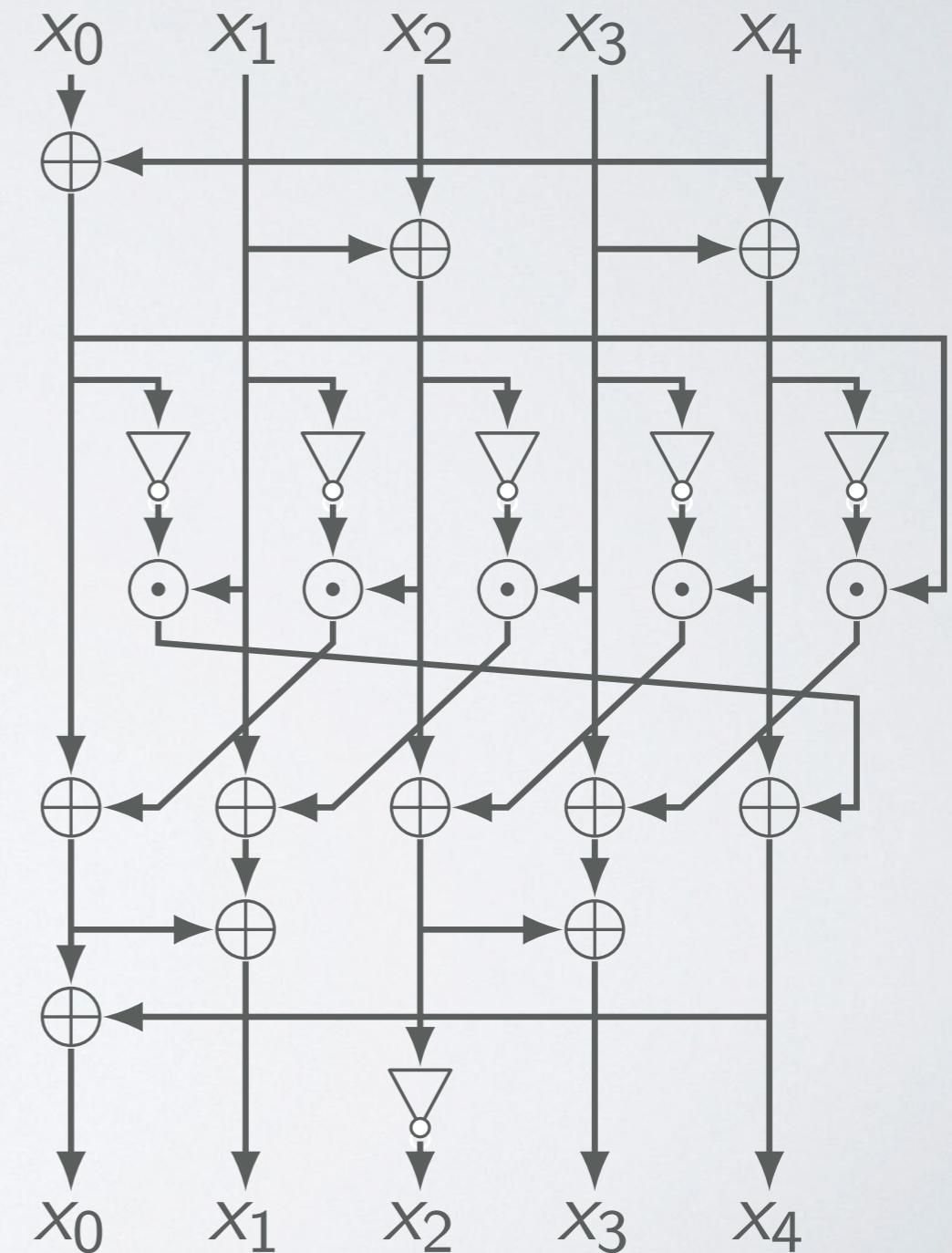


- P-Layer:



# PERMUTATION: S-LAYER

- Algebraic Degree 2
  - Ease TI (3 shares)
- Branch Number 3
  - Good Diffusion
- Bit-sliced Impl.



# PERMUTATION: P-LAYER

- Branch Number 4

$$\Sigma_0(x_0) = x_0 \oplus (x_0 \ggg 19) \oplus (x_0 \ggg 28)$$

$$\Sigma_1(x_1) = x_1 \oplus (x_1 \ggg 61) \oplus (x_1 \ggg 39)$$

$$\Sigma_2(x_2) = x_2 \oplus (x_2 \ggg 1) \oplus (x_2 \ggg 6)$$

$$\Sigma_3(x_3) = x_3 \oplus (x_3 \ggg 10) \oplus (x_3 \ggg 17)$$

$$\Sigma_4(x_4) = x_4 \oplus (x_4 \ggg 7) \oplus (x_4 \ggg 41)$$

# SECURITY ANALYSIS

- Differential and Linear Cryptanalysis

Rounds	Differential	Linear
1	1	1
2	4	4
3	15	13
4	44	43
...	>64	>64

# SECURITY ANALYSIS

- Analysis of round-reduced versions

Method	Rounds	Complexity
cube-like	6/12	$2^{66}$
	7/12	$2^{104}$
Differential- Linear	4/12	$2^{18}$
	5/12	$2^{36}$

# OTHER ANALYSIS

- █ Achiya Bar-On, Orr Dunkelman, Nathan Keller, Ariel Weizman.  
DLCT: A New Tool for Differential-Linear Cryptanalysis.  
EUROCRYPT 2019
- █ Gregor Leander, Cihangir Tezcan, Friedrich Wiemer. Searching for  
Subspace Trails and Truncated Differentials. FSE 2018
- █ Zheng Li, Xiaoyang Dong, Xiaoyun Wang. Conditional Cube Attack  
on Round-Reduced ASCON. IACR Transactions on Symmetric  
Cryptology 2017
- █ Yanbin Li, Guoyan Zhang, Wei Wang, Meiqin Wang. Cryptanalysis of  
round-reduced ASCON. Science China Information Sciences 2017

# OTHER ANALYSIS

- █ Ashutosh Dhar Dwivedi, Miloš Klouček, Paweł Morawiecki, Ivica Nikolić, Josef Pieprzyk, Sebastian Wójtowicz. SAT-based Cryptanalysis of Authenticated Ciphers from the CAESAR Competition. 2017
- █ Faruk Göloglu, Vincent Rijmen, Qingju Wang. On the division property of S-boxes. 2016
- █ Cihangir Tezcan. Truncated, Impossible, and Improbable Differential Analysis of Ascon. ICISSP 2016
- █ Yosuke Todo. Structural Evaluation by Generalized Integral Property. EUROCRYPT 2015

# OTHER ANALYSIS

█ Christoph Dobraunig, Maria Eichlseder, Florian Mendel. Heuristic Tool for Linear Cryptanalysis with Applications to CAESAR Candidates. ASIACRYPT 2015

█ Christoph Dobraunig, Maria Eichlseder, Florian Mendel, Martin Schläffer. Cryptanalysis of Ascon. CT-RSA 2015

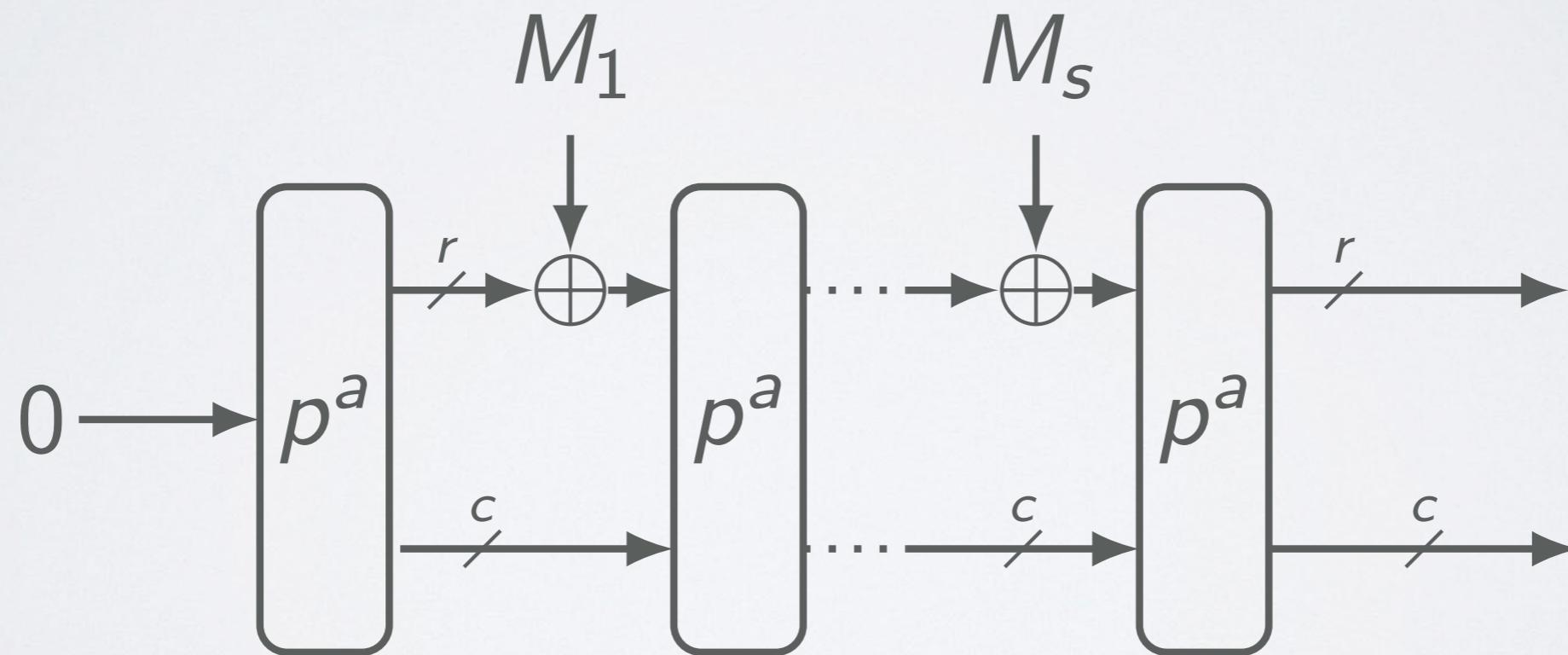
# HASHING

- Hash Function and Xof
- Sponge construction

	ASCON-Hash	ASCON-Xof
<b>Hash size</b>	256 bits	variable
<b>State size (b)</b>	320 bits	320 bits
<b>Capacity (c)</b>	256 bits	256 bits
<b>Rate (r)</b>	64 bits	64 bits

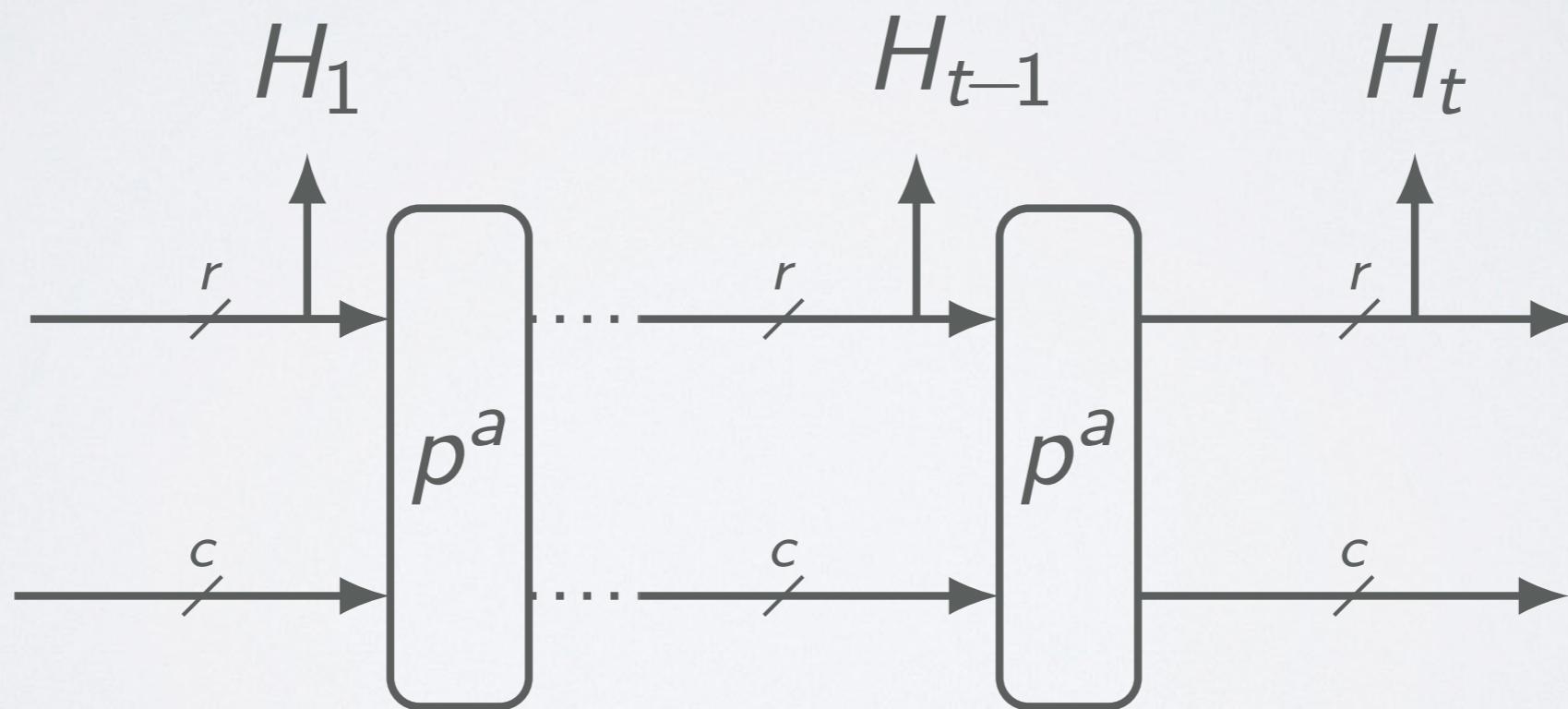
# HASHING

- **Absorbing:** updates the 320-bit state with the data block  $M_i$



# HASHING

- **Squeezing:** extracts the final hash value



# SECURITY ANALYSIS

	Rounds	Complexity
Ascon-Hash	2/12	$2^{105}$
Ascon-Xof (64 bits)	2/12	$2^{15}$
	6/12	$2^{63.3}$

 Christoph Dobraunig, Maria Eichlseder, Florian Mendel, Martin Schläffer. Preliminary Analysis of Ascon-Xof and Ascon-Hash. 2019

 Rui Zong and Xiaoyang Dong and Xiaoyun Wang. Collision Attacks on Round-Reduced Gimli-Hash, Ascon-Xof and Ascon-Hash. 2019

# IMPLEMENTATION

- Software
  - Intel Xeon
  - ARM Cortex-A53
- Hardware
  - High-speed
  - Low-area

# SOFTWARE

- Intel Xeon

	64	512	1024	4096
<b>ASCON-128</b> (cycles/byte)	17.3	12.9	10.8	<b>10.5</b>
<b>ASCON-128a</b> (cycles/byte)	14.1	9.7	7.3	<b>6.9</b>

# SOFTWARE

- ARM Cortex-A53

	64	512	1024	4096
<b>ASCON-128</b> (cycles/byte)	18.3	14.4	11.3	<b>11.0</b>
<b>ASCON-128a</b> (cycles/byte)	15.1	11.2	7.6	<b>7.3</b>

# HARDWARE

- Unprotected Implementations

	Variant 1	Variant 2	Variant 3
Area (kGE)	7.1	24.9	2.6
Throughput (MByte/s)	5 524	13 218	14

# HARDWARE

- Threshold Implementations

	Variant 1	Variant 2	Variant 3
Area (kGE)	28.6	123.5	7.9
Throughput (MByte/s)	3 774	9 018	14

# ASCON FEATURES

- Small hardware area
- Efficiency in software
- Natural side-channel protection
- Limited damage in misuse settings
- Low overhead for short messages
- ...

# SUMMARY

- Security
  - Well analysed/understood
  - Large security margin
- Efficiency
  - Efficient on constraint devices in HW and SW
  - Natural side-channel protection
  - Fast on modern CPUs



# FURTHER INFORMATION

<https://ascon.iaik.tugraz.at>

# ISAP

## LIGHTWEIGHT AUTHENTICATED ENCRYPTION

# ISAP TEAM

- Christoph Dobraunig
- Maria Eichlseder
- Stefan Mangard
- Florian Mendel
- Bart Mennink
- Thomas Unterluggauer
- Robert Primas



# MOTIVATION

- **Problem:** side-channel attacks
- **Countermeasures:** hiding, masking, TI, ...
- Reduce overhead of countermeasures
  - ASCON, KETJE/KEYAK, Gimli, Xoodyak, ...
- Can we do more?

# RELATED WORK

- █ C. Dobraunig, M. Eichlseder, S. Mangard, F. Mendel, and T. Unterluggauer: ISAP - Towards Side-Channel Secure Authenticated Encryption FSE 2017
- █ G. Barwell, D. P. Martin, E. Oswald, and M. Stam: Authenticated Encryption in the Face of Protocol and Side Channel Leakage ASIACRYPT 2017
- █ F. Berti, O. Pereira, T. Peters, and F.-X. Standaert: On Leakage-Resilient Authenticated Encryption with Decryption Leakages FSE 2018

# ISAP

- Robustness against DPA on algorithmic level for
  - Encryption
  - Decryption
- Solely based on the sponge construction
- Limits the attack surface against SPA

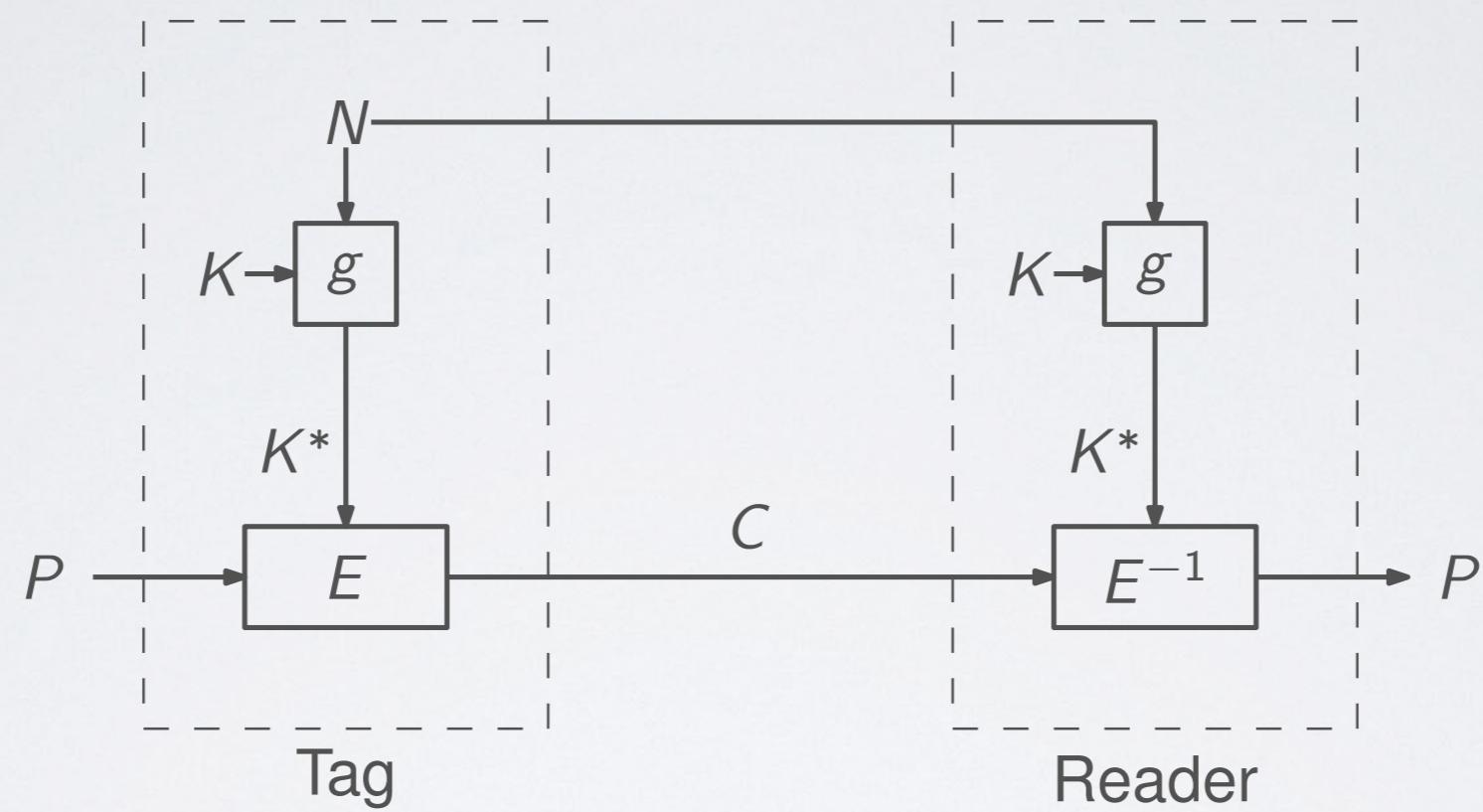
# SPA AND DPA

- Simple Power Analysis (SPA)
  - Observe device processing the same or a few inputs
  - Techniques directly interpreting measurements
- Differential Power Analysis (DPA)
  - Observe device processing many different inputs
  - Allows for the use of statistical techniques

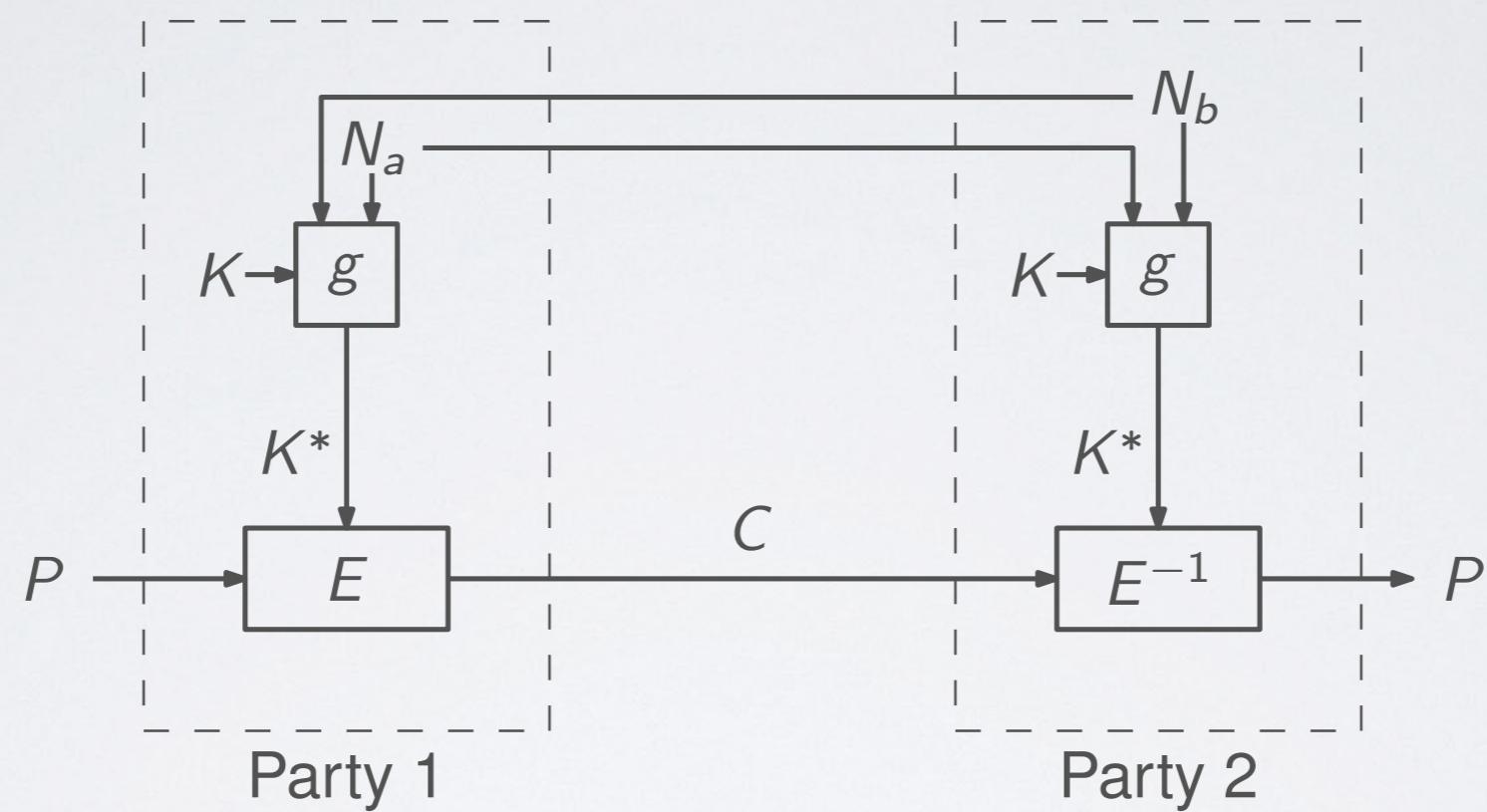
# IS DPA A THREAT ?

- █ A. Moradi and T. Schneider: Improved Side-Channel Analysis Attacks on Xilinx Bitstream Encryption of 5, 6, and 7 Series  
COSADE 2016
- █ E. Ronen, A. Shamir, A. Weingarten, and C. O'Flynn: IoT Goes Nuclear: Creating a ZigBee Chain Reaction  
S&P 2017

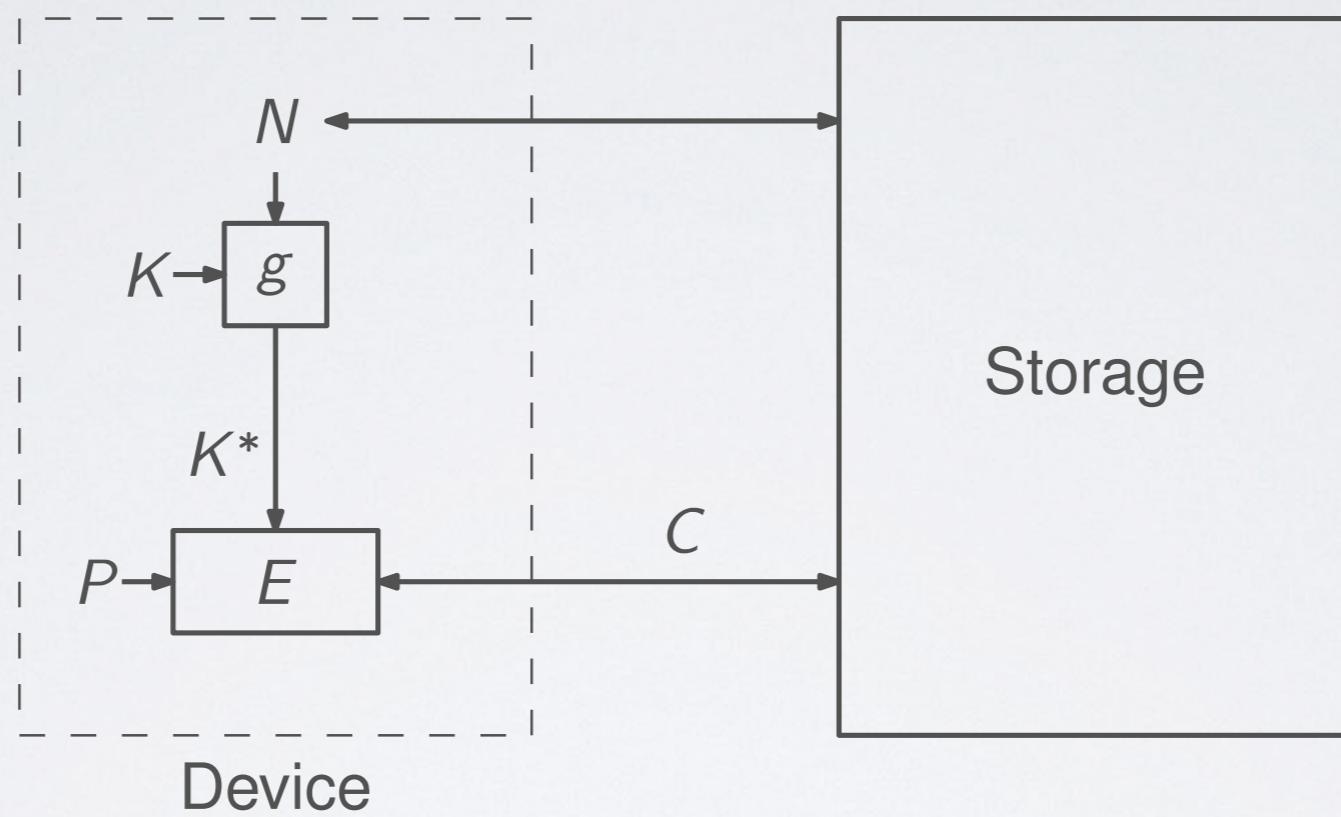
# FRESH RE-KEYING



# FRESH RE-KEYING



# WHAT ABOUT STORAGE ?



- Encryption still fine
- Decryption might be critical

# HOW TO PROTECT DECRYPTION ?

- Rely on implementation countermeasures
  - Costly
  - Makes re-keying for encryption kind of obsolete
- Limit to one decryption
  - Keep track of the nonce
  - Re-encrypt data
  - Time consuming
  - Damaging

# MULTIPLE DECRYPTION

Retain principles of fresh re-keying allowing multiple decryption

## DPA robustness in storage settings

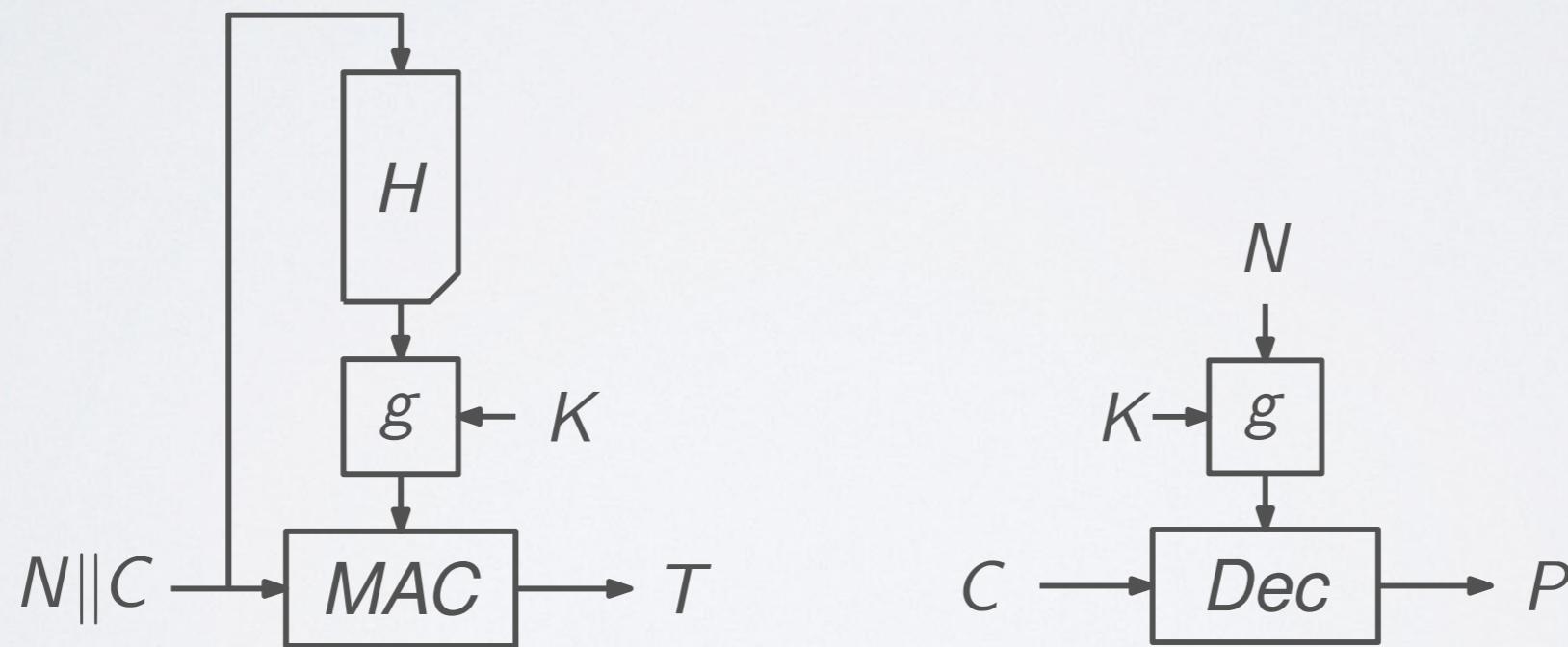
-  A. Moradi and T. Schneider: Improved Side-Channel Analysis Attacks on Xilinx Bitstream Encryption of 5, 6, and 7 Series. COSADE 2016

## DPA robustness in unidirectional/broadcast settings

-  E. Ronen, A. Shamir, A. Weingarten, and C. O'Flynn: IoT Goes Nuclear: Creating a ZigBee Chain Reaction. S&P 2017

# PRINCIPLE OF DECRYPTION

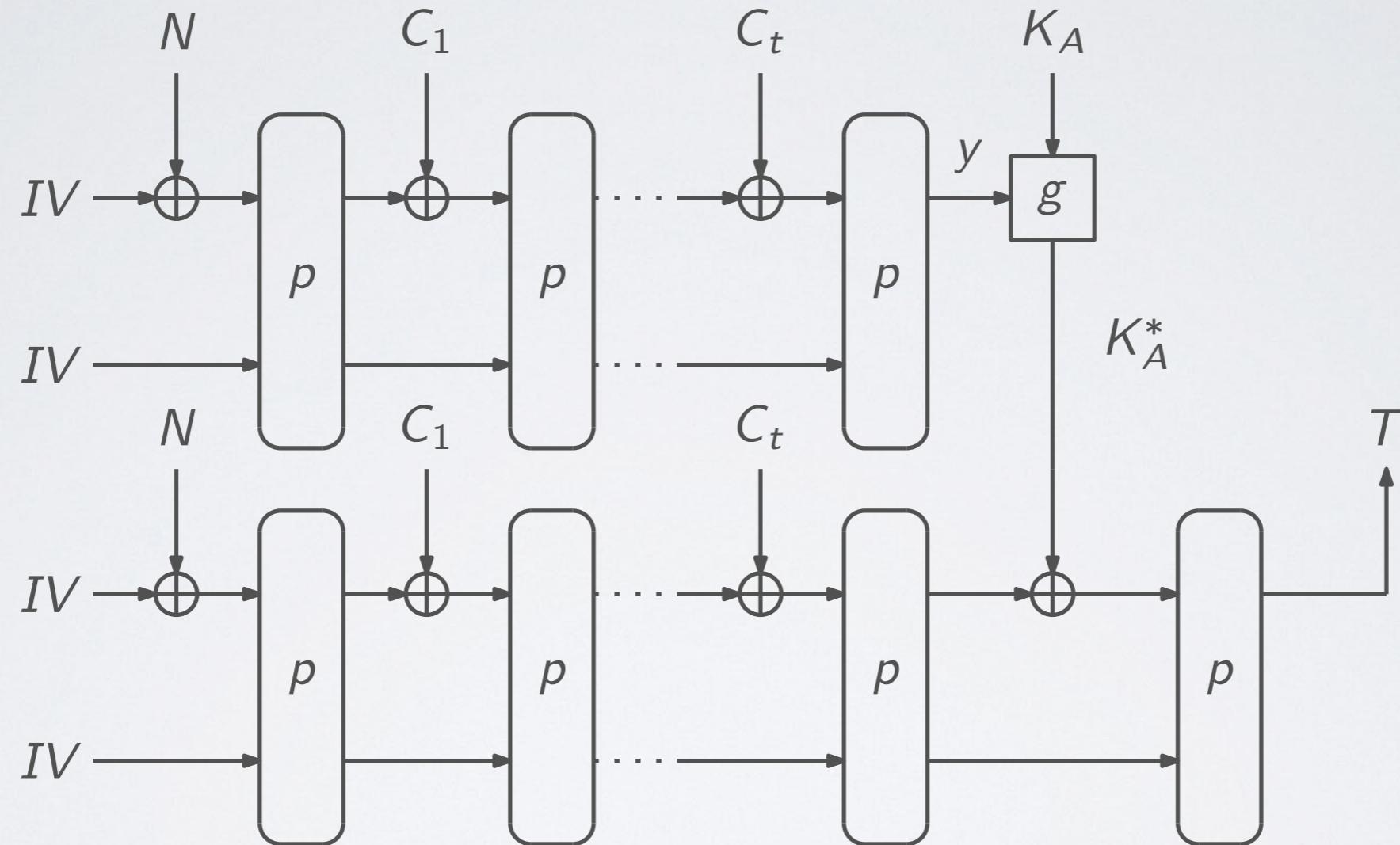
- “Bind” the session key to the data that is decrypted



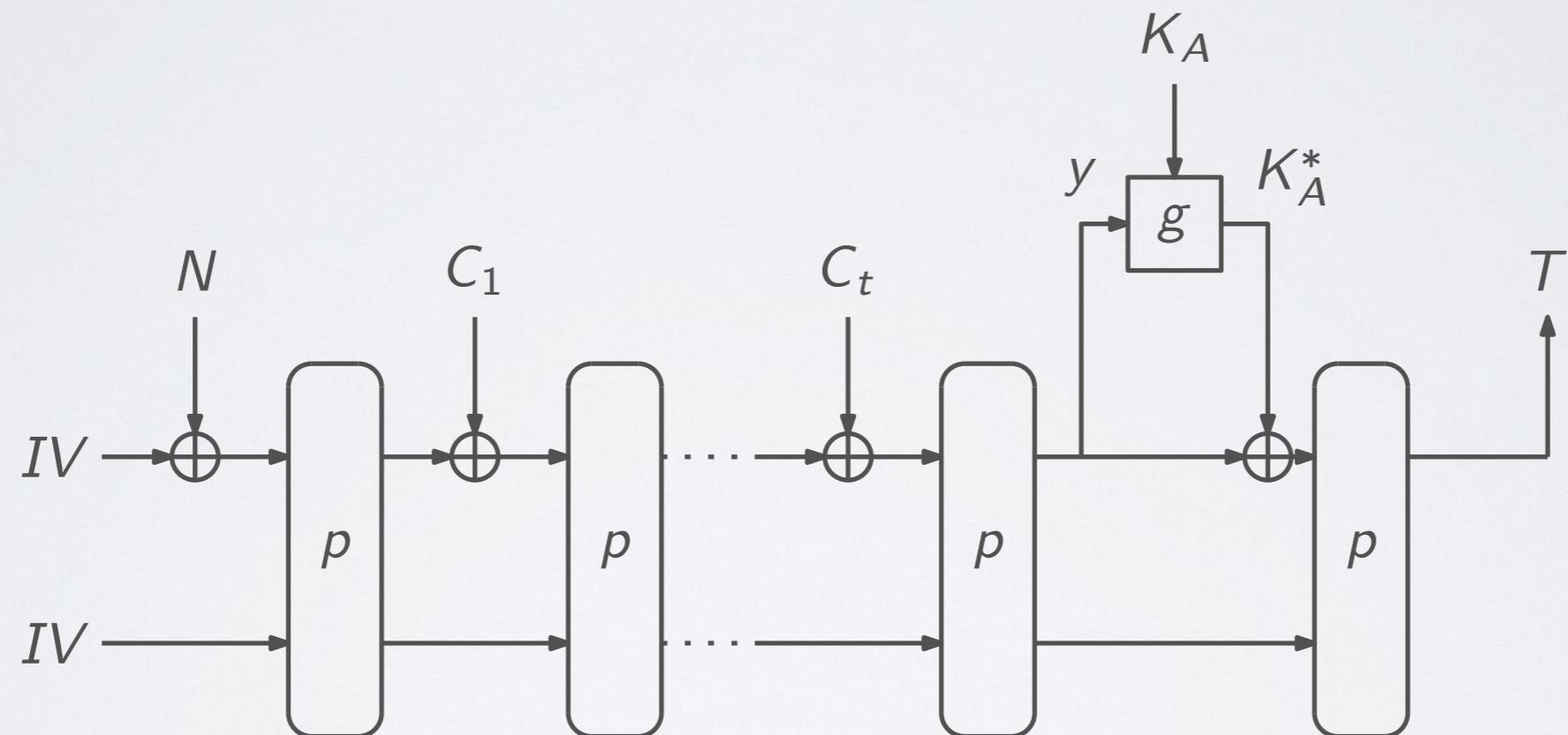
# BENEFITS OF SPONGES

- Well-studied and analyzed
- Allows to implement a wide range of primitives
- No inverse building blocks (permutation) needed
- No key schedule, key is injected once
- Simple way to model side-channel-leakage

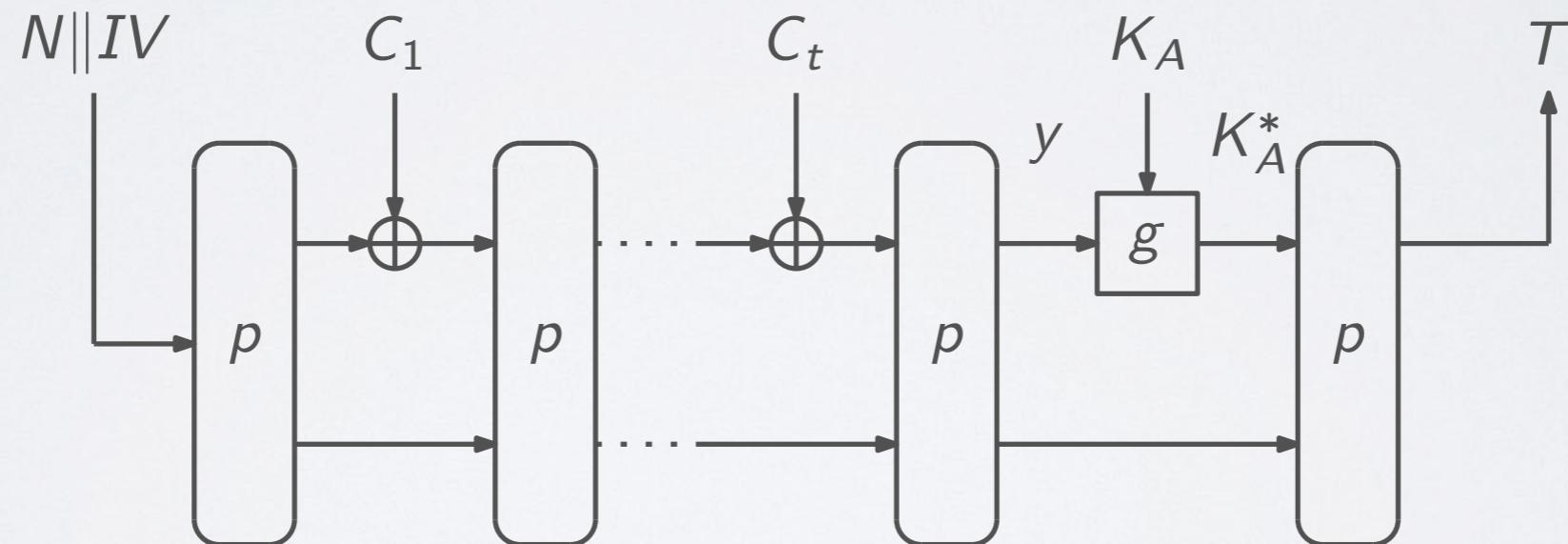
# AUTHENTICATION / VERIFICATION



# AUTHENTICATION / VERIFICATION



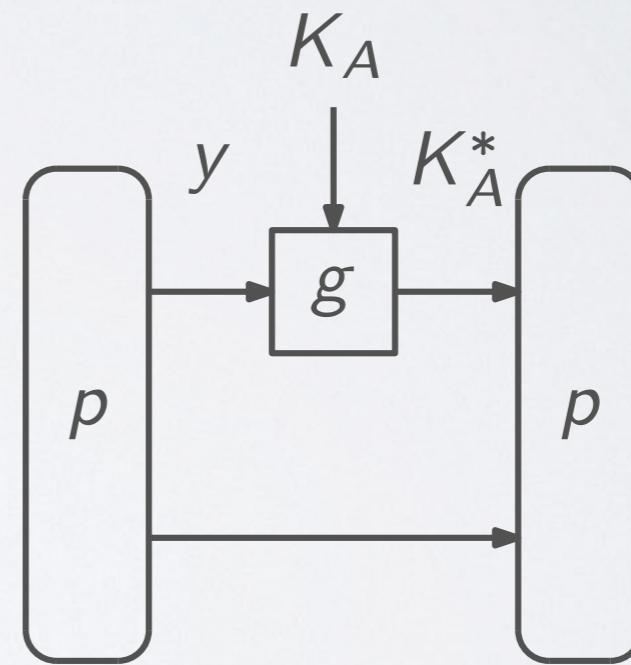
# AUTHENTICATION / VERIFICATION



- Use suffix MAC instead of hash-then-MAC

# ABSORBING THE KEY

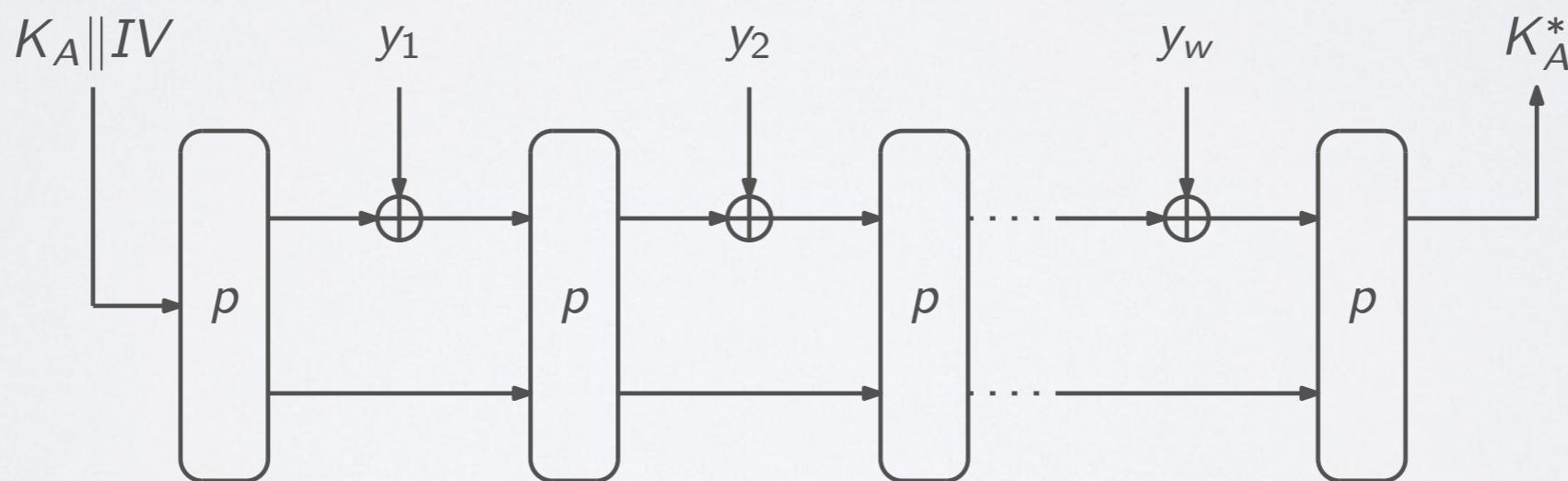
- Modular multiplication
- LPL and LWE
- Sponges



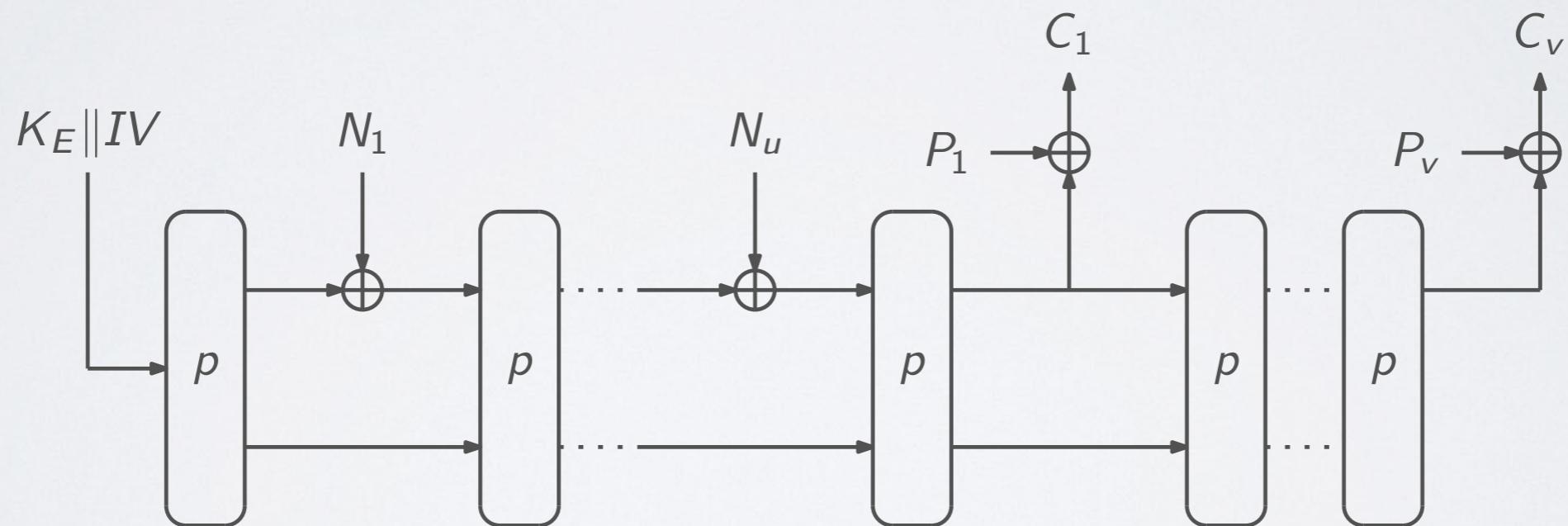
# ABSORBING THE KEY

**Idea:** Reduce rate to a minimum

Related to the classical GGM construction



# ENCRYPTION / DECRYPTION

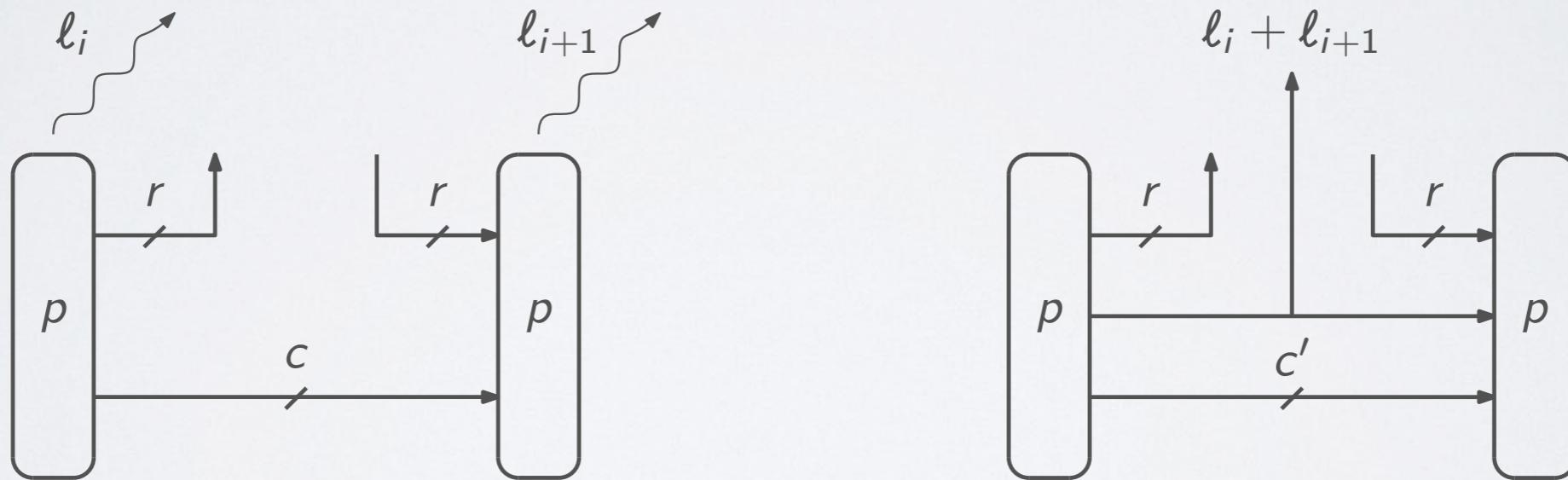


# BENEFITS OF SPONGES

- Well-studied and analyzed
- Allows to implement a wide range of primitives
- No inverse building blocks (permutation) needed
- No key schedule, key is injected once
- **Simple way to model side-channel-leakage**

# SIDE-CHANNEL LEAKAGE

- Modelling side-channel leakage in sponges



# LEAKAGE RESILIENCE

- C. Dobraunig and B. Mennink: Leakage Resilience of the Duplex Construction. ASIACRYPT 2019
- J.-P. Degabriele, C. Janson and P. Struck: Sponges Resist Leakage - The Case of Authenticated Encryption. ASIACRYPT 2019
- C. Guo, O. Pereira, T. Peters and F.-X. Standaert: Towards Low-Energy Leakage-Resistant Authenticated Encryption from the Duplex Sponge Construction. FSE 2020
- C. Dobraunig and B. Mennink: Security of the Suffix Keyed Sponge. FSE 2020
- C. Dobraunig and B. Mennink: Leakage Resilience of the ISAP Mode - A Vulgarized Summary. NIST Lightweight Cryptography Workshop 2019

# INSTANCES

- Keccak-p[400]
  - ISAP-K-128A
  - ISAP-K-128
- Ascon
  - ISAP-A-128A
  - ISAP-A-128

# SUMMARY

- AE scheme following the NIST call
- Provides robustness against DPA on algorithmic level
- Enables several use-cases
  - Multiple decryption of stored data
  - Unidirectional/Broadcast communication

# FURTHER INFORMATION

<https://isap.iaik.tugraz.at>