

Mild dissipative diffeomorphisms of the disc with zero entropy (part 2)

Sylvain Crovisier

Joint work with Enrique Pujals and Charles Tresser.

Zagreb dynamical systems workshop

October 22-26th 2018

Strongly dissipative diffeomorphisms

f : a C^2 diffeomorphism $\mathbb{D} \rightarrow f(\mathbb{D} \subset \text{int}(\mathbb{D}))$.

Definition. f is **strongly dissipative** if it is dissipative and for any ergodic μ (\neq sink) and μ -ae x , both branches of $W^s(x)$ meet $\partial\mathbb{D}$.

Strongly dissipative diffeomorphisms

f : a C^2 diffeomorphism $\mathbb{D} \rightarrow f(\mathbb{D} \subset \text{int}(\mathbb{D}))$.

Definition. f is **strongly dissipative** if it is dissipative and for any ergodic μ (\neq sink) and μ -ae x , both branches of $W^s(x)$ meet $\partial\mathbb{D}$.

Property 1 (one-dimensional reduction)

*There exists a semi-conjugacy $\pi: (\mathbb{D}, f) \rightarrow (X, h)$
to an endomorphism on a compact real tree.*

And $\pi_(\mu) \neq \pi_*(\nu)$ if μ, ν are distinct non-atomic measures.*

Strongly dissipative diffeomorphisms

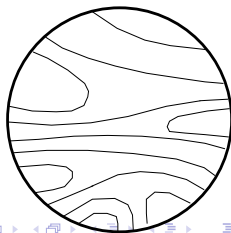
f : a C^2 diffeomorphism $\mathbb{D} \rightarrow f(\mathbb{D} \subset \text{int}(\mathbb{D}))$.

Definition. f is **strongly dissipative** if it is dissipative and for any ergodic μ (\neq sink) and μ -ae x , both branches of $W^s(x)$ meet $\partial\mathbb{D}$.

Property 1 (one-dimensional reduction)

There exists a semi-conjugacy $\pi: (\mathbb{D}, f) \rightarrow (X, h)$ to an endomorphism on a compact real tree.

And $\pi_(\mu) \neq \pi_*(\nu)$ if μ, ν are distinct non-atomic measures.*



Strongly dissipative diffeomorphisms

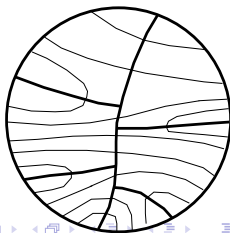
f : a C^2 diffeomorphism $\mathbb{D} \rightarrow f(\mathbb{D} \subset \text{int}(\mathbb{D}))$.

Definition. f is **strongly dissipative** if it is dissipative and for any ergodic μ (\neq sink) and μ -ae x , both branches of $W^s(x)$ meet $\partial\mathbb{D}$.

Property 1 (one-dimensional reduction)

There exists a semi-conjugacy $\pi: (\mathbb{D}, f) \rightarrow (X, h)$ to an endomorphism on a compact real tree.

And $\pi_(\mu) \neq \pi_*(\nu)$ if μ, ν are distinct non-atomic measures.*



Strongly dissipative diffeomorphisms

f : a C^2 diffeomorphism $\mathbb{D} \rightarrow f(\mathbb{D} \subset \text{int}(\mathbb{D}))$.

Definition. f is **strongly dissipative** if it is dissipative and for any ergodic μ (\neq sink) and μ -ae x , both branches of $W^s(x)$ meet $\partial\mathbb{D}$.

Property 1 (one-dimensional reduction)

There exists a semi-conjugacy $\pi: (\mathbb{D}, f) \rightarrow (X, h)$ to an endomorphism on a compact real tree.

And $\pi_(\mu) \neq \pi_*(\nu)$ if μ, ν are distinct non-atomic measures.*

Property 2 (closing lemma). *The support of any ergodic probability is contained in the closure of the set of periodic points.*

Renormalization

Theorem A. (C-Pujals-Tresser) *For any strongly dissipative diffeomorphism f of the disc whose topological entropy vanishes,*

- a- *either any forward orbit of f converges to a fixed point,*
- b- *or f is **renormalizable**: there exists a topological disc U and $m \geq 2$ such that $f^m(U) \subset U$ and $f^i(U) \cap U = \emptyset$ when $0 < i < m$.*

Renormalization

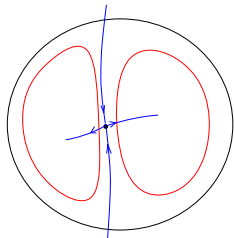
Theorem A. (C-Pujals-Tresser) *For any strongly dissipative diffeomorphism f of the disc whose topological entropy vanishes,*

- a- *either any forward orbit of f converges to a fixed point,*
- b- *or f is **renormalizable**: there exists a topological disc U and $m \geq 2$ such that $f^m(U) \subset U$ and $f^i(U) \cap U = \emptyset$ when $0 < i < m$.*

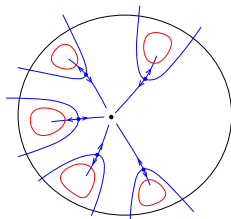
*More precisely: there are finitely many renormalization domains U_1, \dots, U_ℓ with periods m_1, \dots, m_ℓ such that in $\mathbb{D} \setminus (\cup_{i,j} f^i(U_j))$, the dynamics is **(generalized) Morse-Smale**:*

- *any forward orbit of f in $\mathbb{D} \setminus W$ converges to a periodic point,*
- *the periodic points in $\mathbb{D} \setminus W$ have uniformly bounded periods.*

Periods of renormalization

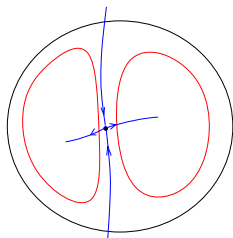


Period 2.

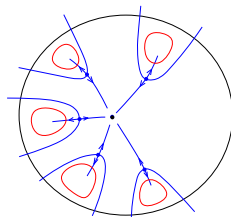


Period 5.
(decoration)

Periods of renormalization



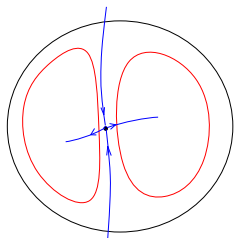
Period 2.



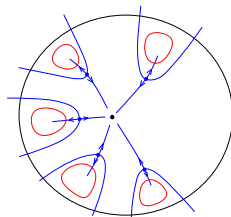
Period 5.
(decoration)

Theorem B. *For strongly dissipative diffeomorphisms f of the disc whose topological entropy vanishes, after a large number of renormalizations, the only possible renormalization period is 2.*

Periods of renormalization



Period 2.



Period 5.
(decoration)

Theorem B. *For strongly dissipative diffeomorphisms f of the disc whose topological entropy vanishes, after a large number of renormalizations, the only possible renormalization period is 2.*

⇒ Gambaudo-Tresser conjecture on the periods of the periodic points holds.

Zero entropy \Rightarrow no cycle

Assume (to simplify) that all periodic points are hyperbolic.

Zero entropy \Rightarrow no cycle

Assume (to simplify) that all periodic points are hyperbolic.

Each fixed point is: – either a *sink* (index 1),
– or a *saddle with reflexion* (index 1),
– or a *saddle with no reflexion* (index -1).

Zero entropy \Rightarrow no cycle

Assume (to simplify) that all periodic points are hyperbolic.

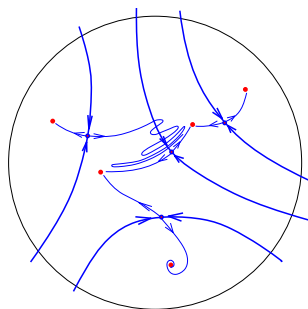
Each fixed point is: – either a *sink* (index 1),
– or a *saddle with reflexion* (index 1),
– or a *saddle with no reflexion* (index -1).

Property. *If $h(f) = 0$, there is no cycle of periodic points:
There is no sequence of saddles $p_0, p_1, \dots, p_{n-1}, p_n = p_0$ such that
for each i , $W^u(p_i)$ accumulates on p_{i+1} .*

The set of fixed points is connected

Property. Consider $\mathbb{D} \setminus \bigcup_{p \text{ fixed of index } -1} W^s(p)$.

Each component V contains exactly one fixed point q of index 1.
For any $p \in \partial V$ fixed, $W^u(p)$ accumulates on q .



The set of fixed points is connected

Property. Consider $\mathbb{D} \setminus \bigcup_{p \text{ fixed of index } -1} W^s(p)$.

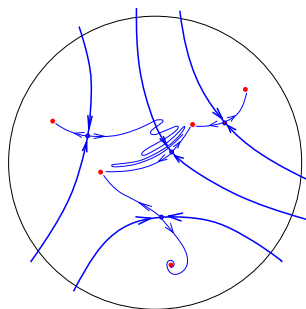
Each component V contains exactly one fixed point q of index 1.
For any $p \in \partial V$ fixed, $W^u(p)$ accumulates on q .

Indeed:

(1) For p fixed, the closure of each unstable branches contains a fixed point of index 1.

(2) Lefschetz formula:

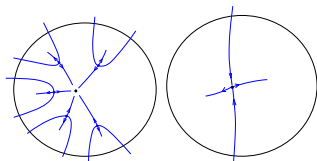
$$\sum_{x \text{ fixed}} \text{Ind}(x) = 1.$$



Structure of the periodic set

A periodic saddle q is *stabilized* if:

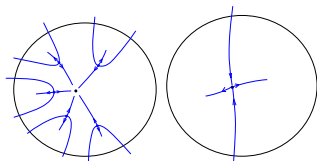
- either $per(q) \geq 2$ and $\overline{W^u(q)}$ contains a fixed point s of index 1,
- or q is a fixed saddle with reflexion.



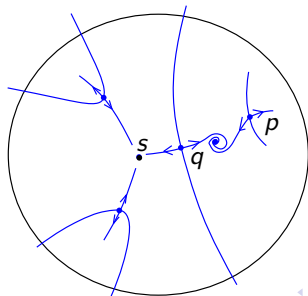
Structure of the periodic set

A periodic saddle q is *stabilized* if:

- either $\text{per}(q) \geq 2$ and $\overline{W^u(q)}$ contains a fixed point s of index 1,
- or q is a fixed saddle with reflexion.



Property. Any periodic point p is either **fixed**, or **stabilized**, or **attached to a stabilized point** q (by a chain of periodic points in the decorated region of q).



Trapping discs

Property. *If Γ is an unstable branch of p , there exists a disc U which*

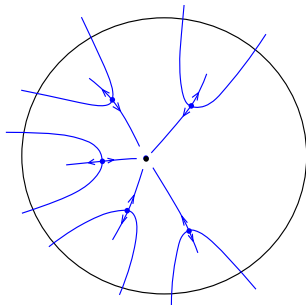
- is trapped: $f(\overline{U}) \subset U$,*
- is disjoint from $W^s(p)$,*
- contains the accumulation set of Γ .*

Trapping discs

Property. If Γ is an unstable branch of p , there exists a disc U which

- is trapped: $f(\overline{U}) \subset U$,
- is disjoint from $W^s(p)$,
- contains the accumulation set of Γ .

Theorem A follows:

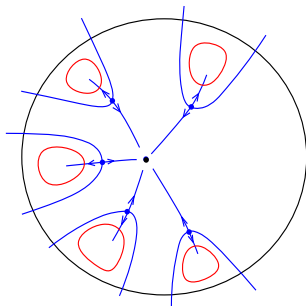


Trapping discs

Property. If Γ is an unstable branch of p , there exists a disc U which

- is trapped: $f(\overline{U}) \subset U$,
- is disjoint from $W^s(p)$,
- contains the accumulation set of Γ .

Theorem A follows:



Pixton discs

p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Pixton discs

p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Remark. stable under iterations, and under unions.

Pixton discs

p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Remark. stable under iterations, and under unions.

▷ Periodic points in $\overline{W^u(p)}$
belong to Pixton discs.

Pixton discs

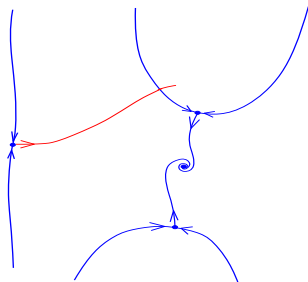
p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Remark. stable under iterations, and under unions.

▷ Periodic points in $\overline{W^u(p)}$
belong to Pixton discs.



Pixton discs

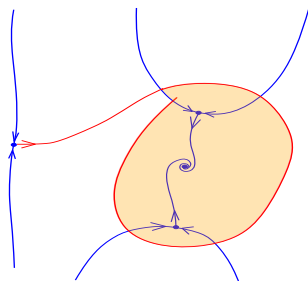
p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Remark. stable under iterations, and under unions.

▷ Periodic points in $\overline{W^u(p)}$
belong to Pixton discs.



Pixton discs

p : fixed saddle of index -1 .

Definition. A disc U is *Pixton* if ∂U is Jordan curve $\gamma^s \cup \gamma^u$ st:

- γ^s is closed and $f^n(\gamma^s) \subset U$ for n large,
- $\gamma^u \subset W^u(p)$.

Remark. stable under iterations, and under unions.

▷ Periodic points in $\overline{W^u(p)}$
belong to Pixton discs.

▷ A maximal Pixton disc
is trapped.

